

The hunt for non-resonant signals of new physics at the LHC

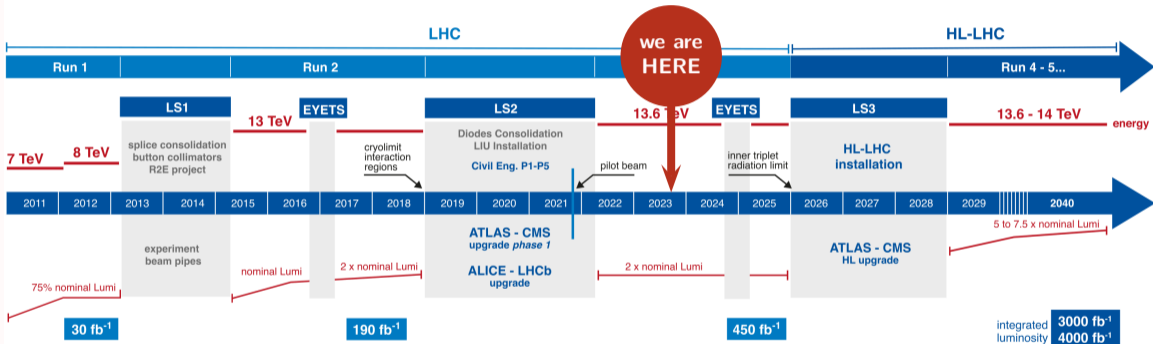
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UNIVERSITÀ DI BOLOGNA

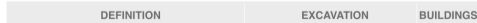
Where we are - LHC perspective



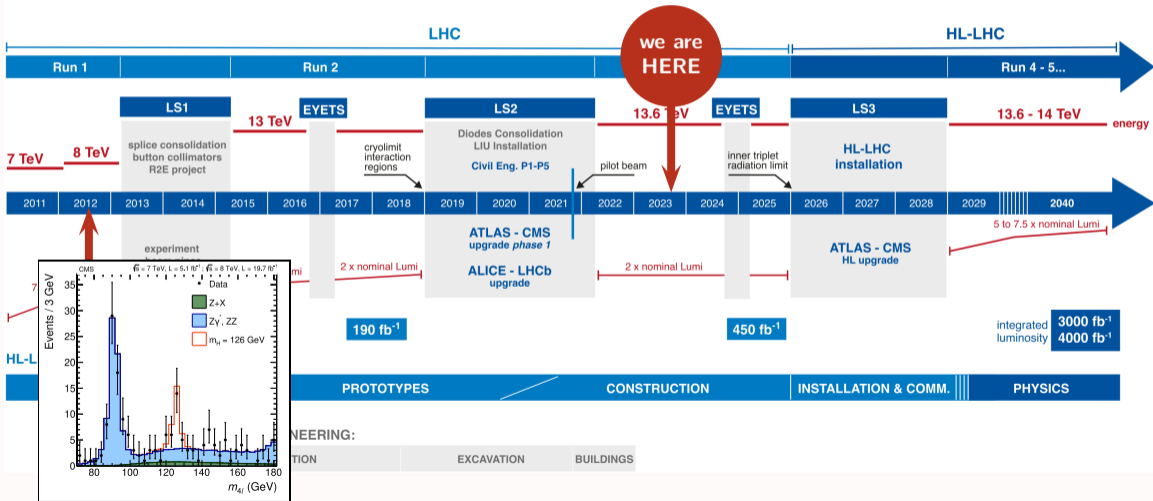
HL-LHC TECHNICAL EQUIPMENT:



HL-LHC CIVIL ENGINEERING:



Where we are - LHC perspective



Where we are - LHC perspective



ATLAS Heavy Particle Searches* - 95% CL Upper Exclusion Limits

Status: March 2023

ATLAS Preliminary

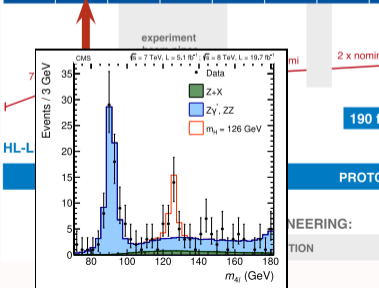
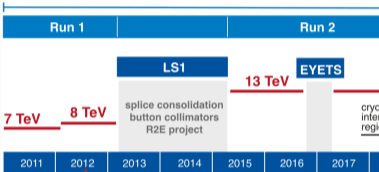
$$\int \mathcal{L} dt = (3.6 - 139) \text{ fb}^{-1}$$

$$\sqrt{s} = 13 \text{ TeV}$$

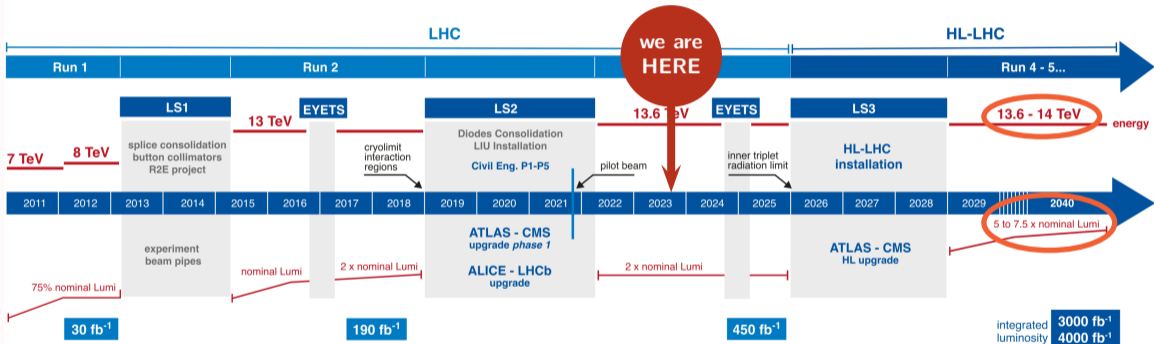
Model	l, γ	Jets [†]	E_{miss}	$ \mathcal{L} _{\text{cut}}[\text{fb}^{-1}]$	Limit	Reference	
Extra dimen.	ADD $G_{KK} + g/g'$	0 e, μ, τ, γ	1-4	Yes	139	$M_{KK} = 11.2 \text{ TeV}$ $n=2$	
	ADD non-resonant $\gamma\gamma$	2 γ	-	-	36.7	$M_{KK} = 6.6 \text{ TeV}$ $n=3$ HLZ NLO	
	ADD GBH	-	2	-	36.7	$M_{KK} = 3.4 \text{ TeV}$ $n=6$	
	ADD BH multijet	-	≥ 3	-	3.6	$M_{KK} = 6.6, M_{KK} = 3 \text{ TeV}$ rot BH	
	RS1 $G_{KK} \rightarrow \gamma\gamma$	2 γ	-	-	139	$G_{KK} \text{ mass} = 4.5 \text{ TeV}$ $A/M_{KK} = 1.0$	
	Bulk RS $G_{KK} \rightarrow WW/ZZ$	multi-channel	-	-	36.1	$A/M_{KK} = 0.1$	
	Bulk RS $G_{KK} \rightarrow t\bar{t}$	1 e, μ $\geq 1b, \geq 1j$	Yes	36.1	$G_{KK} \text{ mass} = 2.3 \text{ TeV}$ 3.0 TeV	$\Gamma/m = 15\%$	
	RUEDJ/ RPP	1 e, μ $\geq 2b, \geq 1j$	Yes	36.1	$RK \text{ mass} = 1.0 \text{ TeV}$	Tab 1(1,1), $30(a^{(1)} \rightarrow e\bar{e}) = 1$	
Gauge bosons	SSM $Z' \rightarrow f\bar{f}$	2 e, μ	-	-	139	$Z' \text{ mass} = 3.1 \text{ TeV}$ 5.1 TeV	
	SSM $Z' \rightarrow t\bar{t}$	2 b	-	-	36.1	$Z' \text{ mass} = 2.42 \text{ TeV}$	
	Leptophobic $Z' \rightarrow b\bar{b}$	-	2 b	-	36.1	$Z' \text{ mass} = 2.1 \text{ TeV}$	
	Leptophobic $Z' \rightarrow t\bar{t}$	0 e, μ $\geq 1b, \geq 1j$	Yes	139	$Z' \text{ mass} = 4.1 \text{ TeV}$	$\Gamma/m = 12\%$	
	SSM $W' \rightarrow f\bar{f}$	1 e, μ	-	-	139	$W' \text{ mass} = 5.0 \text{ TeV}$	
	SSM $W' \rightarrow \tau\bar{\tau}$	1 τ	-	-	139	$W' \text{ mass} = 5.0 \text{ TeV}$	
	SSM $W' \rightarrow b\bar{b}$	-	$\geq 1b, \geq 1j$	-	139	$W' \text{ mass} = 4.4 \text{ TeV}$	
	HVT $W' \rightarrow WZ$ model B	0-2 e, μ 2 $j/1j$	Yes	139	$W' \text{ mass} = 4.3 \text{ TeV}$	$g_V = 3$	
	HVT $W' \rightarrow WZ \rightarrow f\bar{f} \ell^+ \ell^-$ model C	3 e, μ 2 j (VBF)	Yes	139	$W' \text{ mass} = 340 \text{ GeV}$	$g_V = 1, g_A = 0$	
	HVT $Z' \rightarrow WW$ model B	1 e, μ 2 $j/1j$	Yes	139	$Z' \text{ mass} = 3.9 \text{ TeV}$	$g_V = 3$	
	LRSM $W_{\mu} \rightarrow \mu N_{\mu}$	2 μ 1 j	Yes	80	$W_{\mu} \text{ mass} = 5.0 \text{ TeV}$	$\alpha(N_{\mu}) = 0.5 \text{ TeV}, g_V = g_A$	
CI	CI aqq	-	2	-	37.0	A 21.8 TeV $\sqrt{\tau}$	
	CI $f\bar{f}q$	2 e, μ	-	-	139	A 35.8 TeV $\sqrt{\tau}$	
	CI ebs	2 e 1 b	-	-	139	A 1.0 TeV	
	CI μbbs	2 μ 1 b	-	-	139	A 2.0 TeV	
	CI $t\bar{t}t$	$\geq 1e, \mu, \tau$ $\geq 1b, \geq 1j$	Yes	36.1	A 2.57 TeV	$g_V = -1$	
DM	Axial-vector med. (Dirac DM)	-	2	-	139	m_{DM} 348 TeV	$g_V = 0.25, g_A = 1, m(\chi) = 10 \text{ GeV}$
	Pseudo-scalar med. (Dirac DM)	0 e, μ, τ, γ 1-4 j	-	-	139	m_{DM} 376 GeV	$g_V = 1, g_A = 1, m(\chi) = 1 \text{ GeV}$
	Vector med. Z' -2HDM (Dirac DM)	0 e, μ 2 b	Yes	139	m_{DM} 3.0 TeV	$\tan\beta = 1, g_V = 0.8, m(\chi) = 100 \text{ GeV}$	
	Pseudo-scalar med. 2HDM+a	multi-channel	-	-	139	m_{DM} 800 GeV	$\tan\beta = 1, g_V = 1, m(\chi) = 10 \text{ GeV}$
LQ	Scalar LQ 1 st gen	2 e $\geq 2j$	Yes	139	LQ mass 1.0 TeV	$\beta = 1$	
	Scalar LQ 2 nd gen	2 μ $\geq 2j$	Yes	139	LQ mass 1.7 TeV	$\beta = 1$	
	Scalar LQ 3 rd gen	1 τ 2 b	Yes	139	LQ mass 1.49 TeV	$\beta(LQ) \rightarrow b\bar{r} = 1$	
	Scalar LQ 3 rd gen	0 e, μ $\geq 2j, \geq 2b$	Yes	139	LQ mass 1.24 TeV	$\beta(LQ) \rightarrow t\bar{r} = 1$	
	Scalar LQ 3 rd gen	$\geq 2e, \mu, \tau$ $\geq 1j, \geq 1b$	Yes	139	LQ mass 1.43 TeV	$\beta(LQ) \rightarrow e\bar{r} = 1$	
	Scalar LQ 3 rd gen	0 e, μ, τ $\geq 1r, 0-2j, 2b$	Yes	139	LQ mass 1.26 TeV	$\beta(LQ) \rightarrow b\bar{r} = 1$	
	Vector LQ mix gen	multi-channel $\geq 1j, \geq 1b$	Yes	139	LQ mass 2.0 TeV	$\beta(LQ) \rightarrow b\bar{r} = 1, \text{V4L coupl.}$	
	Vector LQ 3 rd gen	2 e, μ, τ $\geq 1b$	Yes	139	LQ mass 1.96 TeV	$\beta(LQ) \rightarrow b\bar{r} = 1, \text{V4L coupl.}$	
Vector-like fermions	VLO $T\bar{T} \rightarrow Z\tau + X$	2 e, μ, τ $\geq 1b, \geq 1j$	-	-	139	T mass 1.46 TeV	SU(2) doublet
	VLO $B\bar{B} \rightarrow W\tau Zb + X$	multi-channel	-	-	36.1	B mass 1.24 TeV	SU(2) doublet
	VLO $T_{1/3} T_{2/3} T_{3/3} \rightarrow W\tau + X$	2(SB)/2(SB) $\geq 1b, \geq 1j$	Yes	36.1	$T_{1/3} \text{ mass} = 1.64 \text{ TeV}$	$\beta(T_{1/3} \rightarrow W\tau) = 1, c(T_{1/3} W\tau) = 1$	
	VLO $T \rightarrow H\tau/Z\tau$	1 e, μ, τ $\geq 1b, \geq 1j$	Yes	36.1	T mass 1.0 TeV	$\beta(T \rightarrow W\tau) = 1, c(T W\tau) = 1$	
	VLO $Y \rightarrow Wb$	1 e, μ $\geq 1b, \geq 1j$	Yes	36.1	Y mass 1.85 TeV	$\beta(Y \rightarrow Wb) = 1, c(Y Wb) = 1$	
	VLO $B \rightarrow Hb$	0 e, μ $\geq 2b, \geq 1j, \geq 1l$	Yes	139	B mass 2.0 TeV	SU(2) doublet, $\epsilon = 0.3$	
	VLL $Z' \rightarrow Z\tau/H\tau$	multi-channel $\geq 1j$	Yes	139	$Z' \text{ mass} = 888 \text{ GeV}$	SU(2) doublet, $\epsilon = 0.3$	
Exotic ferm.	Excited quark $q^* \rightarrow qg$	-	2	-	139	$q^* \text{ mass} = 4.7 \text{ TeV}$	only u^* and d^* , $A = m(q^*)$
	Excited quark $q^* \rightarrow q\gamma$	1 γ 1 l	-	-	36.7	$q^* \text{ mass} = 5.3 \text{ TeV}$	only u^* and d^* , $A = m(q^*)$
	Excited quark $b^* \rightarrow bg$	-	1 $b, 1j$	-	139	$b^* \text{ mass} = 3.2 \text{ TeV}$	only u^* and d^* , $A = m(q^*)$
	Excited lepton e^*	2 τ $\geq 2j$	-	-	139	$e^* \text{ mass} = 4.0 \text{ TeV}$	$A = 4.6 \text{ TeV}$
Other	Type III Seesaw	2,3,4 e, μ $\geq 2j$	Yes	139	$N^c \text{ mass} = 910 \text{ GeV}$	$m(N_{\mu}) = 4.1 \text{ TeV}, \Delta = 1, g_{\text{eff}}$	
	LRSM Majorana ν	2 e, μ $\geq 2j$	Yes	36.1	$N^c \text{ mass} = 350 \text{ GeV}$	$m(N_{\mu}) = 1.1 \text{ TeV}, \Delta = 1, g_{\text{eff}}$	
	Higgs triplet $H^{\pm\pm} \rightarrow W^{\pm} W^{\pm}$	2,3,4 e, μ (SB)	various	Yes	139	$H^{\pm\pm} \text{ mass} = 1.08 \text{ TeV}$	$\text{O}^{\text{V}} \text{ production}$
	Higgs triplet $H^{\pm\pm} \rightarrow f\bar{f}$	2,3,4 e, μ (SB)	various	Yes	139	$H^{\pm\pm} \text{ mass} = 1.08 \text{ TeV}$	$\text{O}^{\text{V}} \text{ production}$
	Multi-charged particles	-	-	-	139	$\text{multi-charged particle mass} = 1.59 \text{ TeV}$	$\text{O}^{\text{V}} \text{ production}, d = 5e$
	Magnetic monopoles	-	-	-	34.4	$\text{monopole mass} = 2.37 \text{ TeV}$	$\text{O}^{\text{V}} \text{ production}, d = 3g_{\text{eff}}, \text{spin } 1/2$

