

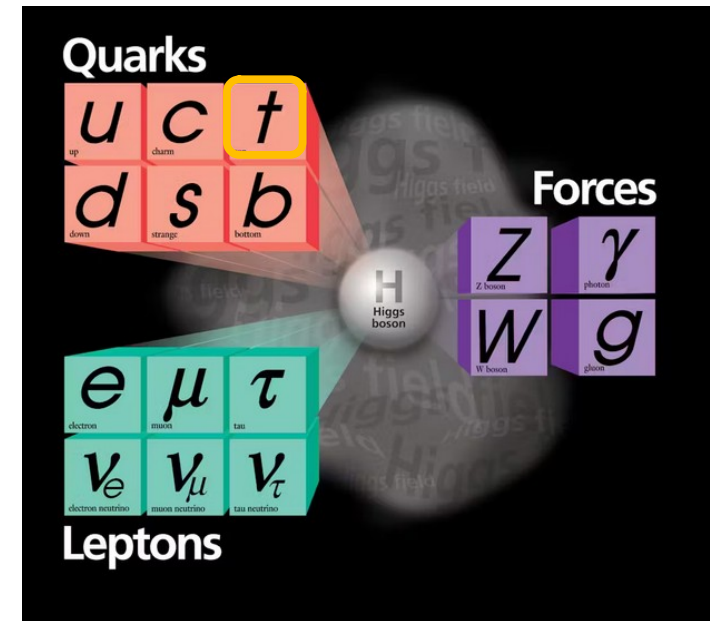
Twists in Top: Searching for (pseudo)scalar $t\bar{t}$ production

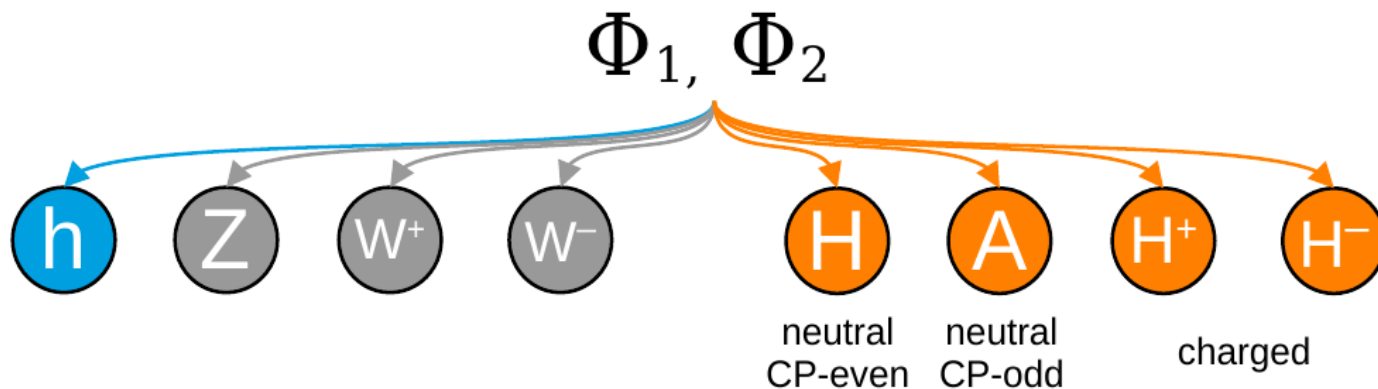
Alexander Grohsjean



16th of January 2025

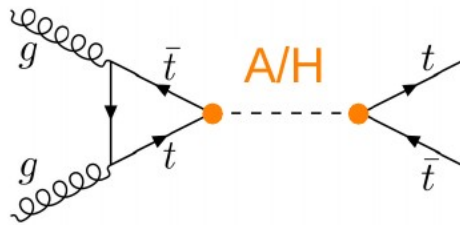
- ◆ top quarks are special
- ◆ short lifetime of $O(10^{-25})$ s
→ top spin propagated to decay products
- ◆ heaviest elementary particle:
 172.52 ± 0.33 GeV (PRL 132 (2024) 261902)
- ◆ top-Higgs coupling close to 1
→ top might be a key in finding (pseudo)-scalars beyond the SM



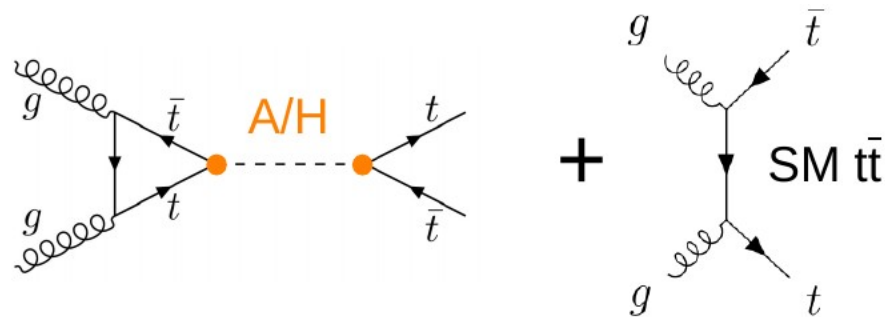


- ◆ extend SM by one complex SU(2) doublet
- ◆ four additional degrees of freedom: $H/A/H^+/H^-$
- ◆ up-type quarks couple to ϕ_1 , down-type quarks and charged fermions to ϕ_2
- ◆ strong couplings to top quarks:
if $m_{A/H} > 2m_t \rightarrow t\bar{t}$ final states promising for a discovery

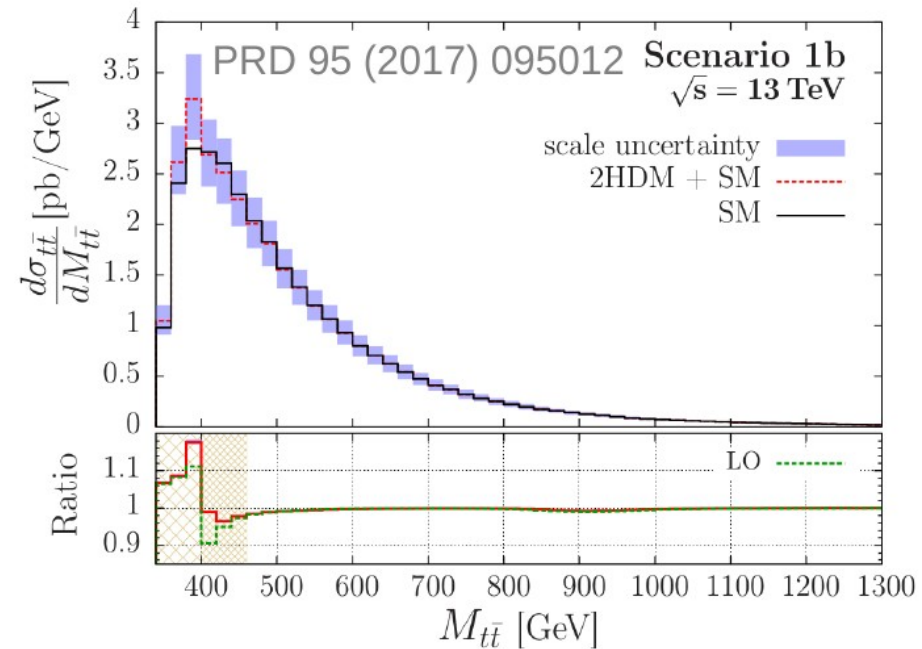
- ◆ A/H production in gluon fusion via top quark loop



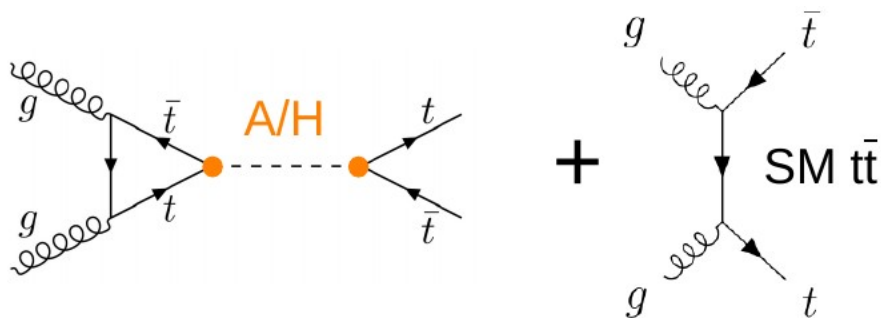
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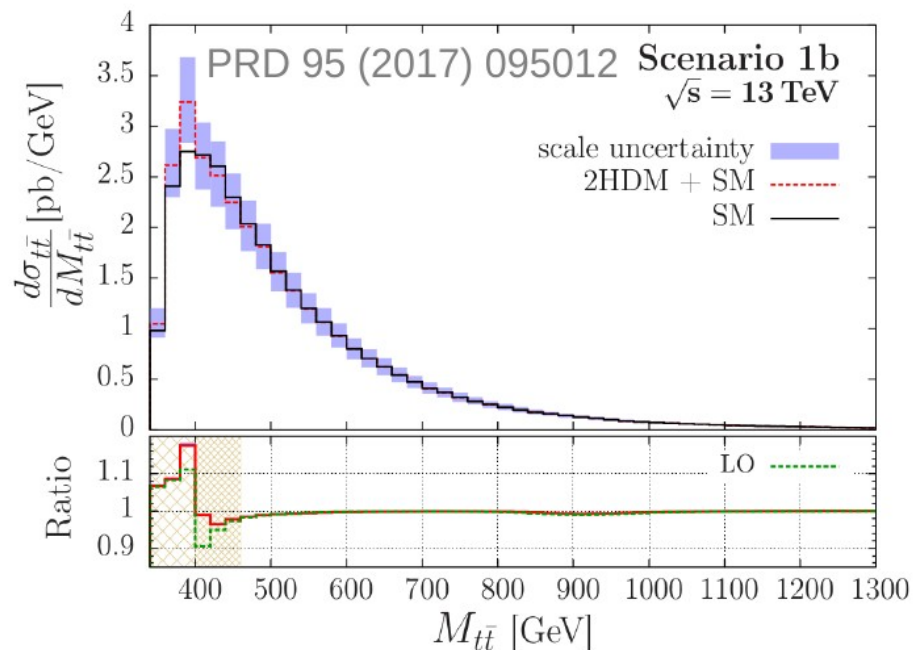
- ◆ same final state as SM $t\bar{t}$ \rightarrow interference
 \rightarrow peak-dip structure in $m_{t\bar{t}}$



- ◆ A/H production in gluon fusion via top quark loop



- ◆ same final state as SM $t\bar{t}$ \rightarrow interference
 \rightarrow peak-dip structure in $m_{t\bar{t}}$



- ◆ MC realization:

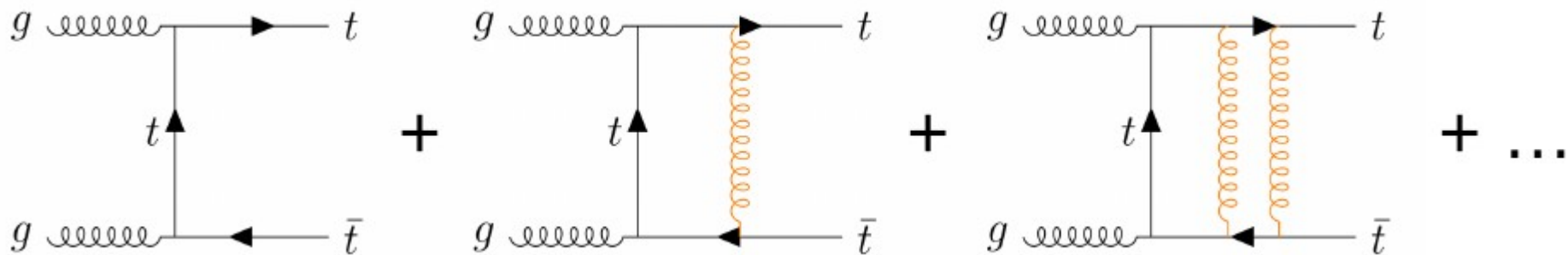
- generic A/H UFO at LO in QCD
- free parameters: masses, widths, coupling modifiers g_A/g_H

- ◆ NNLO QCD K-factors for normalization (SusHi, CPC 184 (2013) 1605)

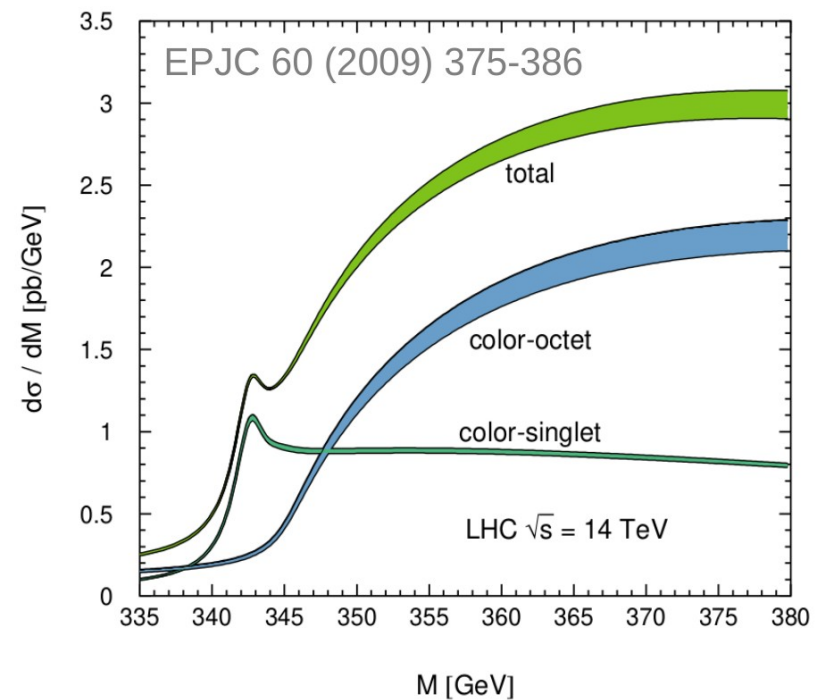
$$\mathcal{L}_A^{\text{int}} = ig_{A t\bar{t}} \frac{m_t}{v} \bar{t} \gamma_5 t A$$

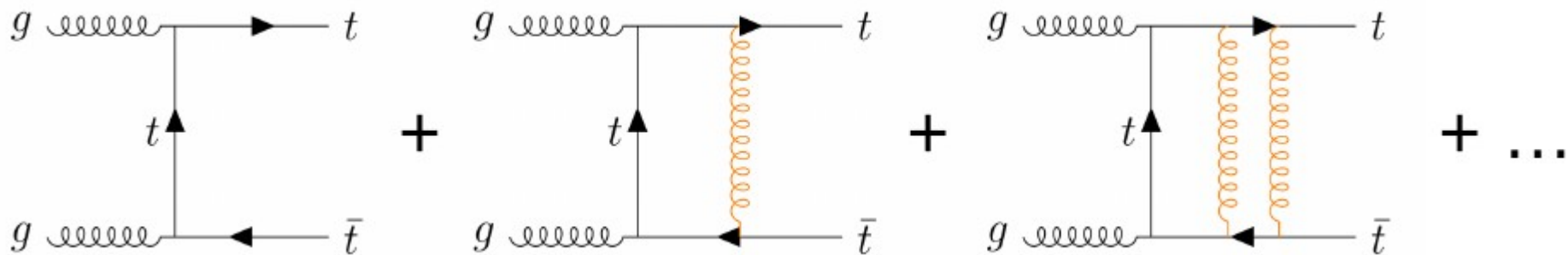
$$\mathcal{L}_H^{\text{int}} = -g_{H t\bar{t}} \frac{m_t}{v} \bar{t} t H$$

SM Pseudoscalars: $t\bar{t}$ Quasi-Bound States

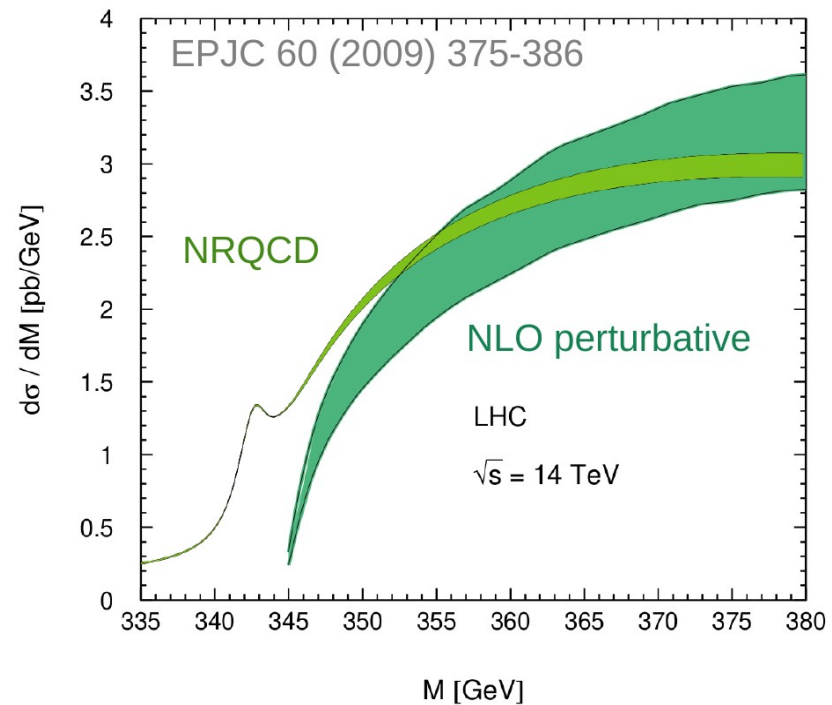


- ◆ use non-relativistic QCD
- ◆ color-singlet (attractive)
→ peak below the $t\bar{t}$ threshold
- ◆ color-octet (repulsive)
→ expected to be small below threshold

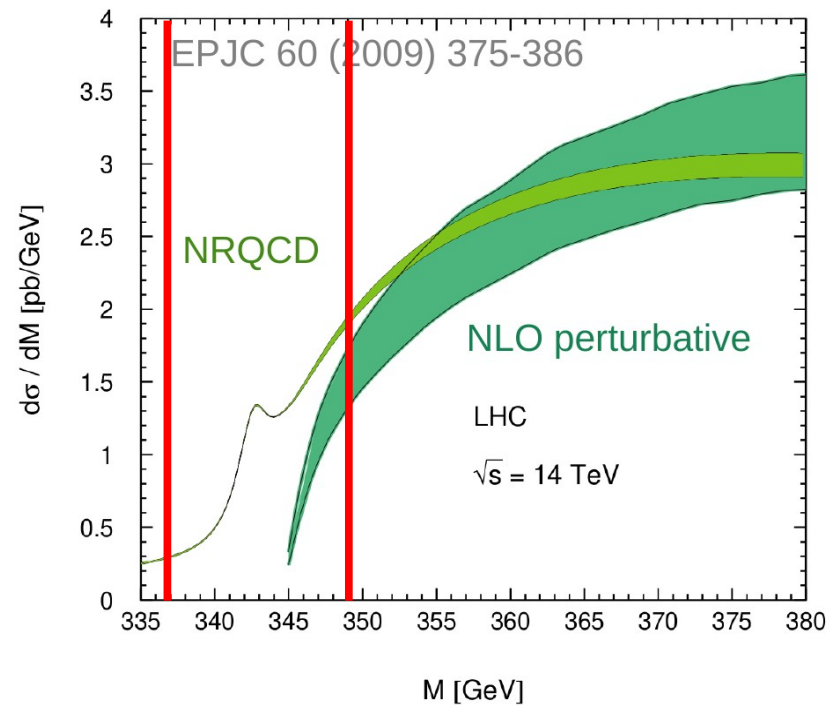




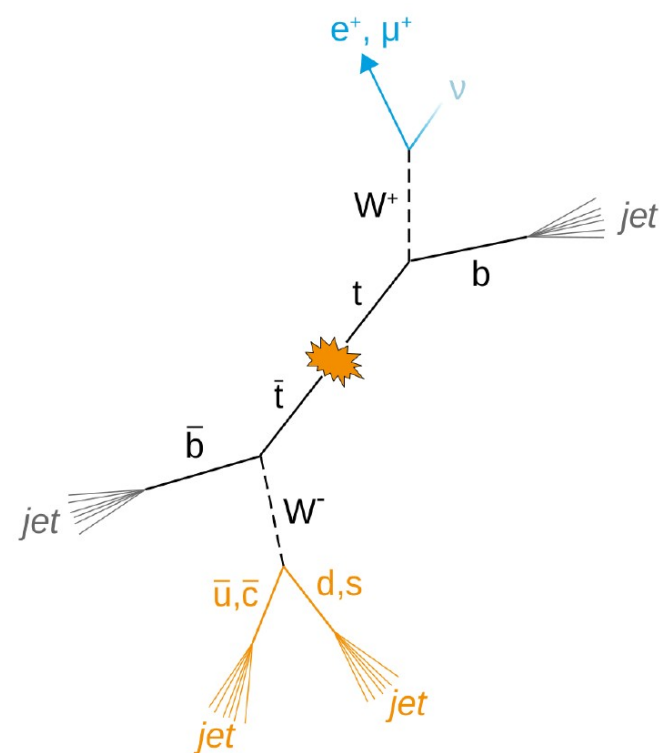
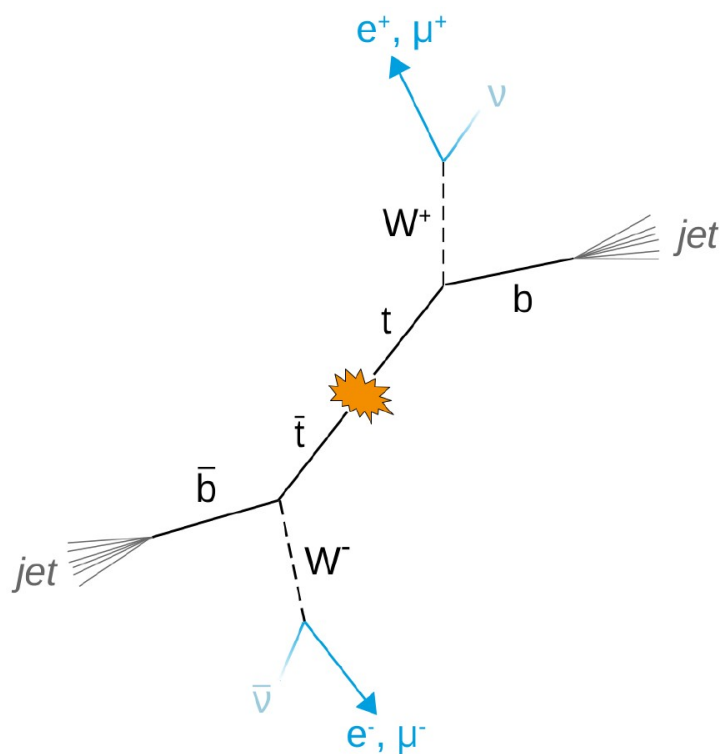
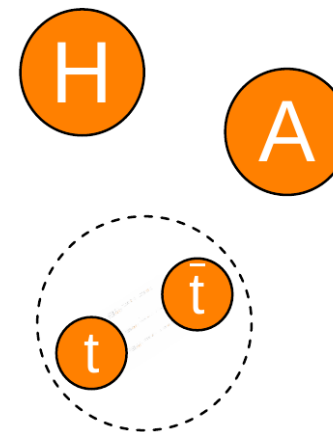
- ◆ use non-relativistic QCD
- ◆ color-singlet (attractive)
 - peak below the $t\bar{t}$ threshold
- ◆ color-octet (repulsive)
 - expected to be small below threshold
- ◆ difficulties: matching to NLO pQCD

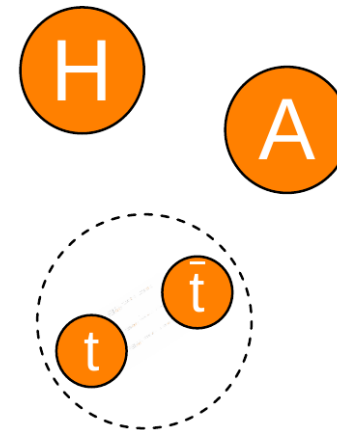


- ◆ simplified η_t model for MC simulation (Fuks et al., PRD 104 (2021) 034023)
 - generic spin-0, color-singlet state η_t
 - couplings to gluons and tops (pseudoscalar)
 - mass from fit to NRQCD: $m_{\eta_t} = 343$ GeV
- ◆ pick large η_t width but restrict mass window to 337 – 349 GeV for $p p > \eta_t > W b W b$
- ◆ to remember:
 - details of lineshape well below experimental resolution (15% - 25%)
 - very similar signature as low-mass A resonance

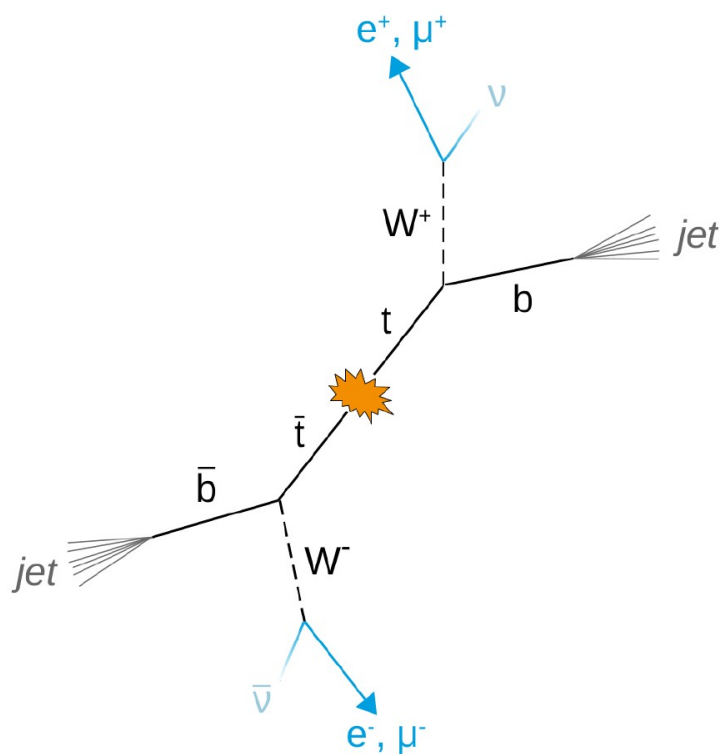


- ◆ explore full Run II data set at 13 TeV with 138 fb^{-1} recorded between 2016 and 2018
- ◆ two analysis channels: dilepton (ll) and lepton+jets (ljets)