*Only a selection of the available mass limits on new states or phenomena is shown.

[†] Small-radius (large-radius) jets are denoted by the letter j (J).



Where we are - LHC perspective



HL-LHC TECHNICAL EQUIPMENT:



HL-LHC CIVIL ENGINEERING:



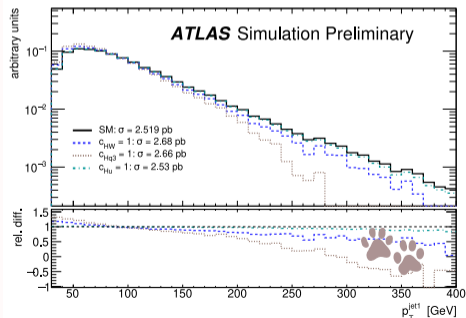
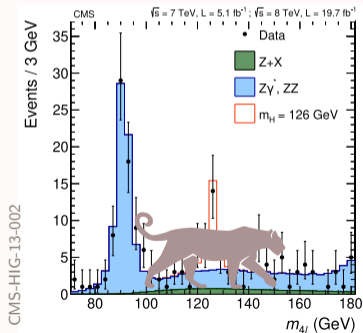
Targeting non-resonant signals of new physics

no clear indications of specific BSM scenarios



strong reduction of statistical uncertainties

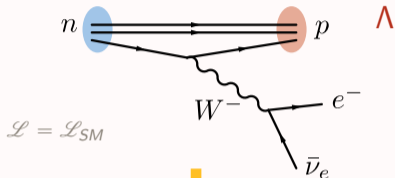
new strategies for NP searches targeting **non-resonant** signals



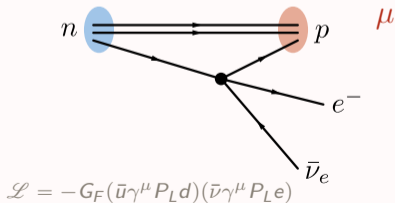
ATL-PHYS-PUB-2019-042

Effective Field Theories

Fermi Theory of β decay



$$q^2 < m_N^2 \ll m_W^2$$



E

Λ

μ

Full theory

→ renormalizable: $[\mathcal{L}] = 4$



TAYLOR SERIES in $(\mu/\Lambda \ll 1)$

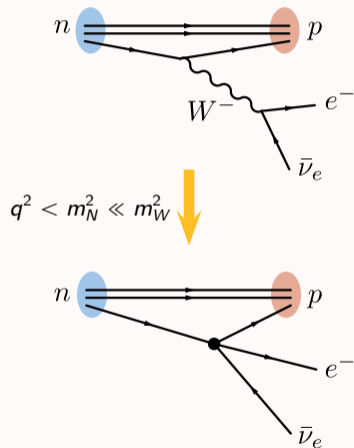
Effective Field Theory

$$\mathcal{L}_{EFT} = \mathcal{L}_4 + \frac{1}{\Lambda} \mathcal{L}_5 + \frac{1}{\Lambda^2} \mathcal{L}_6 + \frac{1}{\Lambda^3} \mathcal{L}_7 \dots$$

Appelquist, Carazzone 1975

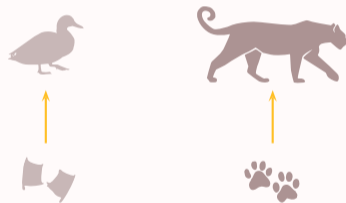
→ heavy DOFs are removed: cannot be produced at $E \ll M$
 → local, analytic, higher-dimensional terms added to \mathcal{L}

Fermi Theory of β decay



Bottom-up paradigm

measuring EFT parameters **reveals properties** of full theory
→ *complement* direct searches, reach into higher energies



EFT fully specified by **fields+symmetries** at $E = \mu$

- no reference to underlying model
- **free couplings that can be measured!**

The Standard Model Effective Field Theory – SMEFT

promoting the Standard Model to an EFT →

add **higher-dimensional** terms made of SM **fields** and respecting the SM **symmetries**

$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \frac{1}{\Lambda} \mathcal{L}_5 + \frac{1}{\Lambda^2} \mathcal{L}_6 + \frac{1}{\Lambda^3} \mathcal{L}_7 + \frac{1}{\Lambda^4} \mathcal{L}_8 + \dots \quad \mathcal{L}_d = \sum_i C_i \mathcal{O}_i^{(d)}$$

C_i = Wilson coefficients

$\mathcal{O}_i^{(d)}$ = gauge-invariant operators forming a basis: a complete, non-redundant set

Buchmüller, Wyler 1986

- ▶ describes **any beyond-SM theory**, provided it lives at $\Lambda \gg v$
- ▶ a complete catalogue of all allowed beyond-SM effects, organized by expected size
- ▶ not experiment-specific! can be used as a **common framework** for LHC *and* other experiments
- ▶ a proper QFT! renormalizable order-by-order, systematically improvable in loops