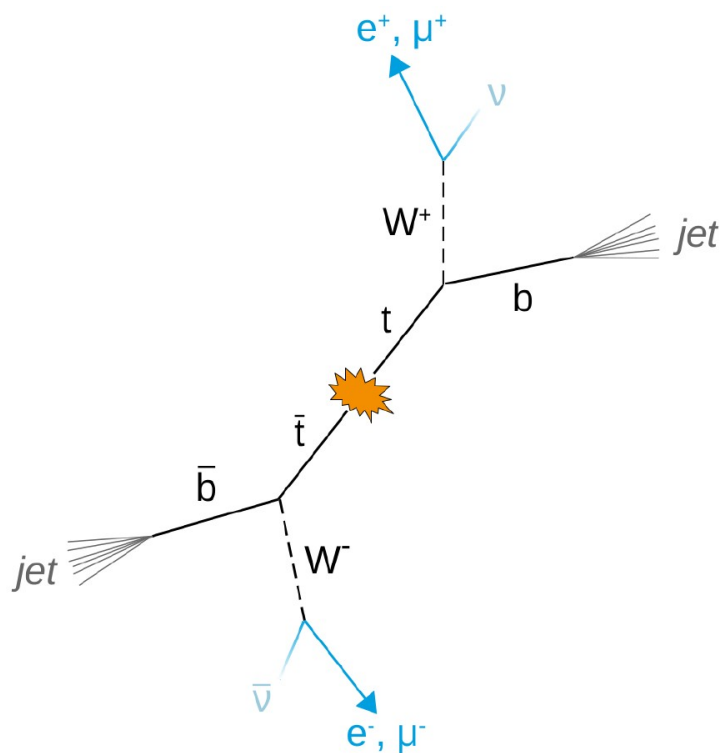
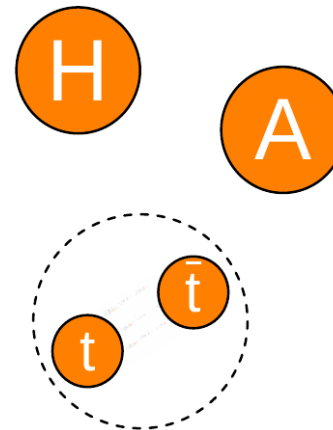


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- ◆ key to $t\bar{t}$ mass
 - analytic reconstruction of $t\bar{t}$ system
 - 6 unknowns (2 ν 's)
 - 6 constraints:
 - ◆ $p_{\text{T}}^{\text{miss}}$ from ν 's
 - ◆ top and W masses
 - assign b-jets using m_{lb} -based likelihood
 - finite detector resolution:
 - ◆ repeat 100 times with smeared inputs

- ◆ explore full Run II data set at 13 TeV with 138 fb⁻¹ recorded between 2016 and 2018
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- ◆ key to $t\bar{t}$ mass and spin correlation

$$\frac{1}{\sigma} \frac{d^4\sigma}{d\Omega_1 d\Omega_2} = \frac{1}{(4\pi)^2} \left(\mathbf{1} + \vec{B}_1 \cdot \hat{\ell}^1 + \vec{B}_2 \cdot \hat{\ell}^2 - \hat{\ell}^1 \cdot \mathbf{C} \cdot \hat{\ell}^2 \right)$$

1

spin-independent

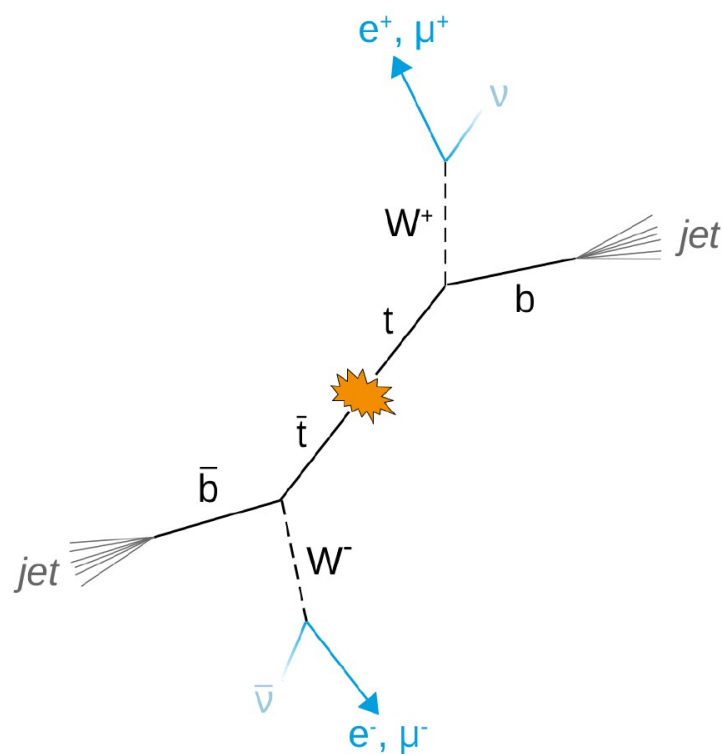
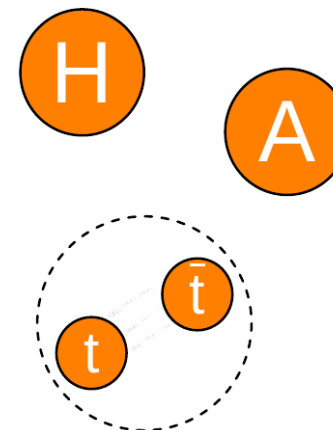
$$\vec{B}_{1/2} = \begin{pmatrix} x \\ x \\ x \end{pmatrix}$$

top polarization

$$\mathbf{C} = \begin{pmatrix} x & x & x \\ x & x & x \\ x & x & x \end{pmatrix}$$

spin correlation

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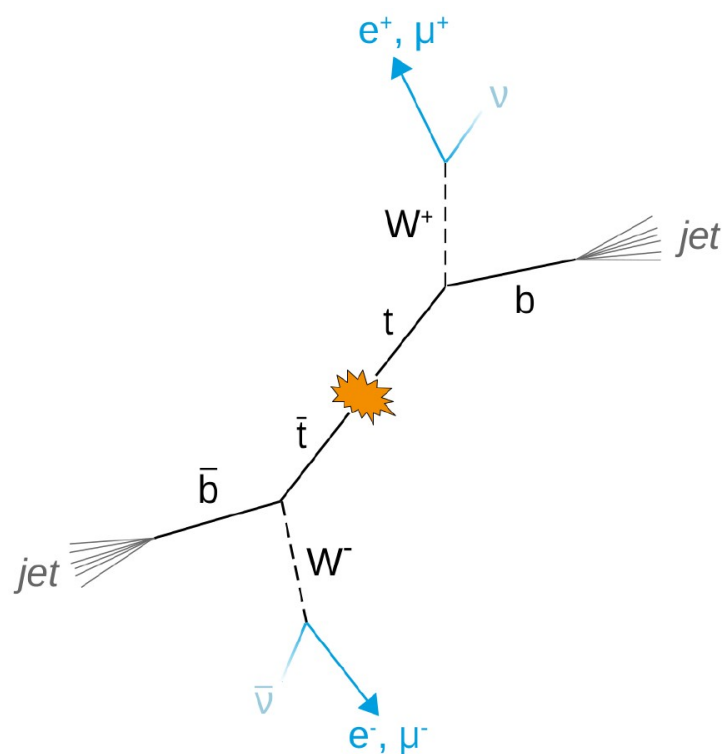
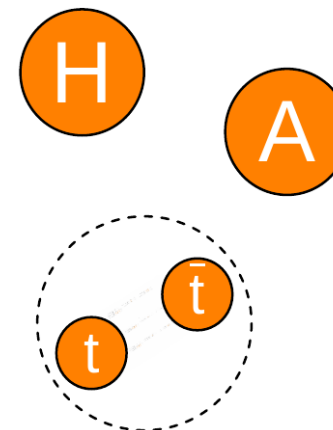
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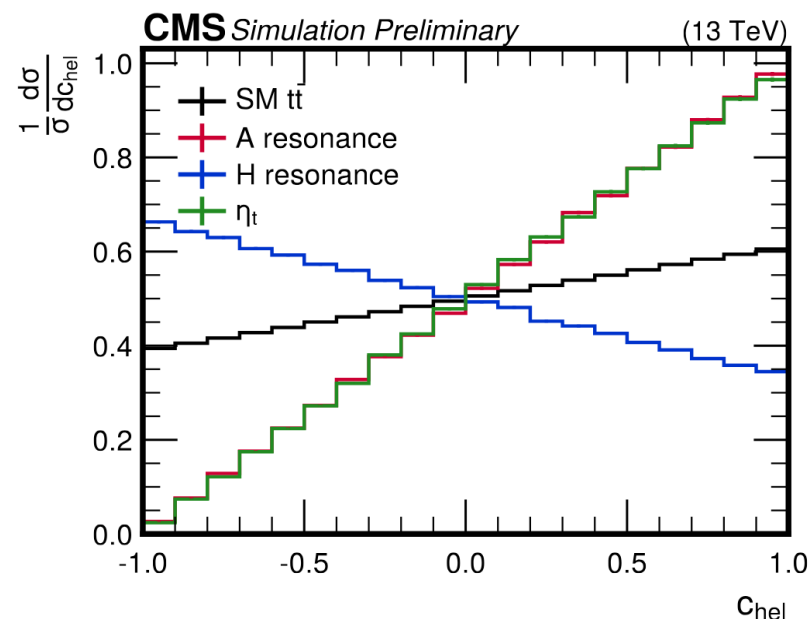
- ◆ A/H and $\eta_t \rightarrow t\bar{t}$ in a pure 1S_0 or 3P_0 spin state

$$\begin{pmatrix} -1 & & \\ & -1 & \\ & & -1 \end{pmatrix} \quad \begin{pmatrix} -1 & & \\ & 1 & \\ & & 1 \end{pmatrix}$$

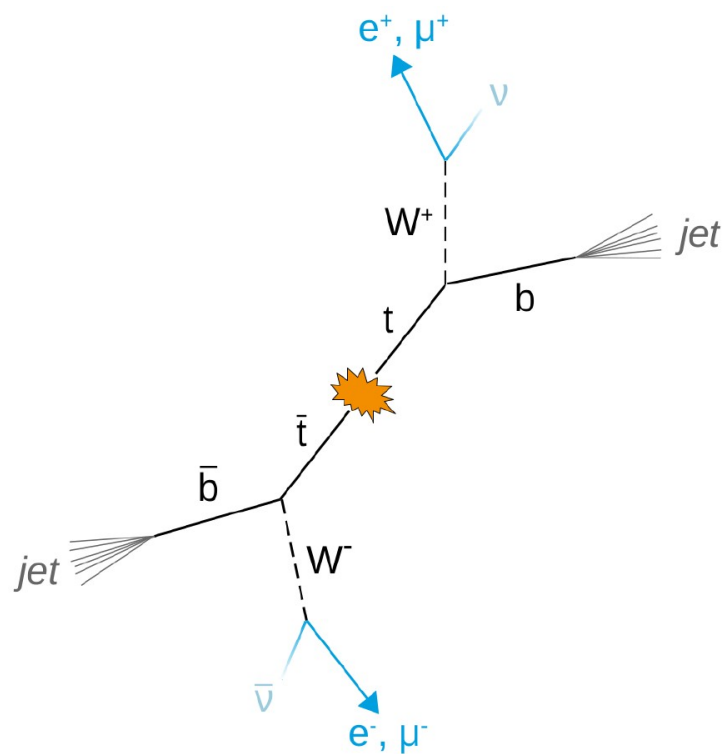
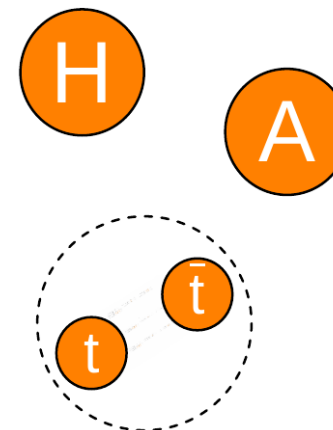
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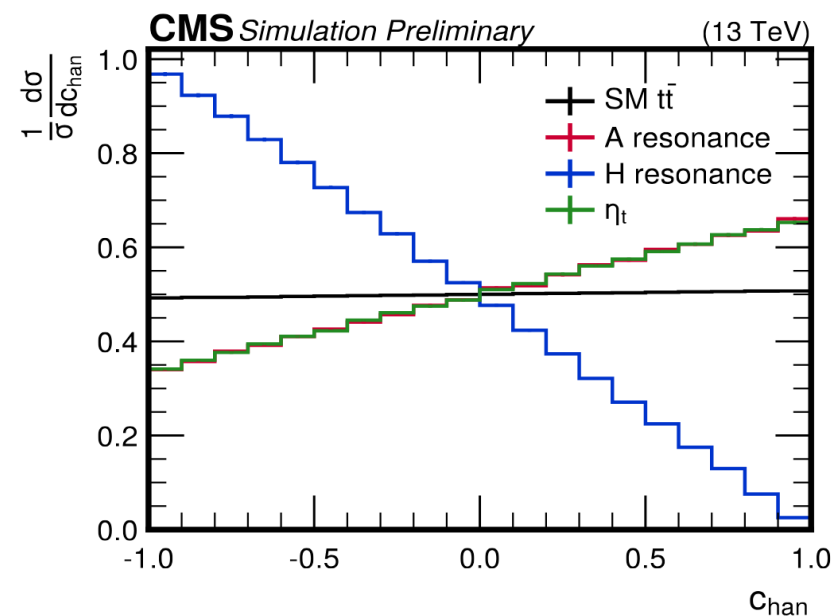
- ◆ key to $t\bar{t}$ mass and spin correlation
- ◆ C_{hel} : scalar product of leptons in parent top rest frame



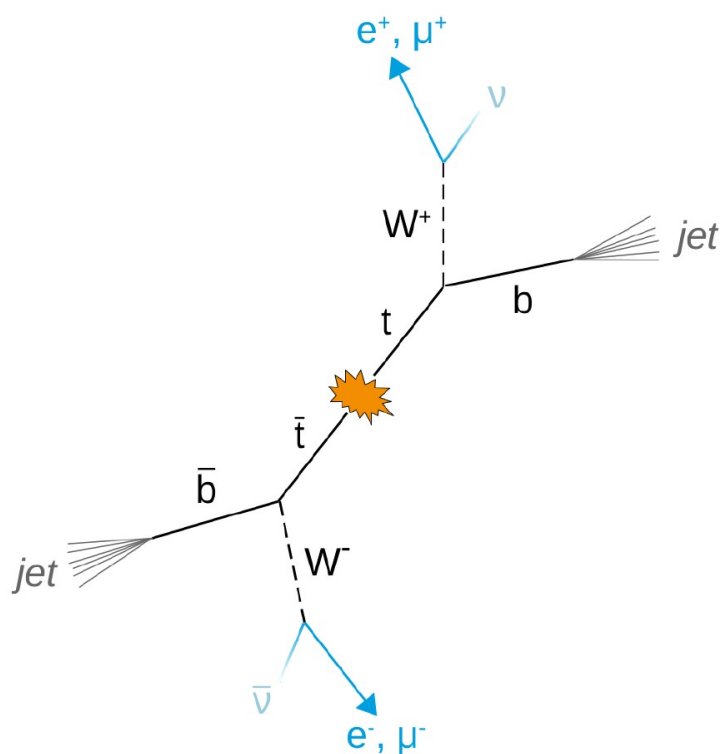
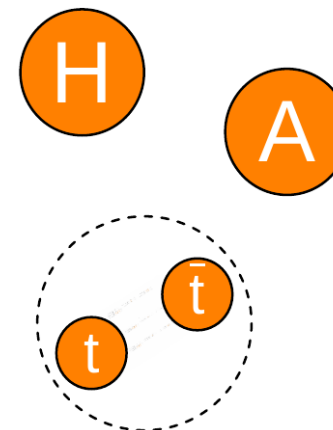
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- ◆ key to $t\bar{t}$ mass and spin correlation
- ◆ C_{hel} : scalar product of leptons in parent top rest frame
- ◆ C_{chan} : scalar product of leptons with sign flip in top direction

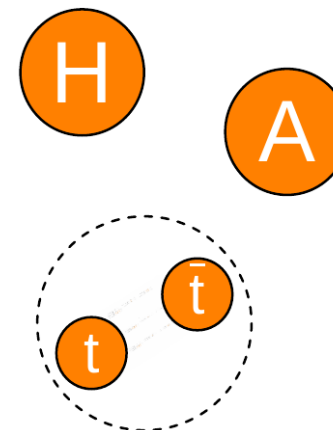


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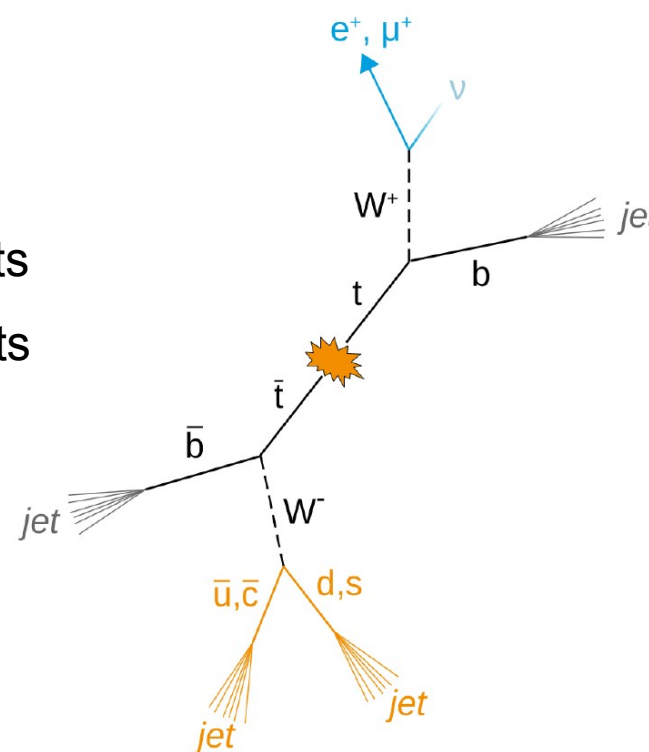
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3 search variables in ll: $m_{t\bar{t}} \times C_{\text{hel}} \times C_{\text{han}}$

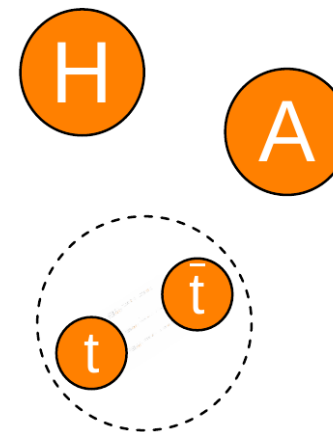


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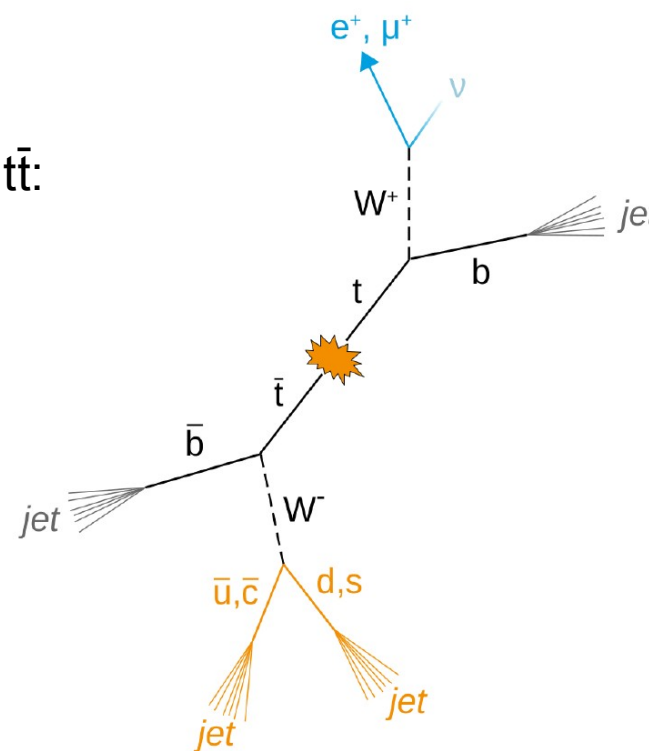
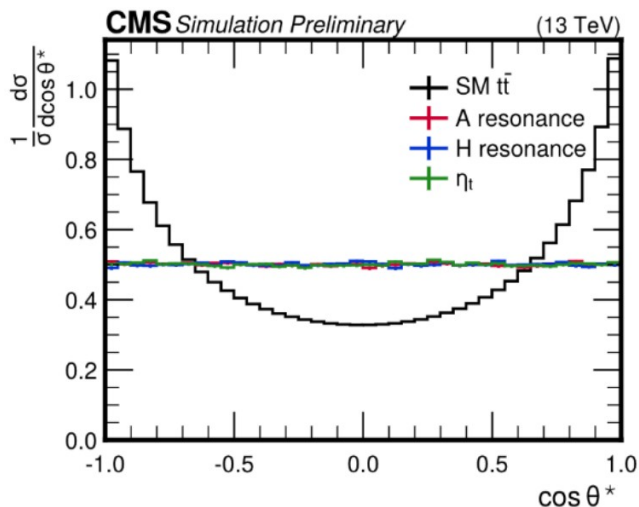
- ◆ reconstruct $t\bar{t}$ system with geometric approach (NIM A 736 (2014) 169)
- ◆ maximize sensitivity by using events with just 3 jets
 - energy correction factor for lost or merged jets (NIM A 788 (2015) 128)



- ◆ explore full Run II data set at 13 TeV with 138 fb⁻¹ recorded between 2016 and 2018
- ◆ two analysis channels: dilepton (ll) and lepton+jets (ljets)

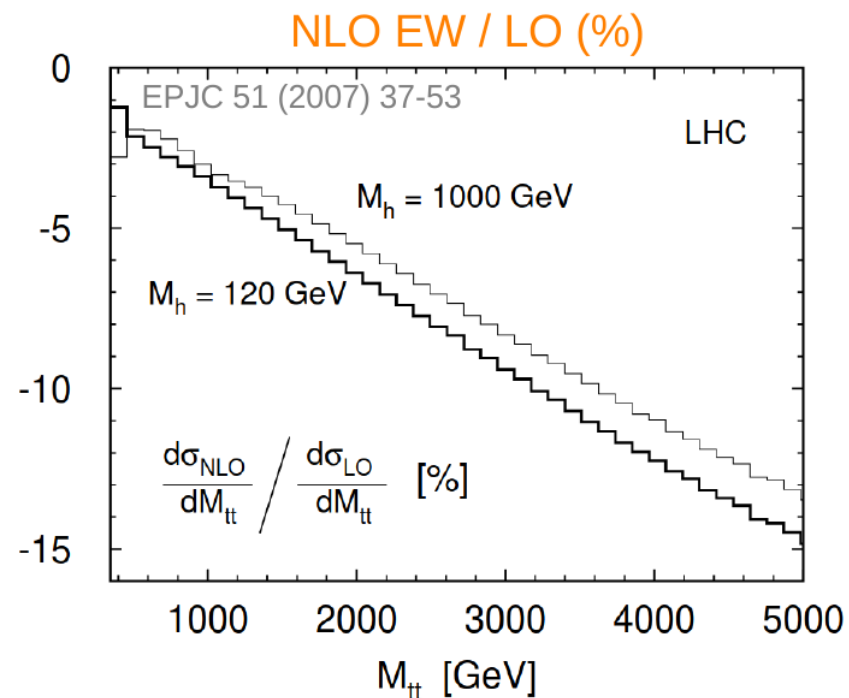


- ◆ reconstruct $t\bar{t}$ system with geometric approach
- ◆ use emission angle of leptonic top with respect to $t\bar{t}$:
 - flat for $A/H/\eta_t$

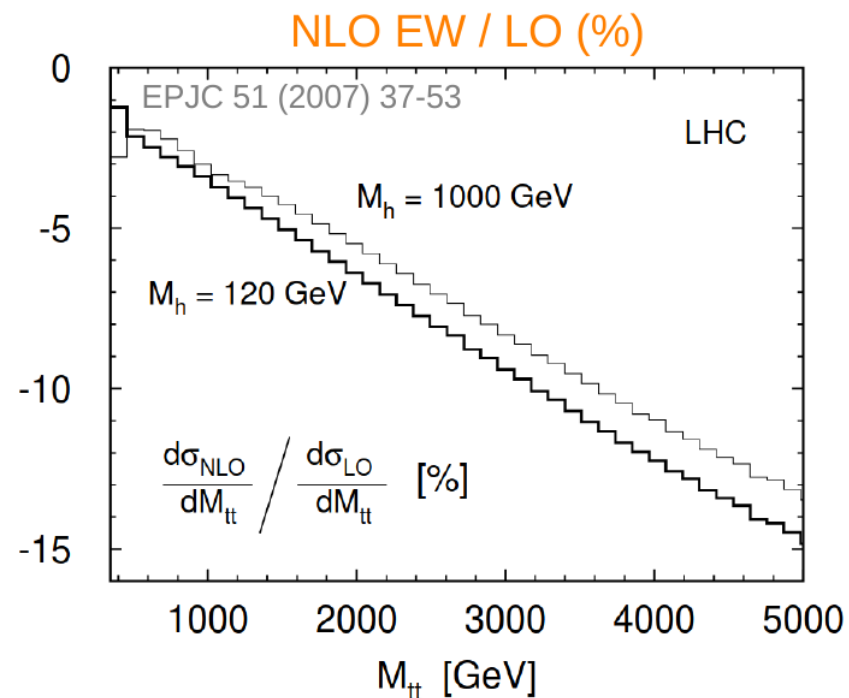


2 search variables in ljets: $m_{t\bar{t}} \times \cos \theta^*$

- ◆ major irreducible background: SM $t\bar{t}$
 - NLO MC (Powheg+Pythia 8)
 - correct to NNLO QCD and NLO EW fixed-order predictions
 - ◆ reweighting in bins of $m_{t\bar{t}}$ vs. $\cos\theta^*$
 - ◆ EPJC 78 (2018) 537, EPJC 51 (2007) 37
 - normalize to NNLO+NNLL cross section
 - ◆ CPC 185 (2014) 2930



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- ◆ other backgrounds: tW , t-channel single-top, rare processes (from MC)
- ◆ Z+jets in II: MiNNLO predictions with data-driven normalization around Z peak
- ◆ QCD processes in ljets: data-driven shape from sideband with no b-tags