SMEFT at $d = 6$: the Warsaw basis

X^3		φ^6 and $\varphi^4 D^2$		$\psi^2 \varphi^3$	
Q_G	$f^{ABC} G_\mu^{A\nu} G_\nu^{B\rho} G_\rho^{C\mu}$	Q_φ	$(\varphi^\dagger \varphi)^3$	$Q_{e\varphi}$	$(\varphi^\dagger \varphi)(\bar{l}_p e_r \varphi)$
$Q_{\tilde{G}}$	$f^{ABC} \tilde{G}_\mu^{A\nu} G_\nu^{B\rho} G_\rho^{C\mu}$	$Q_{\varphi\Box}$	$(\varphi^\dagger \varphi)\Box(\varphi^\dagger \varphi)$	$Q_{u\varphi}$	$(\varphi^\dagger \varphi)(\bar{q}_p u_r \tilde{\varphi})$
Q_W	$\epsilon^{IJK} W_\mu^{I\nu} W_\nu^{J\rho} W_\rho^{K\mu}$	$Q_{\varphi D}$	$(\varphi^\dagger D^\mu \varphi)^* (\varphi^\dagger D_\mu \varphi)$	$Q_{d\varphi}$	$(\varphi^\dagger \varphi)(\bar{q}_p d_r \varphi)$
$Q_{\tilde{W}}$	$\epsilon^{IJK} \tilde{W}_\mu^{I\nu} W_\nu^{J\rho} W_\rho^{K\mu}$				
$X^2 \varphi^2$		$\psi^2 X \varphi$		$\psi^2 \varphi^2 D$	
$Q_{\varphi G}$	$\varphi^\dagger \varphi G_{\mu\nu}^A G^{A\mu\nu}$	Q_{eW}	$(\bar{l}_p \sigma^{\mu\nu} e_r) \tau^I \varphi W_{\mu\nu}^I$	$Q_{\varphi l}^{(1)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{l}_p \gamma^\mu l_r)$
$Q_{\varphi \tilde{G}}$	$\varphi^\dagger \varphi \tilde{G}_{\mu\nu}^A G^{A\mu\nu}$	Q_{eB}	$(\bar{l}_p \sigma^{\mu\nu} e_r) \varphi B_{\mu\nu}$	$Q_{\varphi l}^{(3)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu^I \varphi)(\bar{l}_p \tau^I \gamma^\mu l_r)$
$Q_{\varphi W}$	$\varphi^\dagger \varphi W_{\mu\nu}^I W^{I\mu\nu}$	Q_{uG}	$(\bar{q}_p \sigma^{\mu\nu} T^A u_r) \tilde{\varphi} G_{\mu\nu}^A$	$Q_{\varphi e}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{e}_p \gamma^\mu e_r)$
$Q_{\varphi \tilde{W}}$	$\varphi^\dagger \varphi \tilde{W}_{\mu\nu}^I W^{I\mu\nu}$	Q_{uW}	$(\bar{q}_p \sigma^{\mu\nu} u_r) \tau^I \tilde{\varphi} W_{\mu\nu}^I$	$Q_{\varphi q}^{(1)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{q}_p \gamma^\mu q_r)$
$Q_{\varphi B}$	$\varphi^\dagger \varphi B_{\mu\nu} B^{\mu\nu}$	Q_{uB}	$(\bar{q}_p \sigma^{\mu\nu} u_r) \tilde{\varphi} B_{\mu\nu}$	$Q_{\varphi q}^{(3)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu^I \varphi)(\bar{q}_p \tau^I \gamma^\mu q_r)$
$Q_{\varphi \tilde{B}}$	$\varphi^\dagger \varphi \tilde{B}_{\mu\nu} B^{\mu\nu}$	Q_{dG}	$(\bar{q}_p \sigma^{\mu\nu} T^A d_r) \varphi G_{\mu\nu}^A$	$Q_{\varphi u}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{u}_p \gamma^\mu u_r)$
$Q_{\varphi WB}$	$\varphi^\dagger \tau^I \varphi W_{\mu\nu}^I B^{\mu\nu}$	Q_{dW}	$(\bar{q}_p \sigma^{\mu\nu} d_r) \tau^I \varphi W_{\mu\nu}^I$	$Q_{\varphi d}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{d}_p \gamma^\mu d_r)$
$Q_{\varphi \tilde{W}B}$	$\varphi^\dagger \tau^I \varphi \tilde{W}_{\mu\nu}^I B^{\mu\nu}$	Q_{dB}	$(\bar{q}_p \sigma^{\mu\nu} d_r) \varphi B_{\mu\nu}$	$Q_{\varphi ud}$	$i(\tilde{\varphi}^\dagger D_\mu \varphi)(\bar{u}_p \gamma^\mu d_r)$



free parameters

go down to $O(100)$
imposing flavor
symmetries, CP, B

Faroughy et al 2005.05366

Greljo et al 2203.09561

IB 2012.11343

they are \sim never
all relevant
at the same time

SMEFT at $d = 6$: the Warsaw basis

$(\bar{L}L)(\bar{L}L)$		$(\bar{R}R)(\bar{R}R)$		$(\bar{L}L)(\bar{R}R)$	
Q_{ll}	$(\bar{l}_p \gamma_\mu l_r)(\bar{l}_s \gamma^\mu l_t)$	Q_{ee}	$(\bar{e}_p \gamma_\mu e_r)(\bar{e}_s \gamma^\mu e_t)$	Q_{le}	$(\bar{l}_p \gamma_\mu l_r)(\bar{e}_s \gamma^\mu e_t)$
$Q_{qq}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{q}_s \gamma^\mu q_t)$	Q_{uu}	$(\bar{u}_p \gamma_\mu u_r)(\bar{u}_s \gamma^\mu u_t)$	Q_{lu}	$(\bar{l}_p \gamma_\mu l_r)(\bar{u}_s \gamma^\mu u_t)$
$Q_{qq}^{(3)}$	$(\bar{q}_p \gamma_\mu \tau^I q_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$	Q_{dd}	$(\bar{d}_p \gamma_\mu d_r)(\bar{d}_s \gamma^\mu d_t)$	Q_{ld}	$(\bar{l}_p \gamma_\mu l_r)(\bar{d}_s \gamma^\mu d_t)$
$Q_{lq}^{(1)}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{q}_s \gamma^\mu q_t)$	Q_{eu}	$(\bar{e}_p \gamma_\mu e_r)(\bar{u}_s \gamma^\mu u_t)$	Q_{qe}	$(\bar{q}_p \gamma_\mu q_r)(\bar{e}_s \gamma^\mu e_t)$
$Q_{lq}^{(3)}$	$(\bar{l}_p \gamma_\mu \tau^I l_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$	Q_{ed}	$(\bar{e}_p \gamma_\mu e_r)(\bar{d}_s \gamma^\mu d_t)$	$Q_{qu}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{u}_s \gamma^\mu u_t)$
		$Q_{ud}^{(1)}$	$(\bar{u}_p \gamma_\mu u_r)(\bar{d}_s \gamma^\mu d_t)$	$Q_{qu}^{(8)}$	$(\bar{q}_p \gamma_\mu T^A q_r)(\bar{u}_s \gamma^\mu T^A u_t)$
		$Q_{ud}^{(8)}$	$(\bar{u}_p \gamma_\mu T^A u_r)(\bar{d}_s \gamma^\mu T^A d_t)$	$Q_{qd}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{d}_s \gamma^\mu d_t)$
				$Q_{qd}^{(8)}$	$(\bar{q}_p \gamma_\mu T^A q_r)(\bar{d}_s \gamma^\mu T^A d_t)$
$(\bar{L}R)(\bar{R}L)$ and $(\bar{L}R)(\bar{L}R)$		B -violating			
Q_{ledq}	$(\bar{l}_p^j e_r)(\bar{d}_s^j q_t^j)$	Q_{duq}	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jk} [(d_p^\alpha)^T C u_r^\beta] [(q_s^j)^T C l_t^k]$		
$Q_{quqd}^{(1)}$	$(\bar{q}_p^j u_r) \varepsilon_{jk} (\bar{q}_s^k d_t)$	Q_{qqu}	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jk} [(q_p^{\alpha j})^T C q_r^{\beta k}] [(u_s^\gamma)^T C e_t]$		
$Q_{quqd}^{(8)}$	$(\bar{q}_p^j T^A u_r) \varepsilon_{jk} (\bar{q}_s^k T^A d_t)$	Q_{qqq}	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jk} \varepsilon_{mn} [(q_p^{\alpha j})^T C q_r^{\beta k}] [(q_s^m)^T C l_t^n]$		
$Q_{lequ}^{(1)}$	$(\bar{l}_p^j e_r) \varepsilon_{jk} (\bar{q}_s^k u_t)$	Q_{dqu}	$\varepsilon^{\alpha\beta\gamma} [(d_p^\alpha)^T C u_r^\beta] [(u_s^\gamma)^T C e_t]$		
$Q_{lequ}^{(3)}$	$(\bar{l}_p^j \sigma_{\mu\nu} e_r) \varepsilon_{jk} (\bar{q}_s^k \sigma^{\mu\nu} u_t)$				



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Challenges for the bottom-up SMEFT program

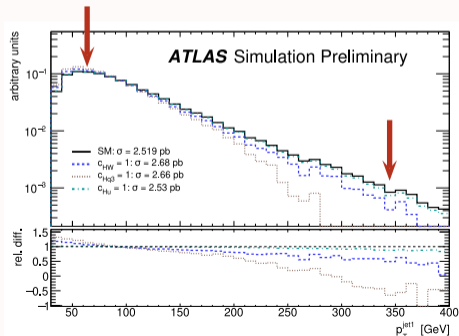
1. being **sensitive** to indirect BSM effects \rightarrow needs uncertainty reduction

$$\text{in bulk} \sim \frac{v^2}{\Lambda^2} = \frac{v^2 g_{UV}}{M^2}.$$

$$g_{UV} \simeq 1, \quad M \simeq 2 \text{ TeV} \rightarrow 1.5\%$$

$$\text{on tails} \sim \frac{E^2}{\Lambda^2} \simeq \frac{E^2 g_{UV}}{M^2}$$

$$E \simeq 1 \text{ TeV}, \quad M \simeq 3 \text{ TeV} \rightarrow 10\%$$



Challenges for the bottom-up SMEFT program

1. being **sensitive** to indirect BSM effects \rightarrow needs uncertainty reduction

$$\text{in bulk} \sim \frac{v^2}{\Lambda^2} = \frac{v^2 g_{UV}}{M^2}. \quad g_{UV} \simeq 1, \quad M \simeq 2 \text{ TeV} \rightarrow 1.5\%$$

$$\text{on tails} \sim \frac{E^2}{\Lambda^2} \simeq \frac{E^2 g_{UV}}{M^2} \quad E \simeq 1 \text{ TeV}, M \simeq 3 \text{ TeV} \rightarrow 10\%$$

2. making sure that, if we observe one, we **interpret it correctly**. needs:

- ▶ retaining all relevant contributions: all operators, NLO corrections...



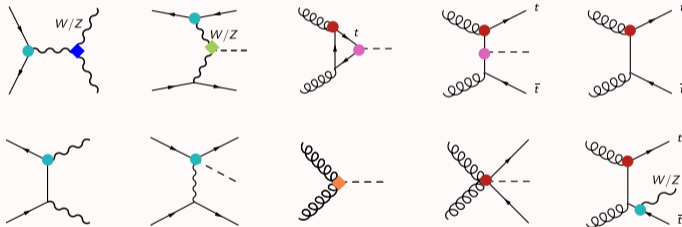
- handling many parameters in predictions and fits
- understanding the theory structure
- ▶ correct understanding of uncertainties and correlations
- ▶ systematic mapping to BSM models

A complex game

many free parameters entering many places \rightarrow scaling complexity + non-trivial interconnections

typically each process is corrected by $\mathcal{O}(10)$ parameters:
constrains a direction in parameter space

each parameter enters
multiple processes



Global analyses combining several measurements are necessary

- ▶ to access as many operators as we can
- ▶ to avoid bias in interpretation [safer than ad-hoc choices]

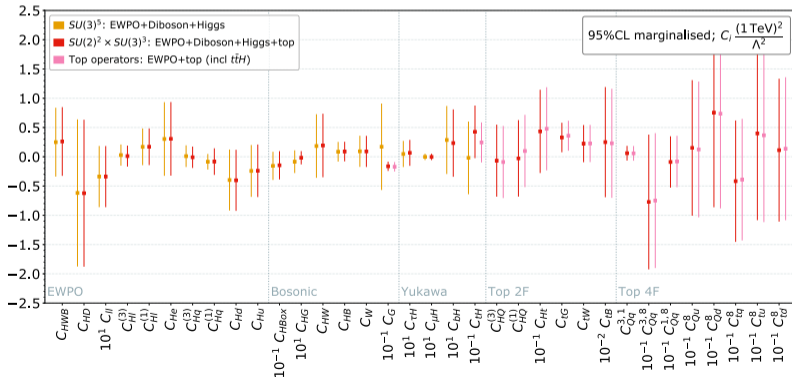
A field with many ramifications



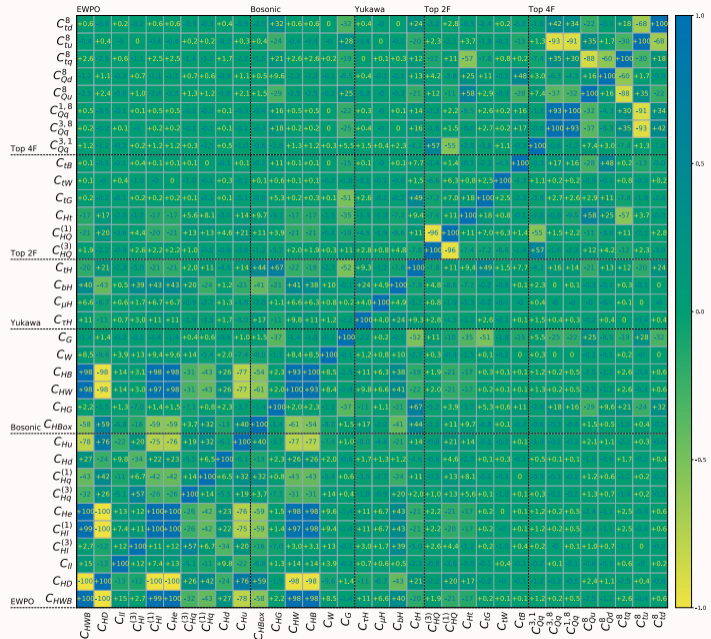
SMEFT analyses: state of the art

- ▶ theory fits: Higgs + EW (incl LEP) + top quark typically **30-35** param.
- ▶ SMEFT theory predictions: computed at tree-level / 1-loop in QCD

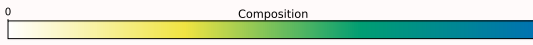
$$|\mathcal{M}_{SMEFT}|^2 = |\mathcal{M}_{SM}|^2 + \sum_{\alpha} \frac{C_{\alpha}}{\Lambda^2} \mathcal{M}_{\alpha} \mathcal{M}_{SM}^{\dagger} + \sum_{\alpha\beta} \frac{C_{\alpha} C_{\beta}}{\Lambda^4} \mathcal{M}_{\alpha} \mathcal{M}_{\beta}^{\dagger}$$



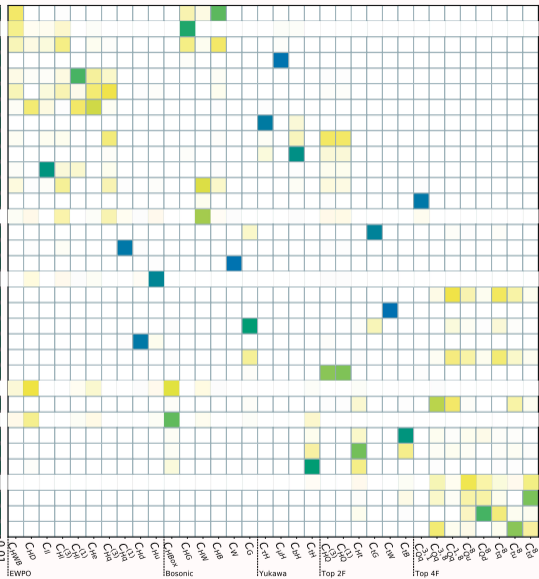
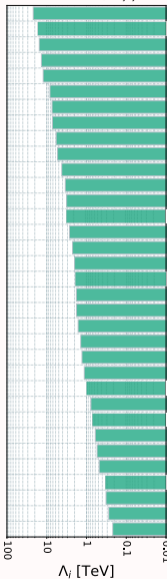
Ellis, Madigan, Mimasu, Sanz, You 2012.02779
also: Ethier, Maltoni, Mantani, Nocera, Rojo 2105.00006



2σ bound on Λ_i , $a_{ij}C_j = 1$



Relative constraining power (%)



6	-	15	29	50	-	-	-	-	-
35	-	13	28	23	-	-	-	-	-
59	-	7	16	18	-	-	-	-	-
-	-	5	94	1	-	-	-	-	-
99	-	-	-	-	-	-	-	-	-
95	-	-	-	3	-	-	-	-	-
99	-	-	-	-	-	-	-	-	-
-	-	21	45	33	-	-	-	-	-
74	-	2	4	14	6	-	-	-	-
18	-	8	19	55	-	-	-	-	-
73	22	-	-	3	-	-	-	-	-
7	2	5	53	4	29	-	-	-	-
-	-	3	-	-	-	-	-	96	-
7	-	2	39	2	46	-	-	3	-
-	-	10	4	-	57	-	-	28	-
94	2	-	-	-	2	-	-	-	-
-	2	-	-	-	11	86	-	-	-
65	14	2	4	10	4	1	-	-	-
-	-	-	-	-	-	-	99	-	-
-	-	-	-	-	-	-	84	15	-
-	-	13	6	-	-	-	48	-	32
90	5	-	1	2	-	-	-	-	-
-	-	5	2	-	-	-	79	-	13
-	-	-	-	-	-	-	3	78	18
2	3	27	40	12	6	-	4	-	4
-	-	1	2	-	-	-	15	4	77
4	4	14	33	23	7	1	12	-	2
-	-	1	3	1	-	-	4	-	89
-	-	3	10	4	-	-	28	2	53
1	-	8	27	16	2	-	32	-	12
-	-	-	-	-	-	-	58	-	41
-	-	-	-	-	-	-	90	-	10
-	-	-	-	-	-	-	61	-	38
-	-	-	-	-	-	-	95	-	5

SMEFT combined analyses in ATLAS and CMS

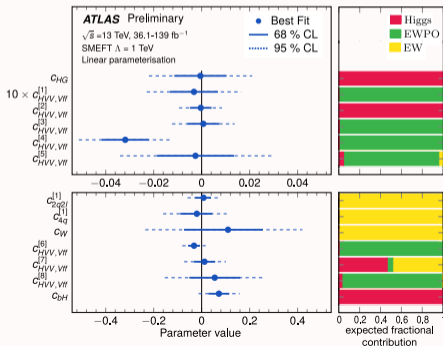
LHC experiments gearing up to do dedicated combination

important in order to use the full experimental information:
better uncertainty and correlation estimates

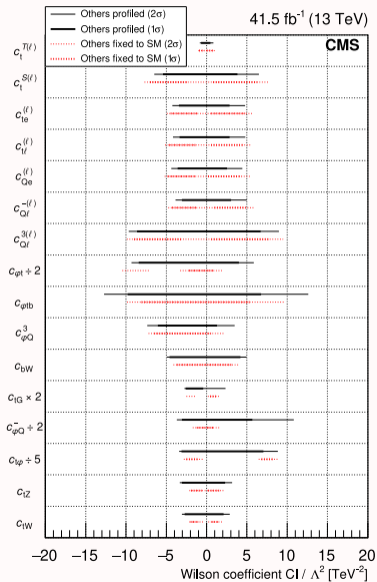
ultimate goal: a cross-experiment cross-sector combined study

a dedicated
 CERN Working Group
 created in 2020
 to coordinate

lpsc.web.cern.ch/lhc-eft-wg



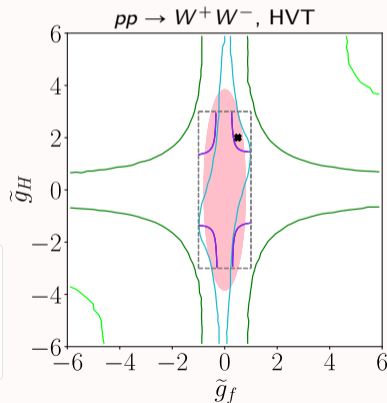
ATL-PHYS-PUB-2022-037



CMS-TOP-19-001

Some open fronts

- ▶ treatment of **RG effects**: 2-loop RGE, account for running+mixing in MC...
- ▶ improve **theory** predictions: optimize MC strategies, include EFT in backgrounds, PDFs...
- ▶ properly account for experimental **uncertainties and correlations** in fits
- ▶ define **optimal observables** to improve sensitivity
- ▶ understand and treat **SMEFT-born uncertainties** [scale dependence, missing higher orders in loops and EFT...]
- ▶ incorporate **more processes**: VBS, high-multiplicity final states, flavor physics, CP tests...
- ▶ handle **50+** dimensional likelihood
- ▶ explore **interplay with resonance searches**
- ▶ explore alternative EFT setups?



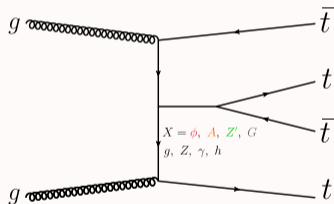
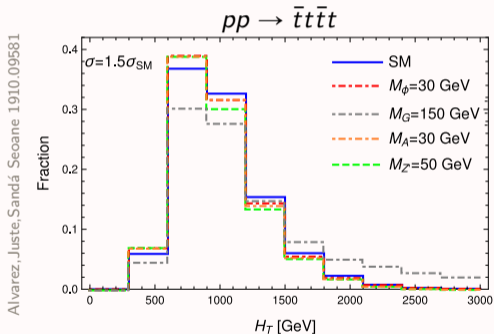
IB, Bruggisser, Geoffroy, Kilian, Krämer,
Luchmann, Plehn, Summ 2108.01094

Non-resonant signals from light NP

Non-resonant signals can also be induced by new **light** states

→ off-shell, in the limit $\sqrt{s} \gg m$ → typically happens for heavy final states

→ most relevant if they have momentum-enhanced couplings (EFT)



graviton **G** has $d = 5$ coupling ($G_{\mu\nu} \bar{t}_R \gamma^\mu D^\nu t_R$), all others are $d = 4$
top-philic → not ruled out by direct searches

An interesting case: Axion-Like Particles

ALP = pseudo-Goldstone boson from breaking of BSM symmetry

Examples:

Peccei-Quinn symm.	→	QCD axion	Peccei,Quinn 1977, Weinberg 1978 Wilczek 1978
Lepton number	→	Majoron	Gelmini,Roncadelli 1981 Langacker,Peccei,Yanagida 1986
Flavor symm.	→	Flavon	Wilczek 1982

Fundamental properties

- ▶ neutral, pseudo-scalar: spin 0, odd parity
- ▶ approx. shift symmetry $a(x) \rightarrow a(x) + c \Rightarrow m_a$ **naturally small**

Why so interesting?