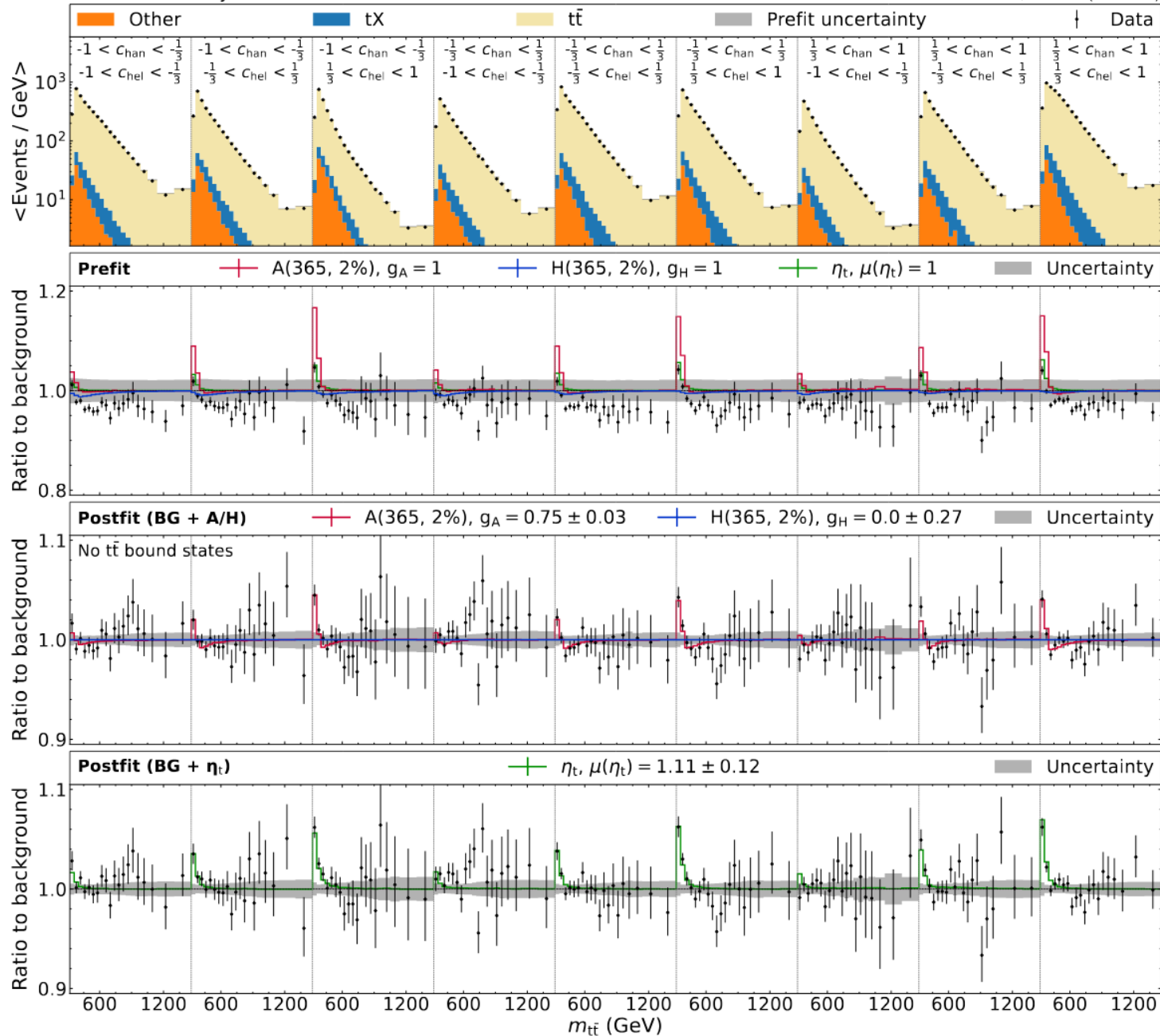
Results II Channel



CMS Preliminary

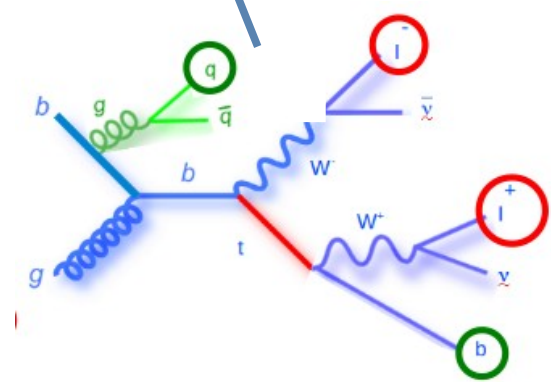
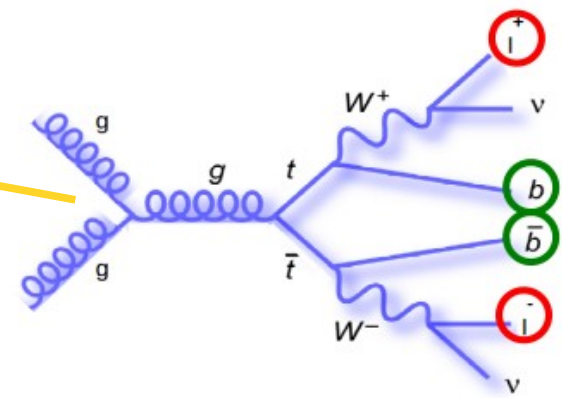
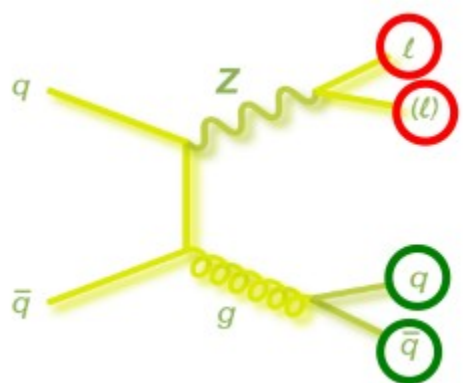
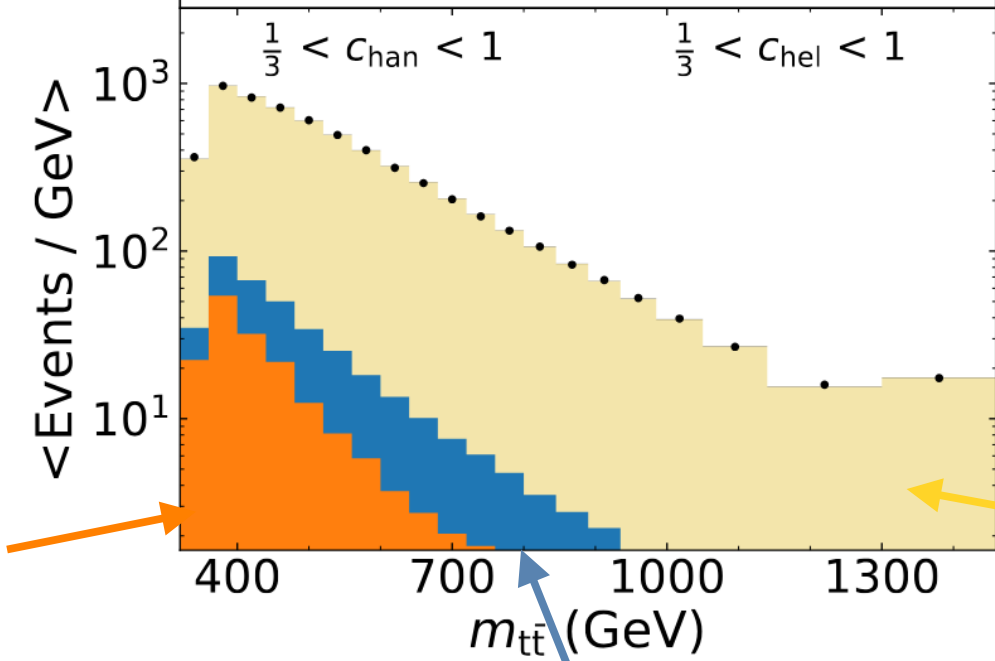
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138 fb⁻¹, Run 2 (13 TeV)

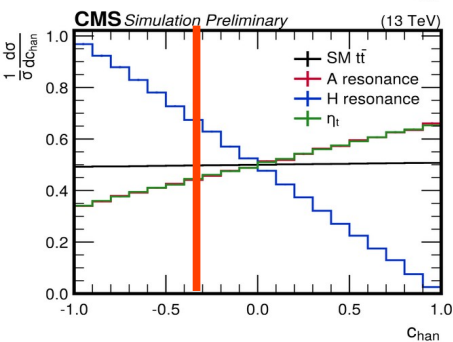
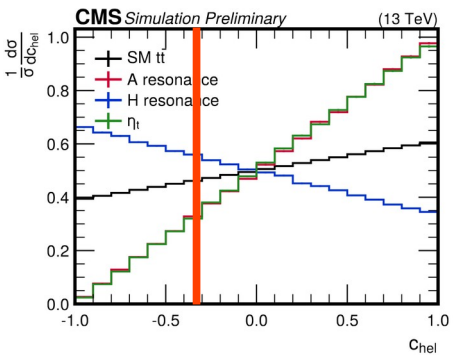
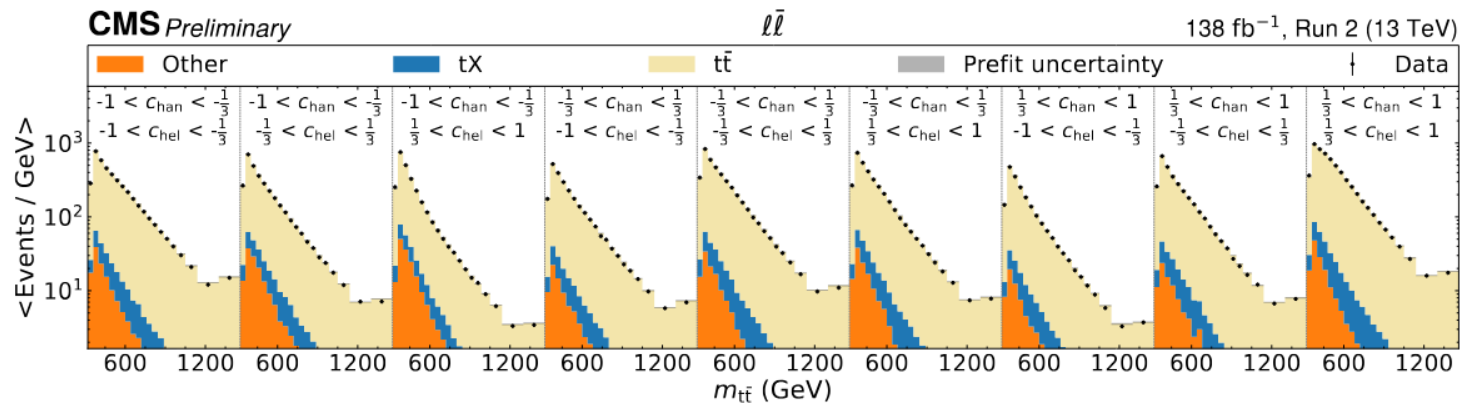


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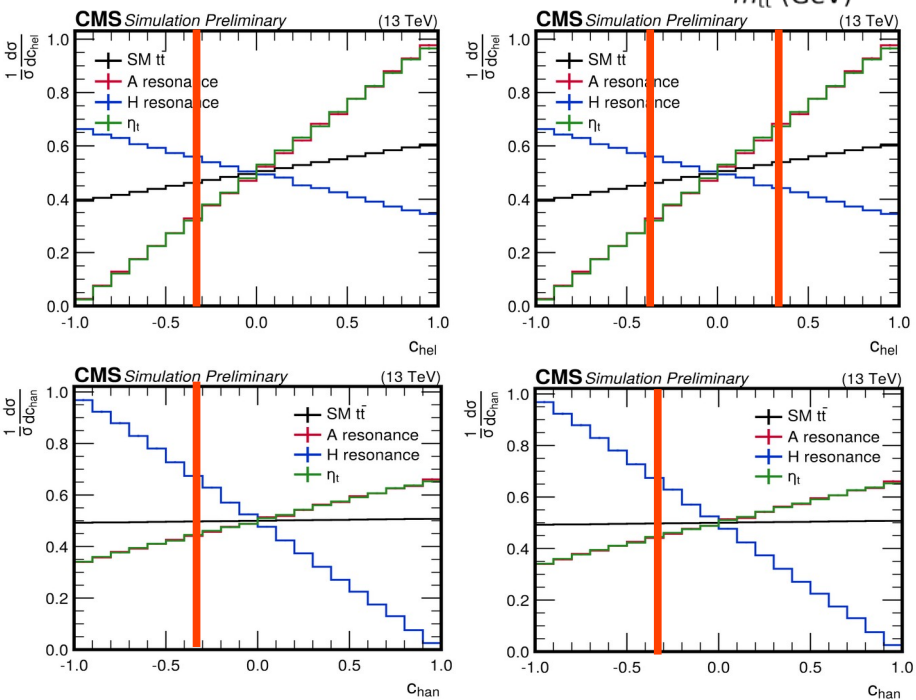
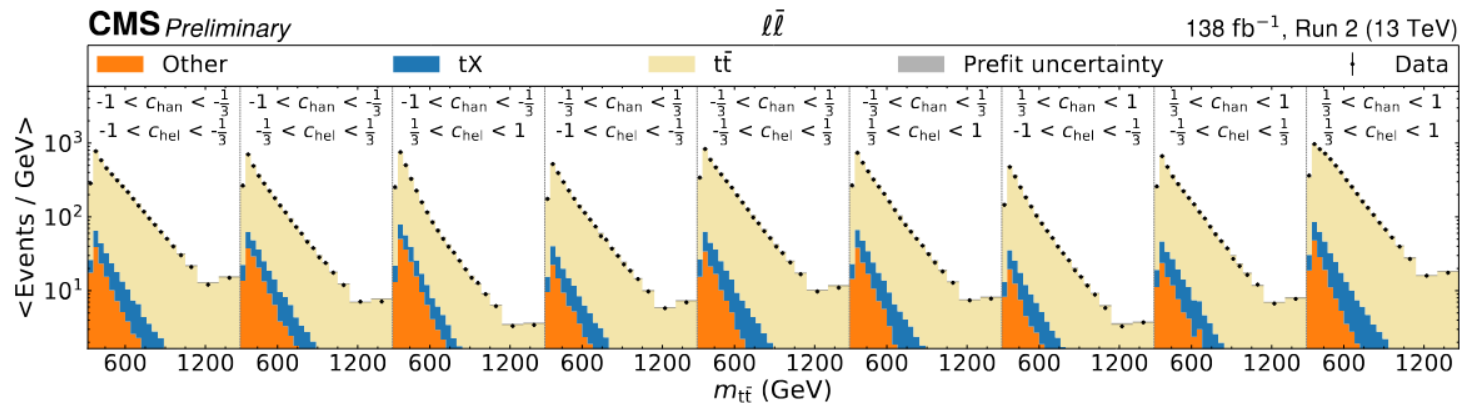
CMS Preliminary 138 fb⁻¹, Run 2 (13 TeV)