- ▶ naturally the lightest remnant of heavy NP sectors → easiest to discover
- ▶ spontaneous symmetry breakings are **ubiquitous** in BSM → high relevance
- ▶ under certain conditions: good **DM** candidate

ALP Effective Field Theory

- ▶ ALPs can be described in a **EFT** where heavy sector is integrated out
- ▶ SM fields + a & SM symmetries + ALP shift sym. (+ CP)
- ▶ Cutoff: f_a (ALP char. scale, reminiscent of f_π). LO: dimension 5

CP even: Georgi, Kaplan, Randall PLB169B(1986)73

$$\begin{aligned}\mathcal{L}_{ALP} = & \frac{1}{2} \partial_\mu a \partial^\mu a - \frac{m_a^2}{2} a^2 \\ & + C_{\tilde{B}} O_{\tilde{B}} + C_{\tilde{W}} O_{\tilde{W}} + C_{\tilde{G}} O_{\tilde{G}} \\ & + C_u O_u + C_d O_d + C_e O_e + C_Q O_Q + C_L O_L + \mathcal{O}(f_a^{-2})\end{aligned}$$

$$\begin{aligned}O_{\tilde{B}} &= -\frac{a}{f_a} B_{\mu\nu} \tilde{B}^{\mu\nu} & O_{\tilde{W}} &= -\frac{a}{f_a} W_{\mu\nu}^I \tilde{W}^{I\mu\nu} & O_{\tilde{G}} &= -\frac{a}{f_a} G_{\mu\nu}^A \tilde{G}^{A\mu\nu} \\ O_{f,ij} &= \frac{\partial^\mu a}{f_a} (\bar{f}_i \gamma^\mu f_j) & \rightarrow C_f &: N_g \times N_g \text{ symmetric matrices in flavor space}\end{aligned}$$

Recent developments in ALP EFT

relatively simple EFT → convenient theory playground. recently borrowed some expertise from SMEFT

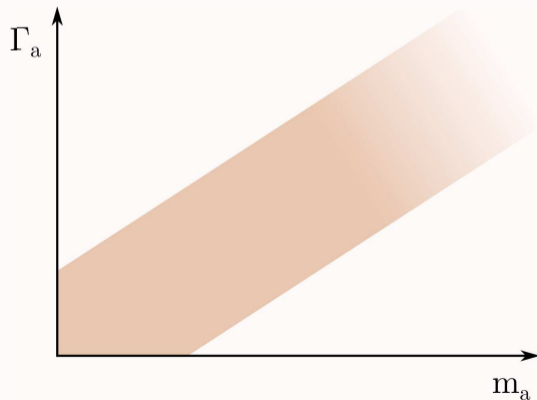
- ▶ discussion on basis completeness
Chala, Guedes, Ramos, Santiago 2012.09017
Bauer, Neubert, Renner, Schnubel, Thamm 2012.12272
Bonilla, IB, Gavela, Sanz 2107.11392
- ▶ RGE evolution, including CP-odd and shift-breaking terms
Das Bakshi, Machado-Rodriguez, Ramos 2306.08036
- ▶ RGE mixing into SMEFT
Galda, Neubert, Renner 2105.01078
- ▶ comprehensive 1-loop study, incl. finite parts
Bonilla, IB, Gavela, Sanz 2107.11392
- ▶ unitarity constraints
IB, Éboli, González-García 2106.05977
- ▶ flavor-invariant parameterization of shift-breakings
Bonnefoy, Grojean, Kley 2206.04182
- ▶ Operator basis up to dim-8
Song, Sun, Yu 2305.16770
- ▶ Hilbert series for operator counting
Grojean, Kley, Yao 2307.08563
- ▶ Global analysis of LEP, LHC and flavor data
Bruggisser, Grabitz, Westhoff 2308.11703

ALPs at the LHC

Why?

- ▶ tree-level access to **couplings to heavy SM particles** (W, Z, h, t)
- ▶ access to **heavy ALPs** ($m_a \gtrsim 10s \text{ GeV}$)

How?

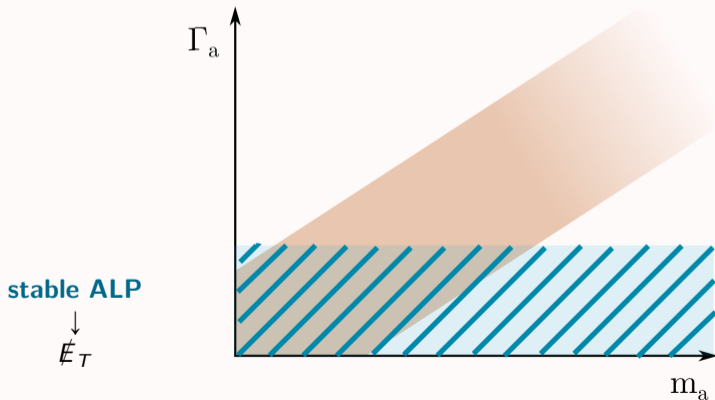


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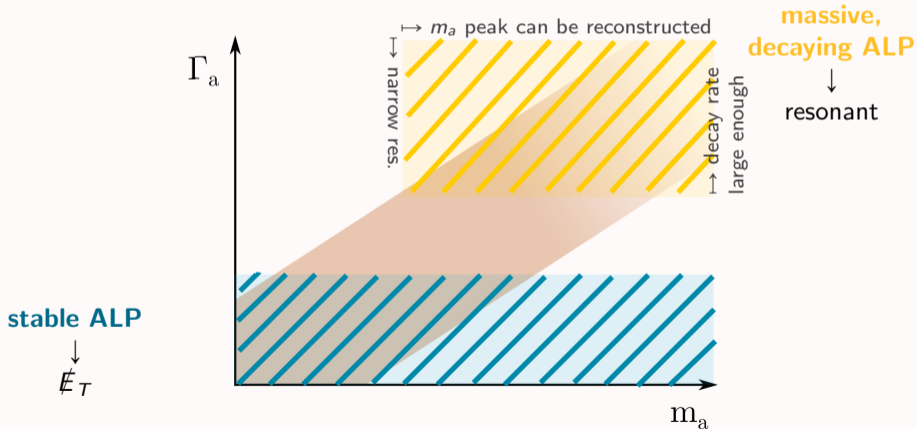


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How?

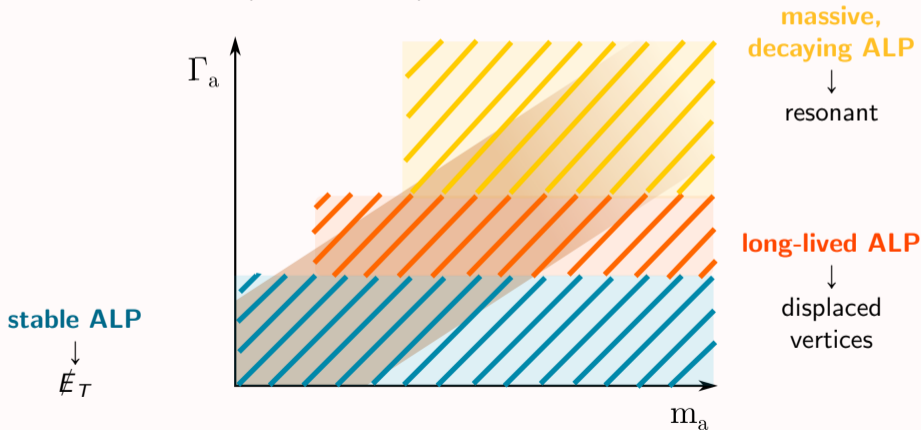


ALPs at the LHC

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How?

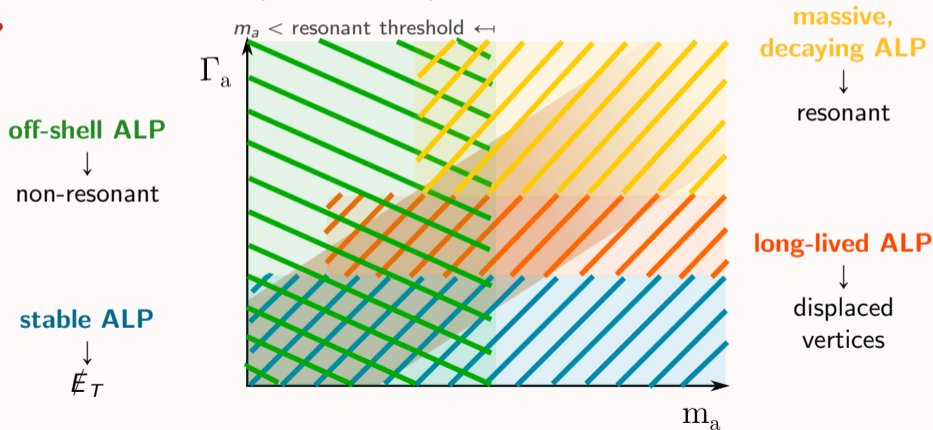


ALPs at the LHC

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- ▶ access to **heavy ALPs** ($m_a \gtrsim 10\text{s GeV}$)

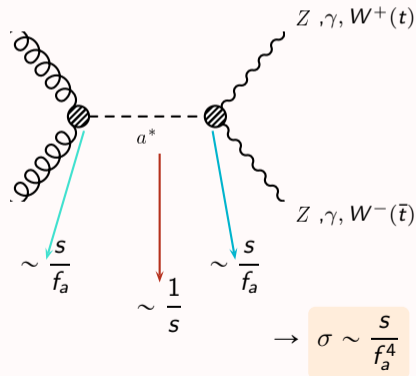
How?