Results II Channel



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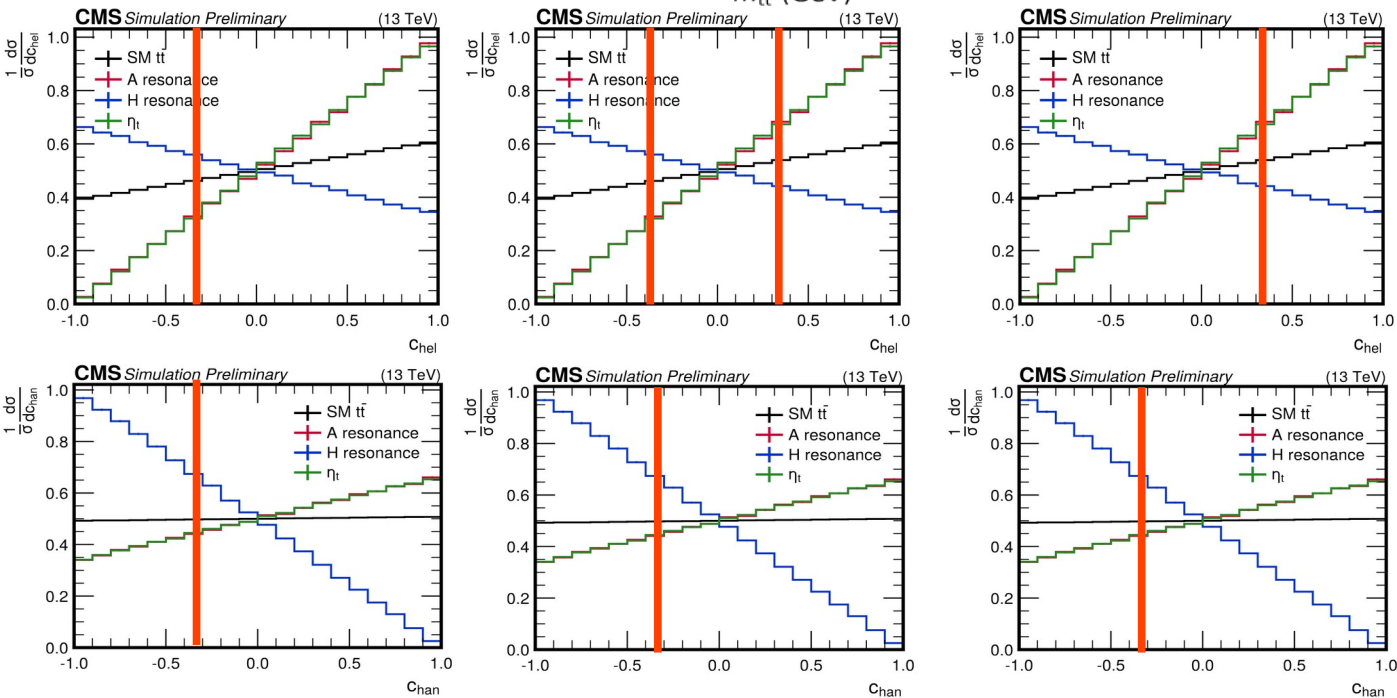
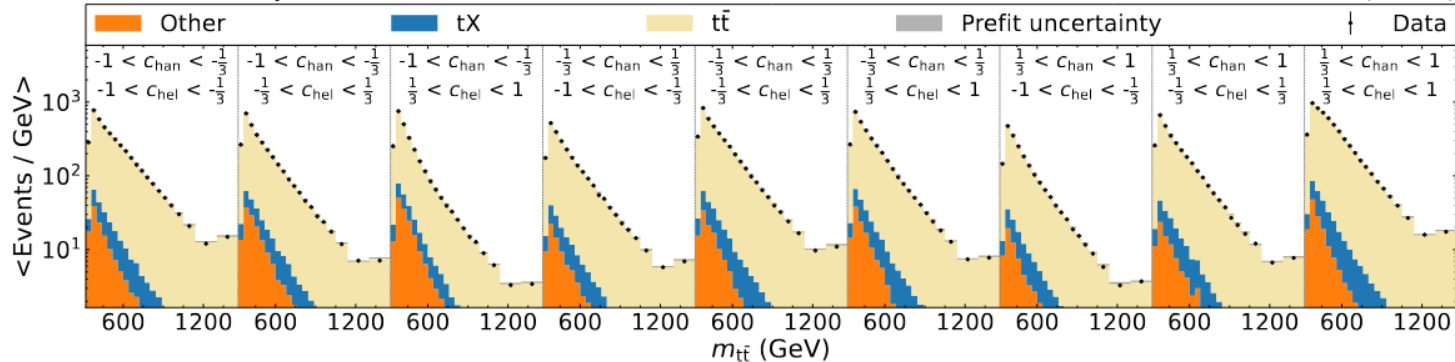


Results II Channel

CMS Preliminary

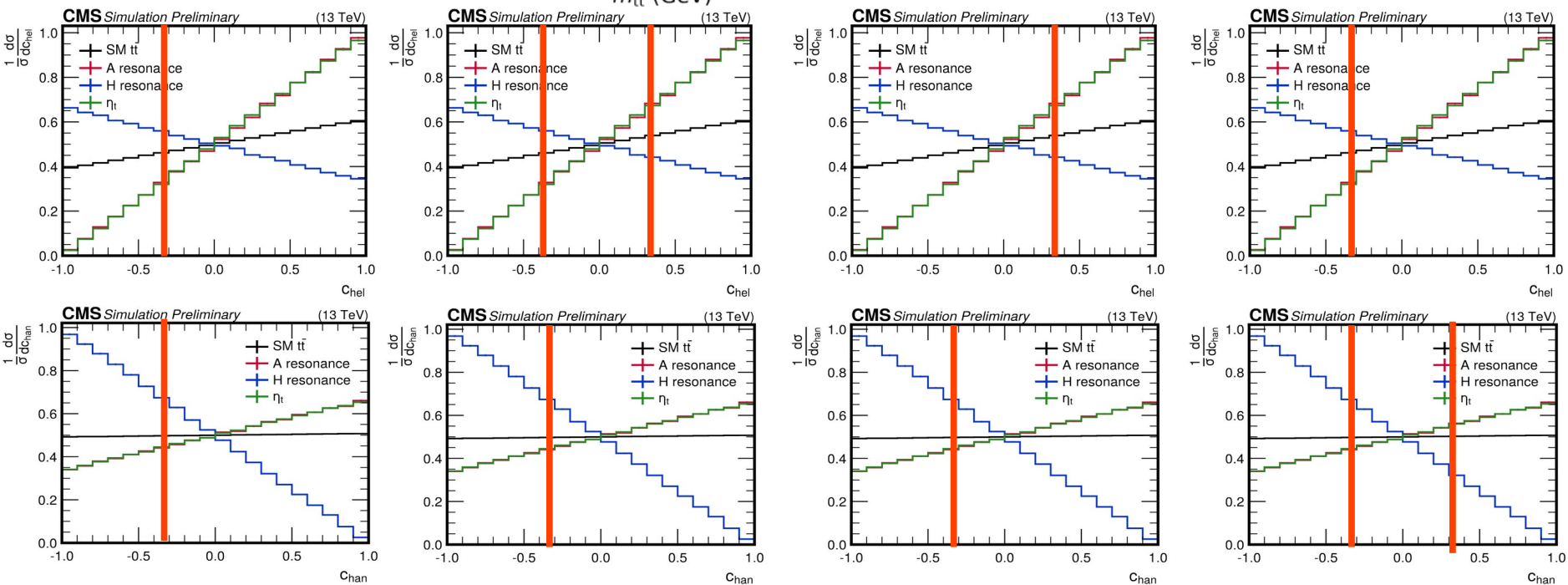
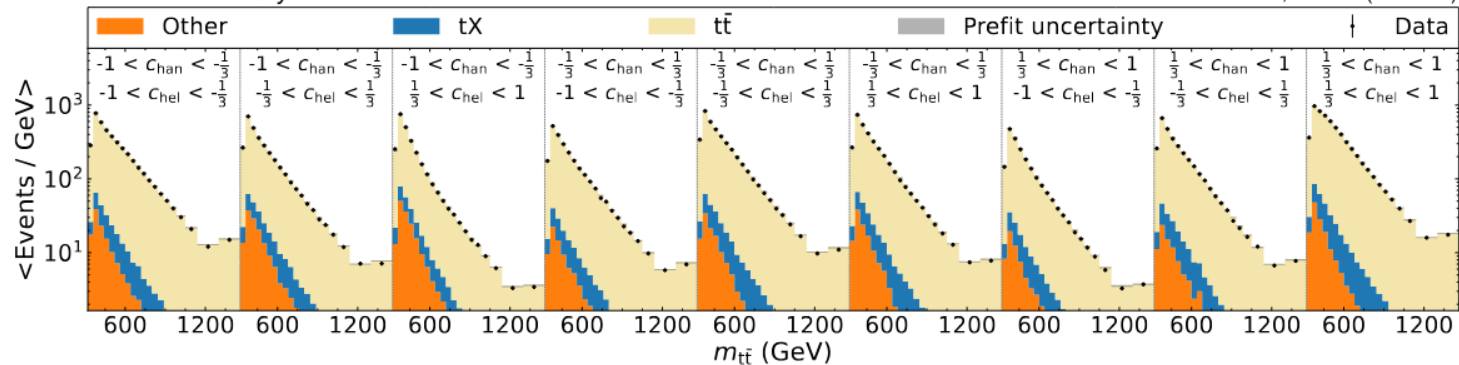
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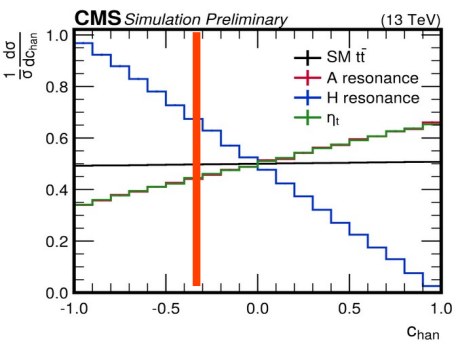
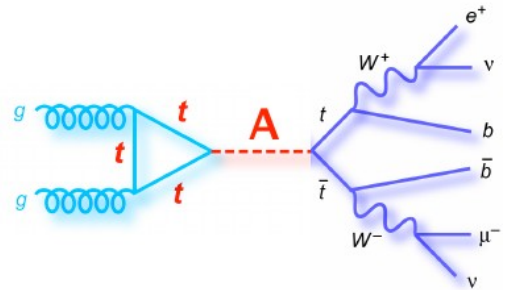
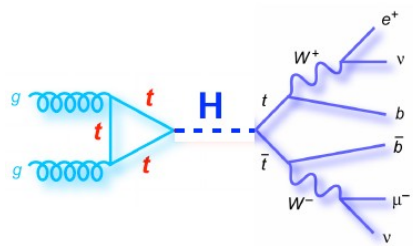
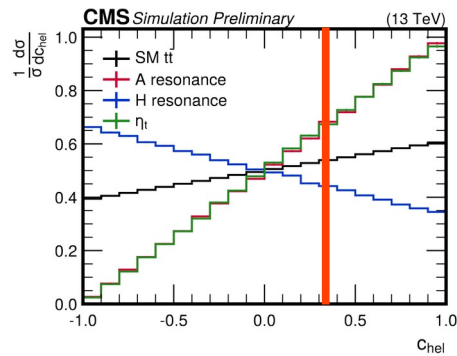
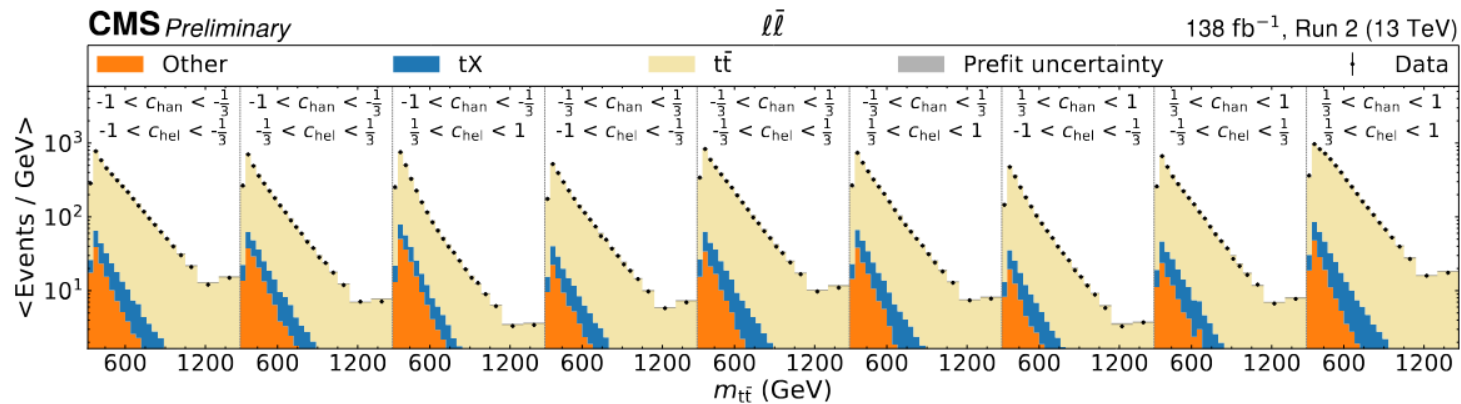


Results II Channel

CMS Preliminary $\ell\bar{\ell}$ 138 fb⁻¹, Run 2 (13 TeV)



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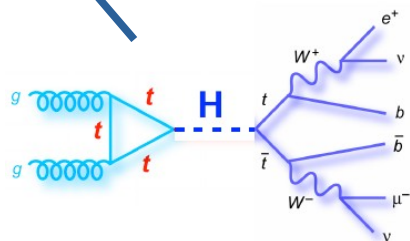
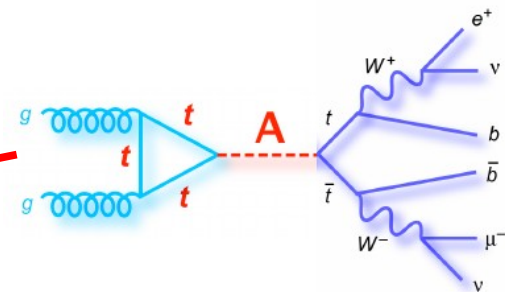
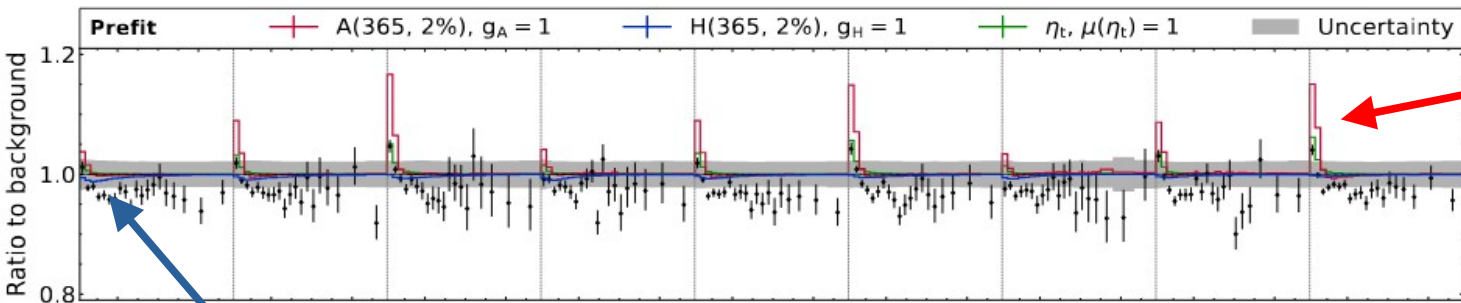
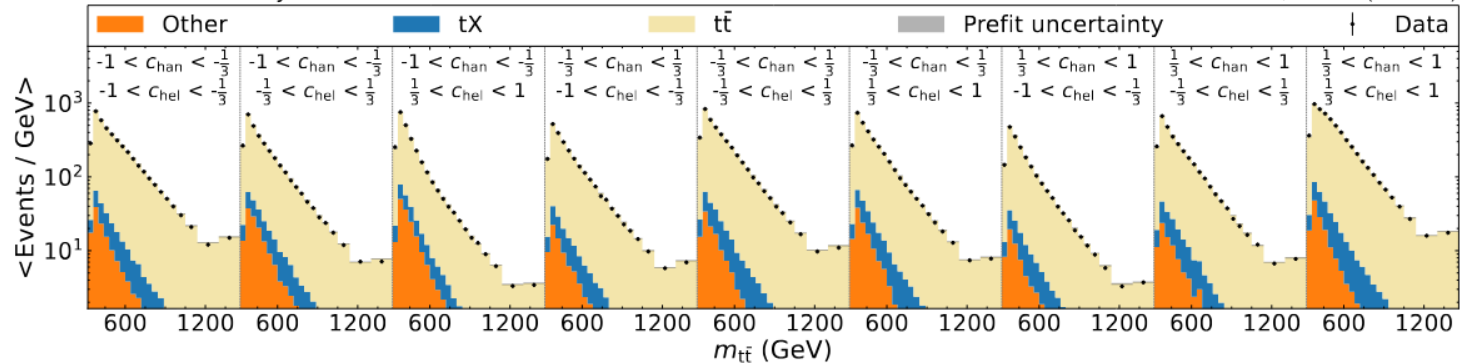


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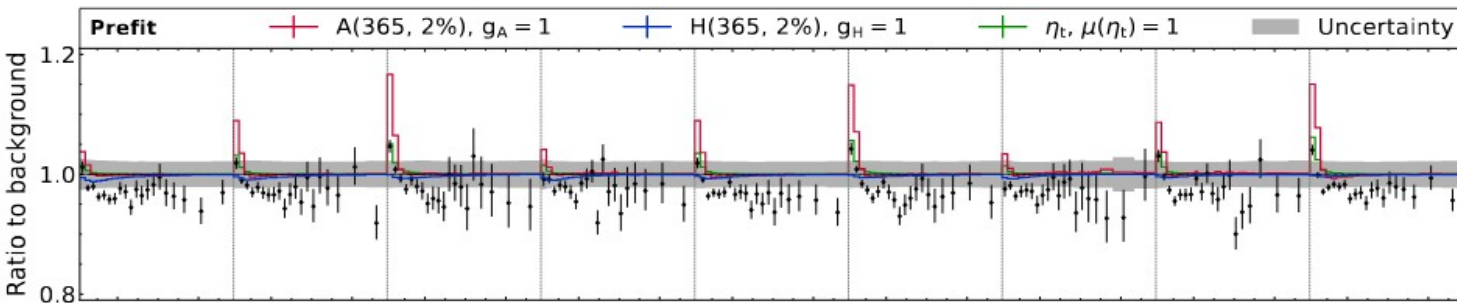
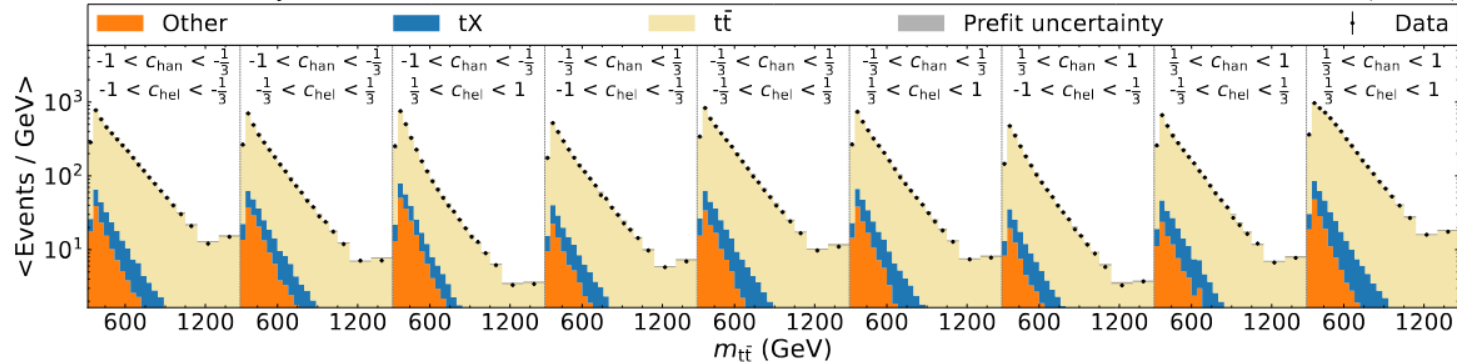
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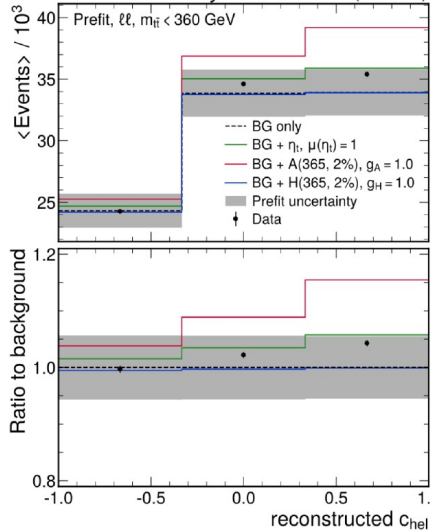


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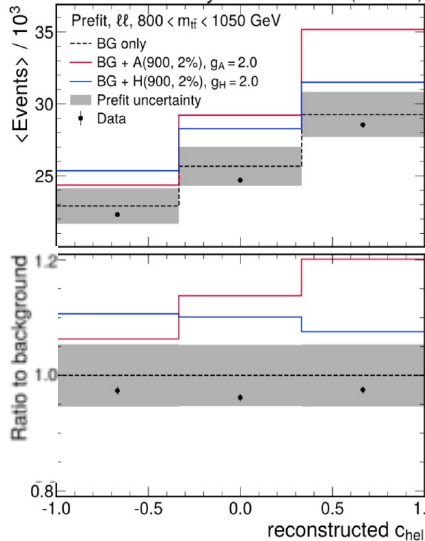
CMS Preliminary $\ell\bar{\ell}$ 138 fb⁻¹, Run 2 (13 TeV)



CMS Preliminary 138 fb⁻¹ (13 TeV)



CMS Preliminary 138 fb⁻¹ (13 TeV)



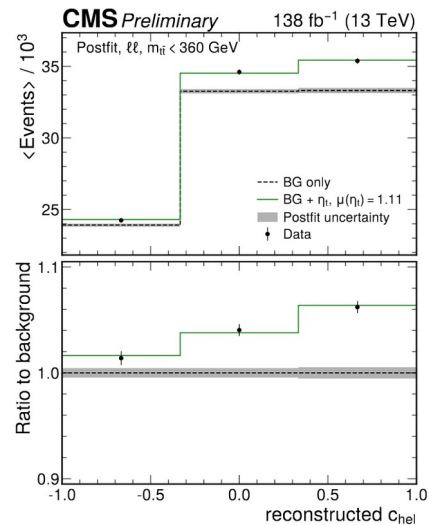
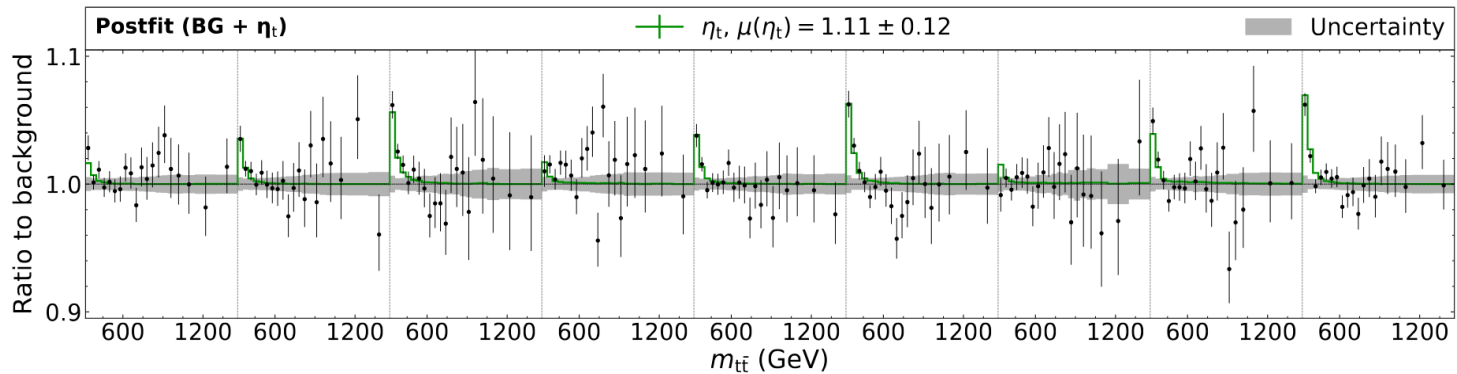
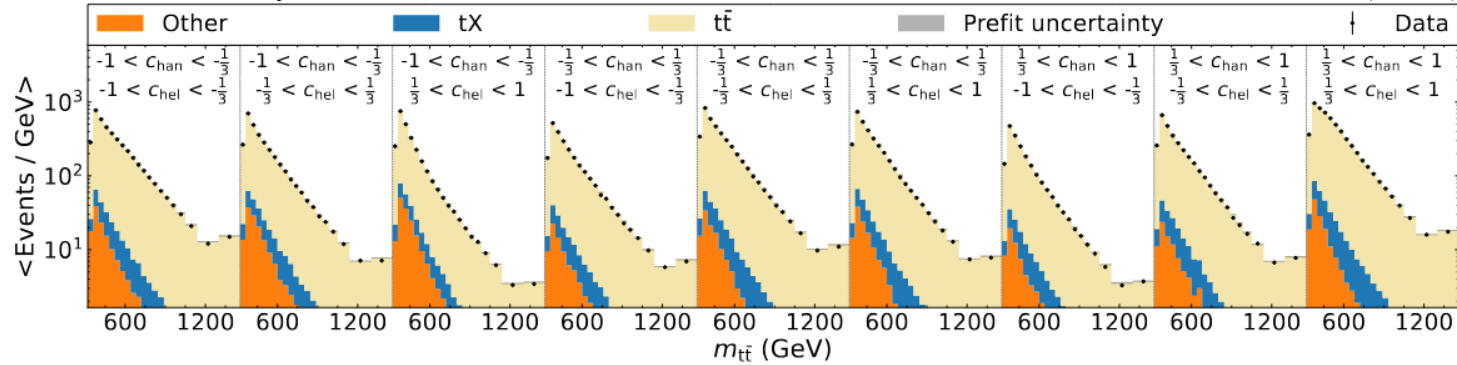
- ◆ C_{hel} slope at threshold prefit
- ◆ flat for high $m_{t\bar{t}}$