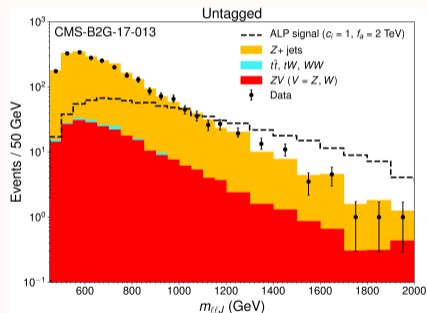
Non-resonant ALP signals at LHC

$ZZ, \gamma\gamma, t\bar{t}$: Gavela, No, Sanz, Troconiz 1905.12953, CMS PAS B2G-20-013 2111.13669
 $WW, Z\gamma$: Carrá, Goumarre, Gupta, Heim, Heinemann, Küchler, Meloni, Quilez, Yap 2106.10085

ALP off-shell for $m_a \ll m_1 + m_2 \leq \sqrt{s}$ “too light to be resonant”



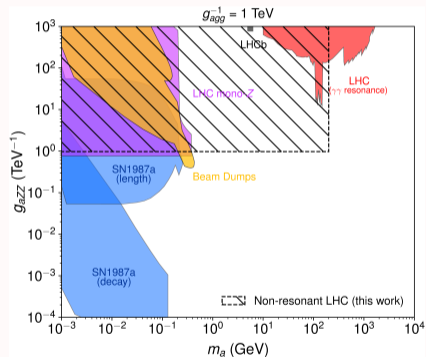
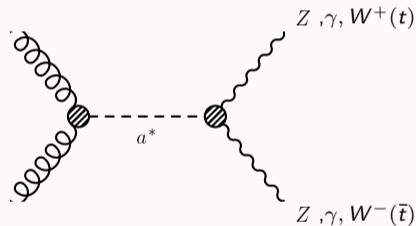
independent of m_a, Γ_a



Non-resonant ALP signals at LHC

ZZ, $\gamma\gamma$, $t\bar{t}$: Gavela, No, Sanz, Troconiz 1905.12953, CMS PAS B2G-20-013 2111.13669
 WW, Z γ : Carrá, Goumarre, Gupta, Heim, Heinemann, Küchler, Meloni, Quilez, Yap 2106.10085

ALP off-shell for $m_a \ll m_1 + m_2 \leq \sqrt{s}$ “too light to be resonant”



puts a constraint on $(g_{aGG} \times g_{aVV})$ product
 for g_{aGG} not too small, competitive bounds on g_{aVV}

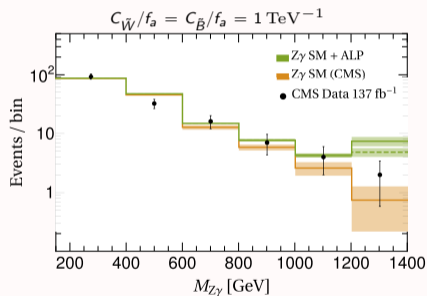
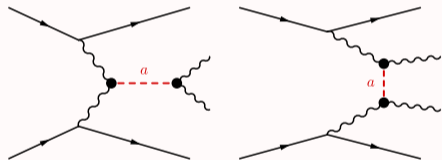
Non-resonant searches in VBS

Bonilla, IB, Machado-Rodríguez, Trocóniz 2202.03450

same principle, applied to Vector Boson Scattering

→ independent of g_aGG (if pure ALP signal dominates, adding $C_{\tilde{g}}$ does not worsen bounds)

→ compare to actual analyses by CMS: $W^\pm W^\pm$, $W^\pm Z$, $W^\pm \gamma$, $Z\gamma$, ZZ



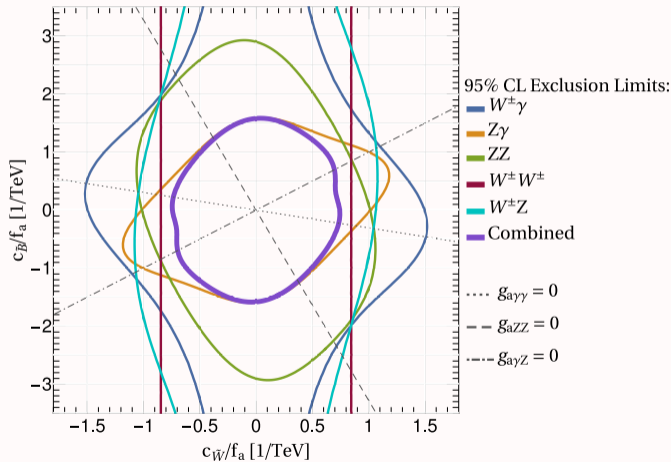
$$\sigma = \sigma_{SM} + \sigma_{\text{int.}}/f_a^2 + \sigma_{ALP}/f_a^4$$

$$\sigma_{\text{int.}} = C_{\tilde{B}}^2 \sigma_{B2} + C_{\tilde{W}}^2 \sigma_{W2} + C_{\tilde{B}} C_{\tilde{W}} \sigma_{WB}$$

$$\sigma_{ALP} = C_{\tilde{B}}^4 \sigma_{B4} + C_{\tilde{W}}^4 \sigma_{W4} + C_{\tilde{B}}^2 C_{\tilde{W}}^2 \sigma_{W2B2} + C_{\tilde{B}}^3 C_{\tilde{W}} \sigma_{B3W} + C_{\tilde{B}} C_{\tilde{W}}^3 \sigma_{BW3}$$

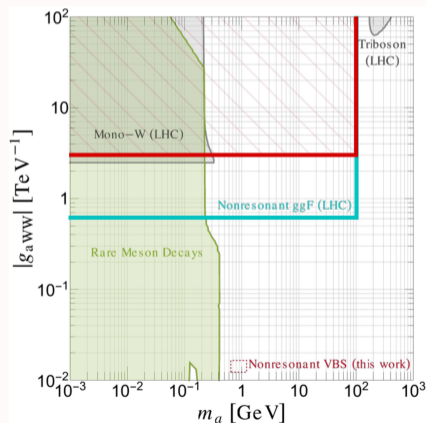
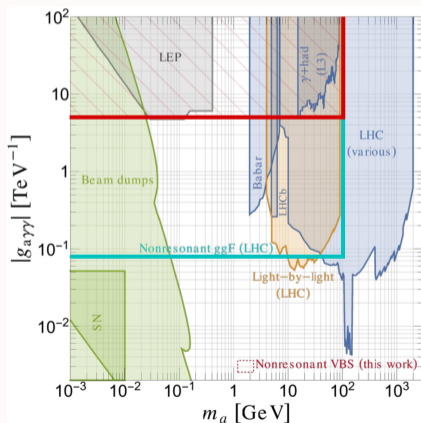
Non-resonant searches in VBS: Run 2 results

gauge invariant param. \rightarrow all EW couplings simultaneously accounted for



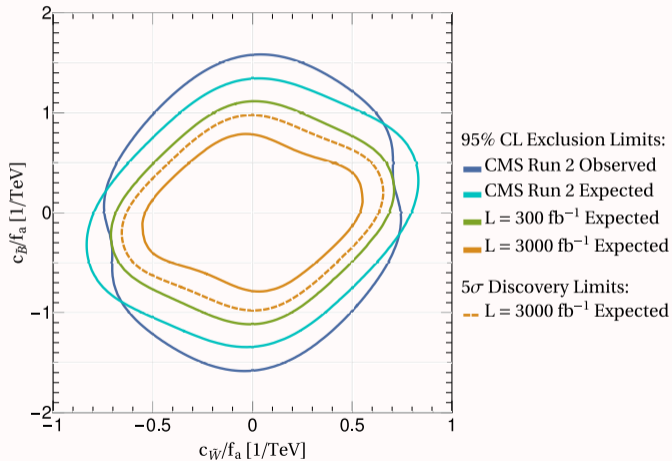
Comparison with other constraints

- ▶ strongest bound on g_{aZZ} , g_{aWW} for $m_a \in [0.1, 100]$ GeV
- main values
- ▶ independent of $C_{\tilde{G}}$
 - ▶ independent of m_a, Γ_a as long as $<$ threshold
- } relevant to break flat directions



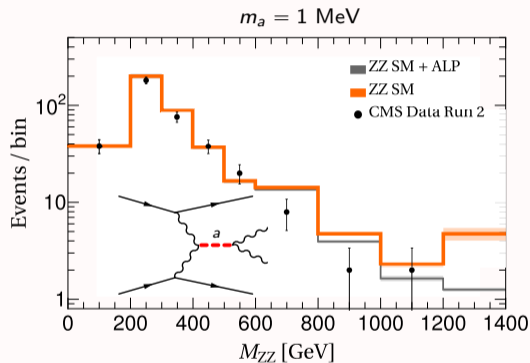
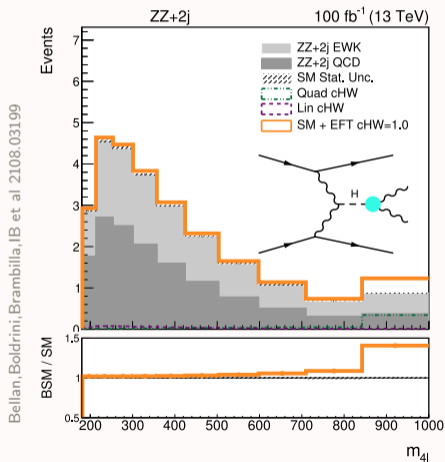
Non-resonant searches in VBS: projections

HL-LHC: sensitivity improves $\times 5 - 8$ on $XS \rightarrow \times 1.5 - 1.7$ on C_i/f_a



$pp \rightarrow jjZZ$ in SMEFT

$pp \rightarrow jjZZ$ with an ALP



Bellan, Boldrini, Brambilla, IB et al 2108.03199

Bonilla, IB, Machado, Trocóniz 2202.03450

Wrapping up

- ▶ the **Standard Model** of particle physics is **extremely successful, but not the ultimate theory!**
- ▶ the **Large Hadron Collider** at CERN hasn't found evidence for **new resonances** yet
- ▶ in the next 20 years, it will collect 20 times more data than today → **a precision machine!**
- ▶ **SMEFT** and EFTs in general can help us make the most out of this dataset!
→ a very **challenging program**, being developed by theory and experiments
- ▶ Non-resonant signals interesting also for **light new physics**, e.g. top-philic bosons, ALPs...
→ relevant at $\sqrt{s} \gg m$
→ can help cover **unexplored regions** of parameter space
- ▶ **Interplay** of non-resonant signals from heavy and light states not much explored yet




a newly approved COST Action!


“COmprehensive Multiboson Experiment-Theory Action”

 very broad scientific program

- ▶ **SMEFT/HEFT studies** of multi-boson processes (as many H/W/Z as wished), also with global perspective
- ▶ **precision calculations** and development of MC, PS etc
- ▶ **W, Z polarizations**: conventions, higher-order predictions, MC
- ▶ development of **ML-based tools**, together with ML experts outside academia: polarization taggers, jet taggers for VBF topologies, optimal observables. . .

€ for networking: will organize **workshops, schools, topical meetings**
+ funds for short/medium-term **visits** to other institutions within Europe

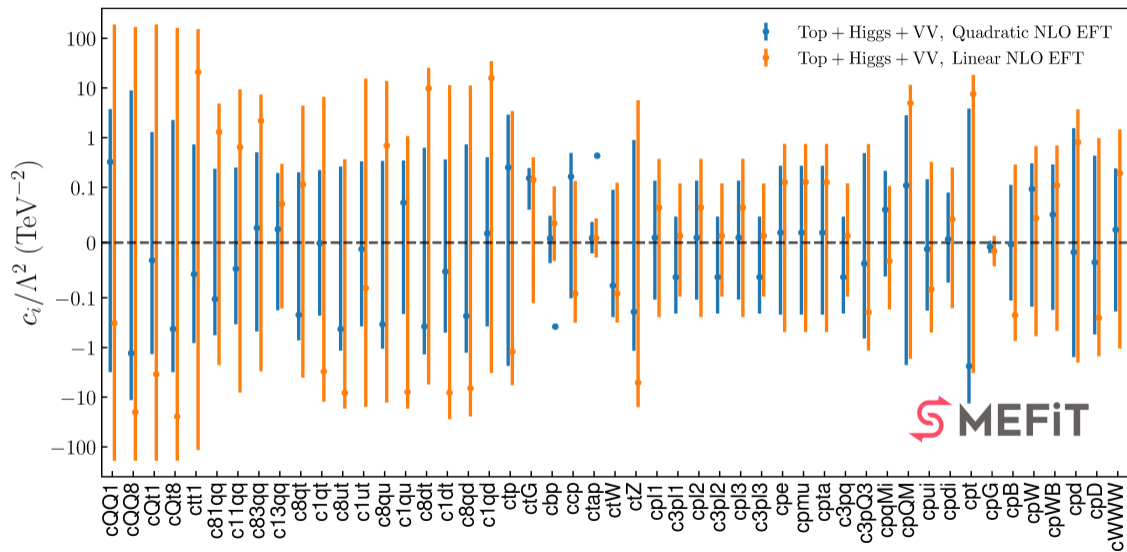
 currently ~ 1/3 theorists + 2/3 experimentalists + a few ML experts

 funding will start in November, activities in 2024 – 2027

sign up & more info at www.cost.eu/actions/CA22130/

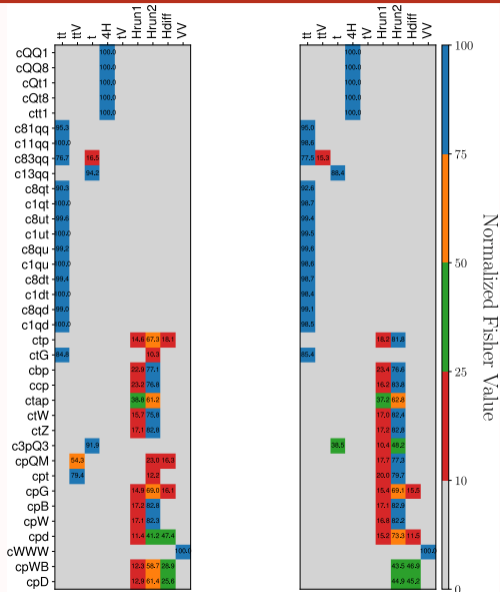
Backup slides

SMEFT fit results



Fisher information

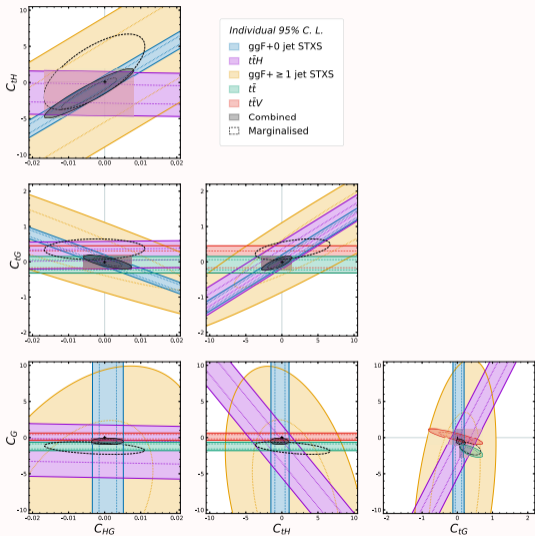
Ethier, Maltoni, Nocera, Rojo, Slade, Vryonidou, Zhang 2105.00006



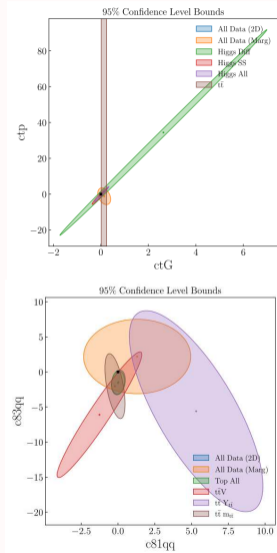
C_{tG} mostly constrained by $t\bar{t}$

ttV op. constrained by $h \rightarrow \gamma\gamma$, single- t , $t\bar{t}V$

Top and Higgs interplay



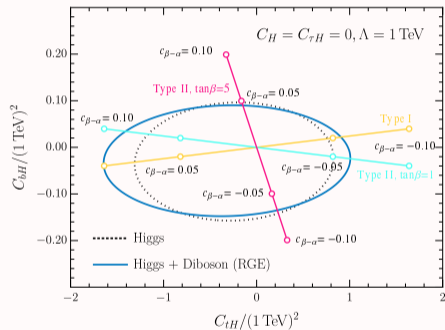
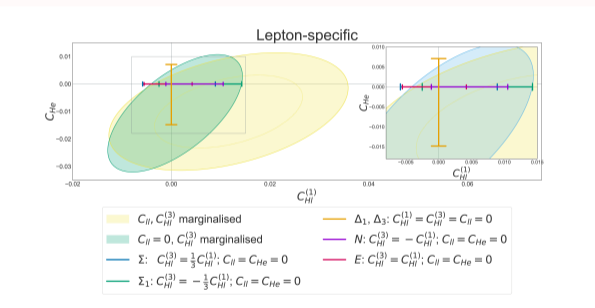
Ellis, Madigan, Mimasu, Sanz, You 2012.02779



Ethier, Maltoni, Mantani, Nocera, Rojo 2105.00006

Reduced fits via matching to UV models

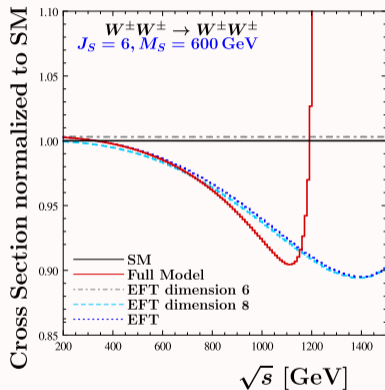
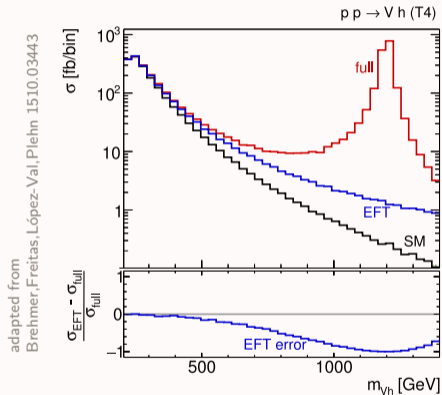
Ellis, Madigan, Miras, Sanz, You 2012.02779



Dawson, Homiller, Lane 2007.01296

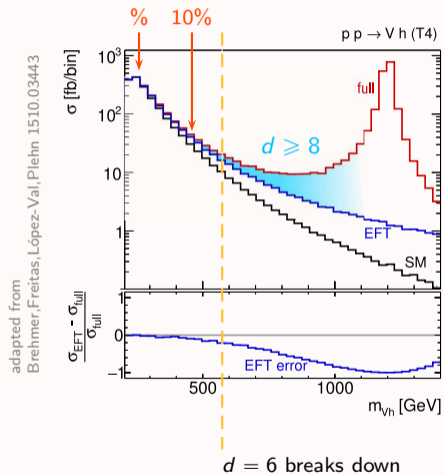
Impact of higher order operators

EFT obtained from matching to full model



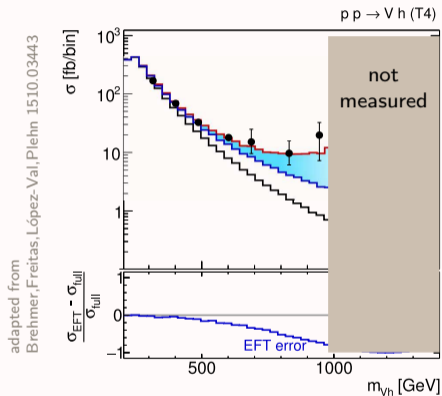
Impact of higher order operators

EFT obtained from matching to full model



Impact of higher order operators

EFT obtained from matching to full model



adapted from
Brehmer, Freitas, López-Val, Plehn 1510.03443

top-down: C_i fixed by matching
 \rightarrow EFT not valid in high-E region

bottom-up: fit C_i to data
tends to make EFT match full result
 \rightarrow find wrong values of C_i

how to keep this into account?

sliding upper cut:
Contino, Falkowski, Goertz,
Grojean, Riva 1604.06444

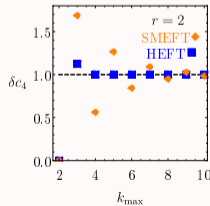
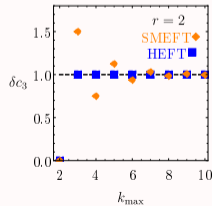
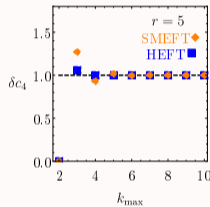
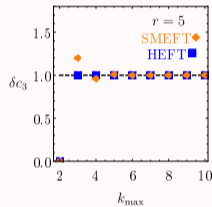
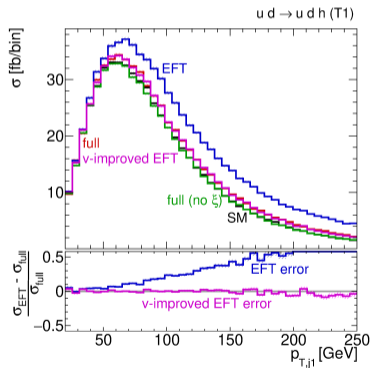
uncertainty band:
Trott et al 1508.05060, 2007.00565, 2106.13794
Hays, Martin, Sanz, Setford 1808.00442
Shepherd et al 1812.07575, 1907.13160

compute at $O(\Lambda^{-4})$
Boghezal, Mereghetti, Petriello 2106.05337
Asteriadis, Dawson, Fontes, Homiller, Sullivan
2110.06929, 2205.01561, 2212.03258

SMEFT or HEFT?

a component of the $d = 6$ vs model discrepancy can be removed by reabsorbing higher powers of v within $d = 6$ coefficients instead of leaving them to $d \geq 8$

conceptually same as matching to **HEFT** instead



Cohen, Craig, Lu, Sutherland 2008, 08597

which EFT is most convenient?

What is HEFT?

rather than H doublet:
singlet h + Goldstones \mathbf{U}

Feruglio 9301281, Grinstein, Trott 0704.1505, Buchalla, Catà 1203.6510,
Alonso et al 1212.3305, IB et al 1311.1823, 1604.06801,
Buchalla et al 1307.5017, 1511.00988. . .

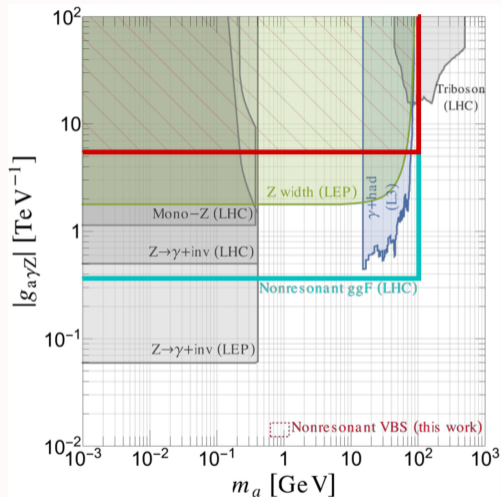
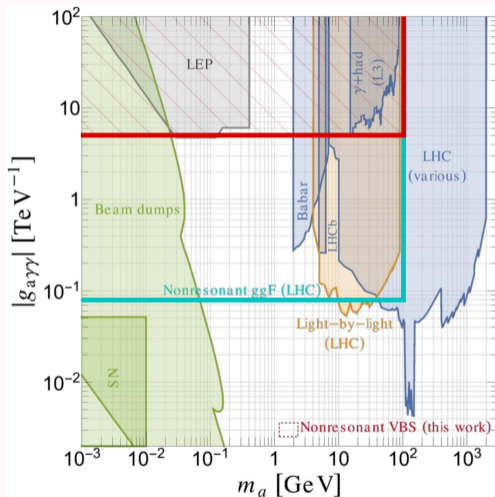
$$H \mapsto \frac{v + h}{\sqrt{2}} \mathbf{U}, \quad \mathbf{U} = \exp\left(\frac{i\vec{\sigma} \cdot \vec{\pi}}{v}\right)$$

HEFT \supset SMEFT \supset SM

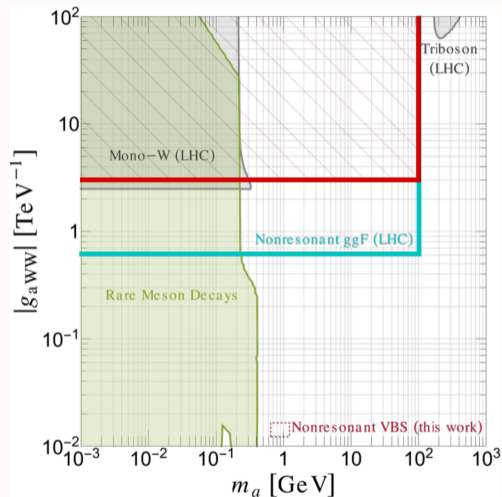
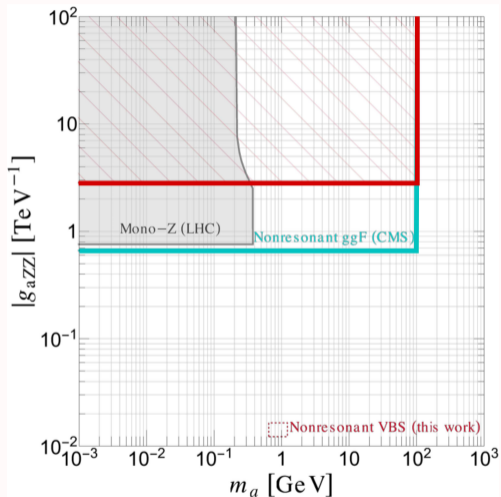
- ▶ **more general** than SMEFT because implements weaker symmetry requirement
- ▶ **more complicated** power counting, mix of χ PT and canonical dimensions
- ▶ **more operators** order-by-order in the expansions

however, the $H \rightarrow h, \mathbf{U}$ map above must be an **unphysical** field redefinition!

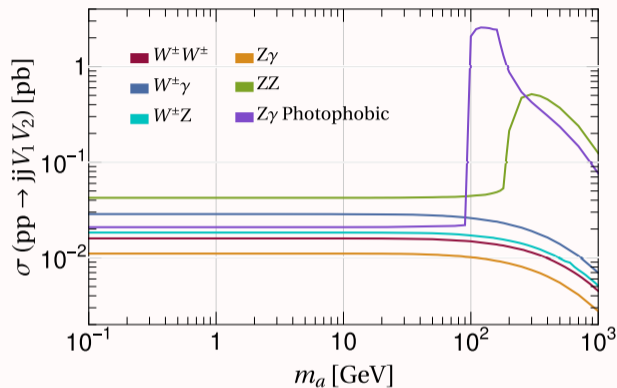
Bounds on ALP couplings



Bounds on ALP couplings



Dependence on ALP mass and width



- ▶ as long as $q^2 \gg m_a, \Gamma_a$, **independent** of exact values of mass and width
“reverse” of an EFT ($q^2 \gg m^2$ vs $q^2 \ll m^2$ limit)
- ▶ XS stable up until $m_a \lesssim 100$ GeV

Perturbative unitarity


partial-wave decomposition for $2 \rightarrow 2$ scattering:

Jacob, Wick 1959

V_i = vector bosons or scalars

λ_i = helicities ($V: \lambda_i = 0, \pm 1$, $S: \lambda_i \equiv 0$), $\lambda = \lambda_1 - \lambda_2$, $\mu = \lambda_3 - \lambda_4$

T^J = amplitude for J -wave scattering



$$= 16\pi \sum_J (2J+1) \sqrt{1 + \delta_{V_1 \lambda_1}^{V_2 \lambda_2}} \sqrt{1 + \delta_{V_3 \lambda_3}^{V_4 \lambda_4}} e^{i(\lambda - \mu)\phi} d_{\lambda\mu}^J(\theta) \quad T^J(V_1^{\lambda_1} V_2^{\lambda_2} \rightarrow V_3^{\lambda_3} V_4^{\lambda_4})$$

unitarity = $|T^J(V_1^{\lambda_1} V_2^{\lambda_2} \rightarrow V_1^{\lambda_1} V_2^{\lambda_2})| \leq 1$ for $s \gg (M_1 + M_2)^2$ [defined for *elastic* scattering]

unitarity violation = unphysical pred. $\left\{ \begin{array}{l} \rightarrow \text{the theory is not valid: new dynamical states must be included} \\ \rightarrow \text{pert. expansion is not valid: entering a non-perturbative regime} \end{array} \right.$

in ALP EFT: $|T^J| \sim \left[C_i \frac{\sqrt{s}}{f_a} \right]^n \left[\frac{\sqrt{s}}{m_W} \right]^m$ becomes > 1 for large \sqrt{s} or (C_i/f_a)

Perturbative unitarity in ALP EFT

Calculation strategy

IB,Éboli,González-García 2106.05977

also: Corbett,Éboli,González-García 1411.5026,1705.09294

1. compute partial waves for all possible $2 \rightarrow 2$ processes in large \sqrt{s} lim:

$$V_1 V_2 \rightarrow V_3 V_4$$

$$ha \rightarrow ha$$

$$V_1 a \rightarrow V_2 a$$

$$hh \rightarrow aa$$

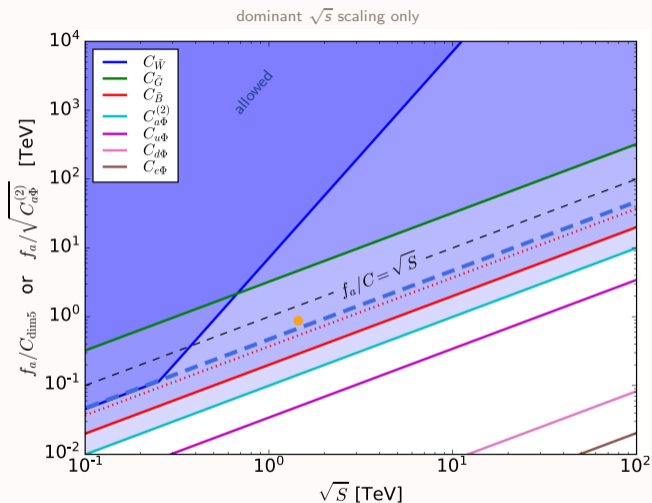
$$V_1 V_2 \rightarrow aa$$

$$f_1 \bar{f}_2 \rightarrow Va$$

$$V_1 V_2 \rightarrow V_3 a$$

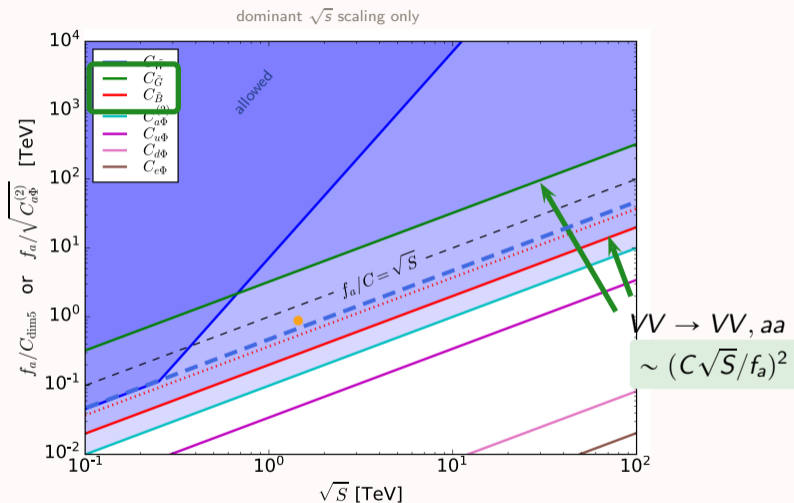
2. construct $T^{J=0}, T^{J=1}$ matrices in final states (particle and helicity) space
→ block-diagonal classifying processes by Q and color contraction
3. **diagonalize** T^J matrices → “overall” constraint on theory
4. apply elastic unitarity requirement $|t^J| \leq 1$ on each eigenvalue

Unitarity constraints on ALP couplings



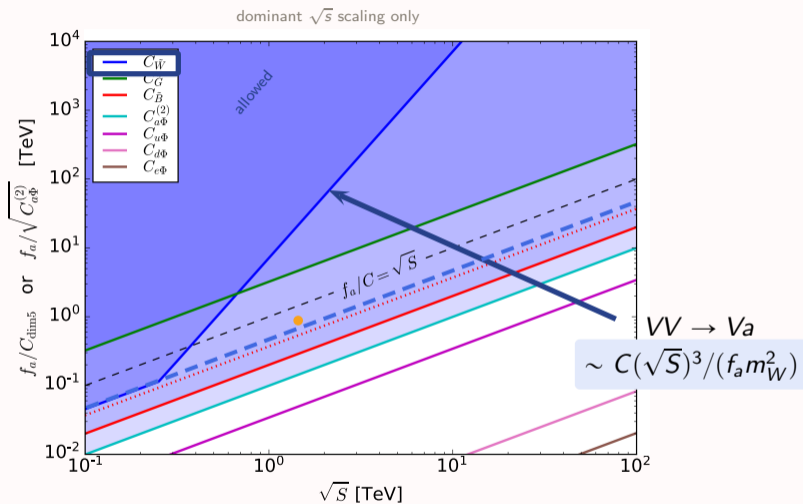
⚠ \sqrt{s} overall scale, cannot be interpreted “literally” in specific processes

Unitarity constraints on ALP couplings



⚠ \sqrt{s} overall scale, cannot be interpreted “literally” in specific processes

Unitarity constraints on ALP couplings



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