Results II Channel

CMS Preliminary

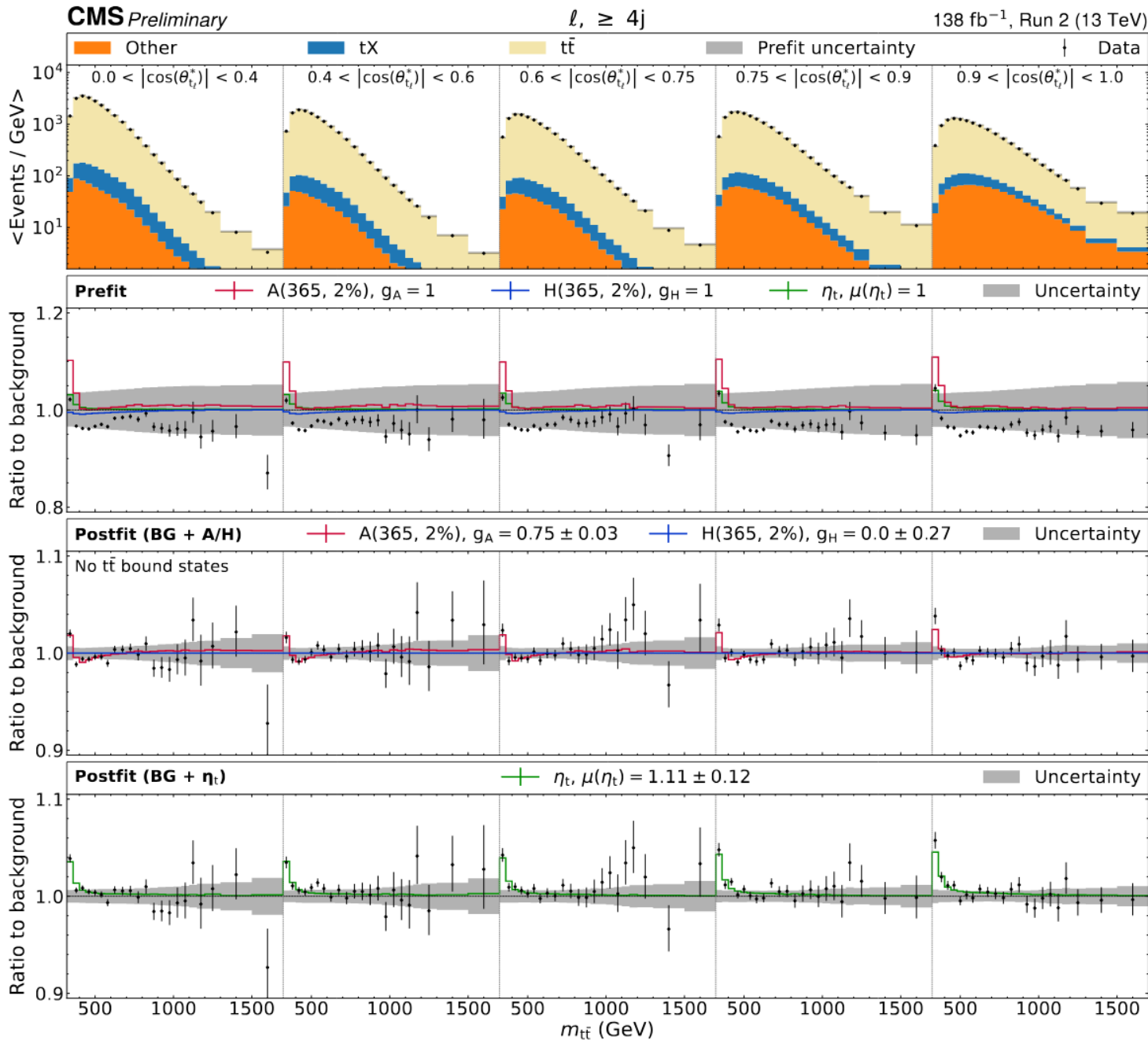
$\ell\bar{\ell}$

138 fb⁻¹, Run 2 (13 TeV)



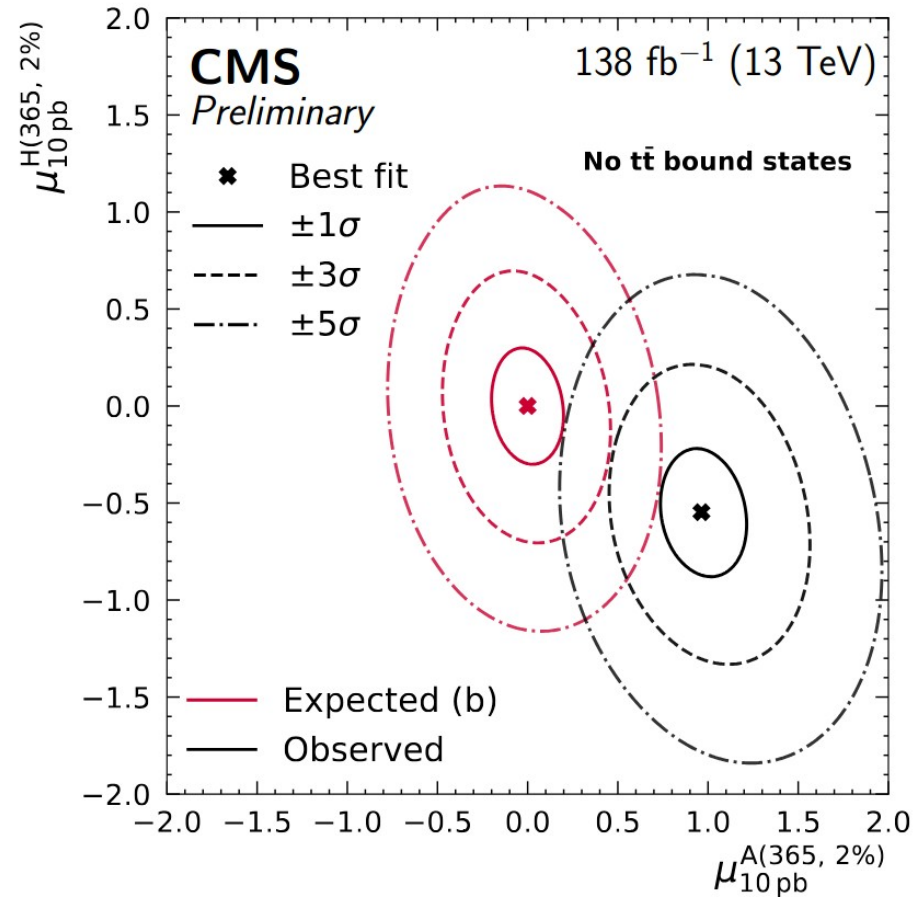
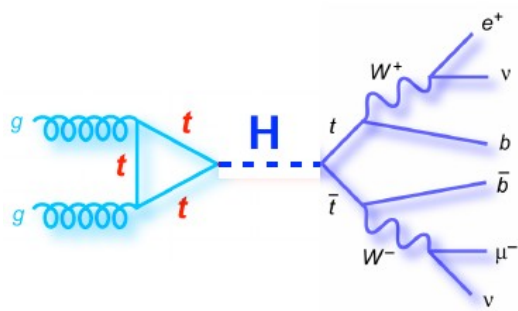
- ◆ C_{hel} discrepancy fits
- η_t very well at threshold

L4Jets Result

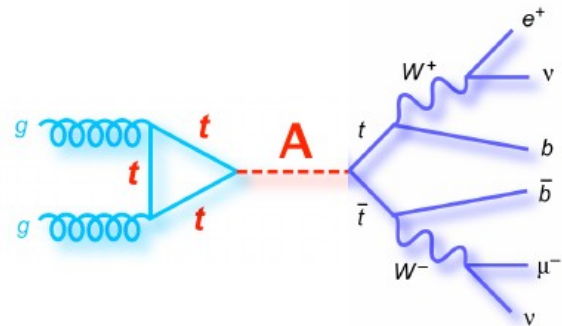


consistent picture in
ll and ljets channels

Scalar or Pseudoscalar ?

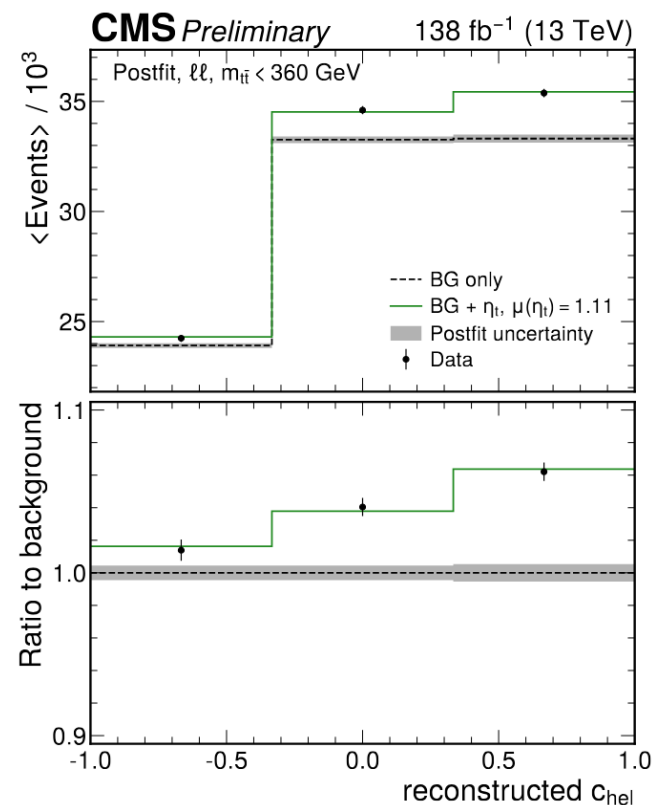


- ◆ simultaneous fit of A/H to data
- ◆ use closest (available) mass to threshold: 365 GeV
- ◆ consider only resonant component with equal and arbitrary rate



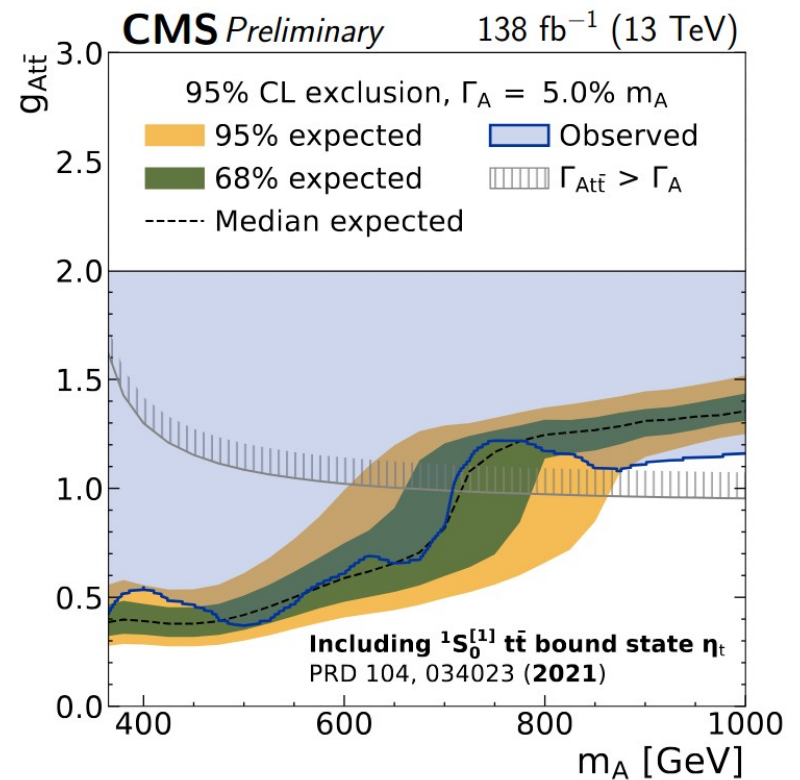
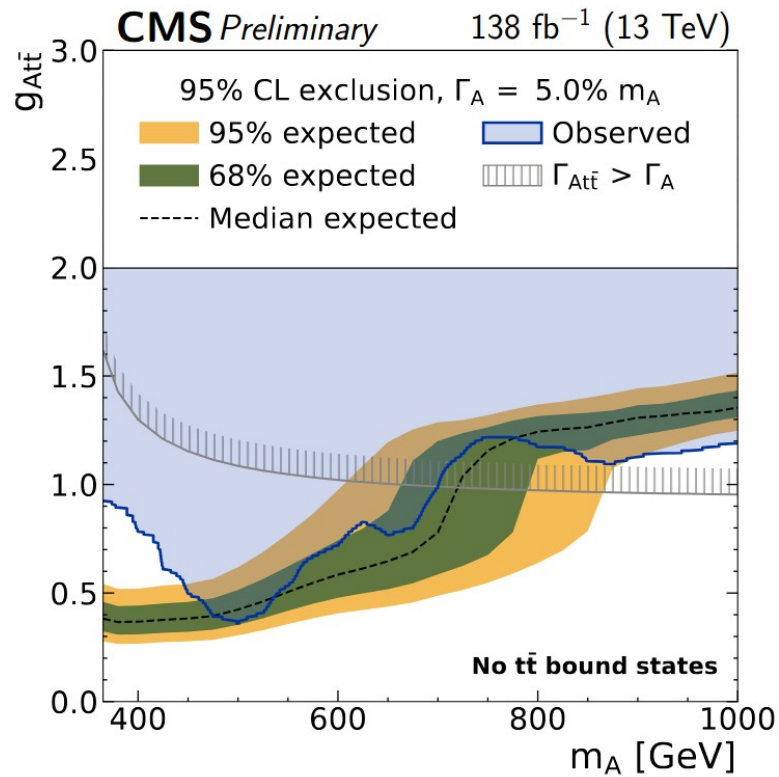
excess best compatible with pseudoscalar hypothesis

- ◆ “Cross section” = difference between the data and the pQCD predictions:
 - $\sigma(\eta_t) = 7.1 \pm 0.8$ pb
- ◆ comparing to NRQCD prediction:
 - $\sigma(\eta_t)^{\text{pred}} = 6.43$ pb (PRD 104 (2021) 034023)
- ◆ interpret with caution: missing uncertainties on model, kinematic effects on efficiencies, soft gluon radiation, . . .
- ◆ number should be considered as an experimental input for further theory building



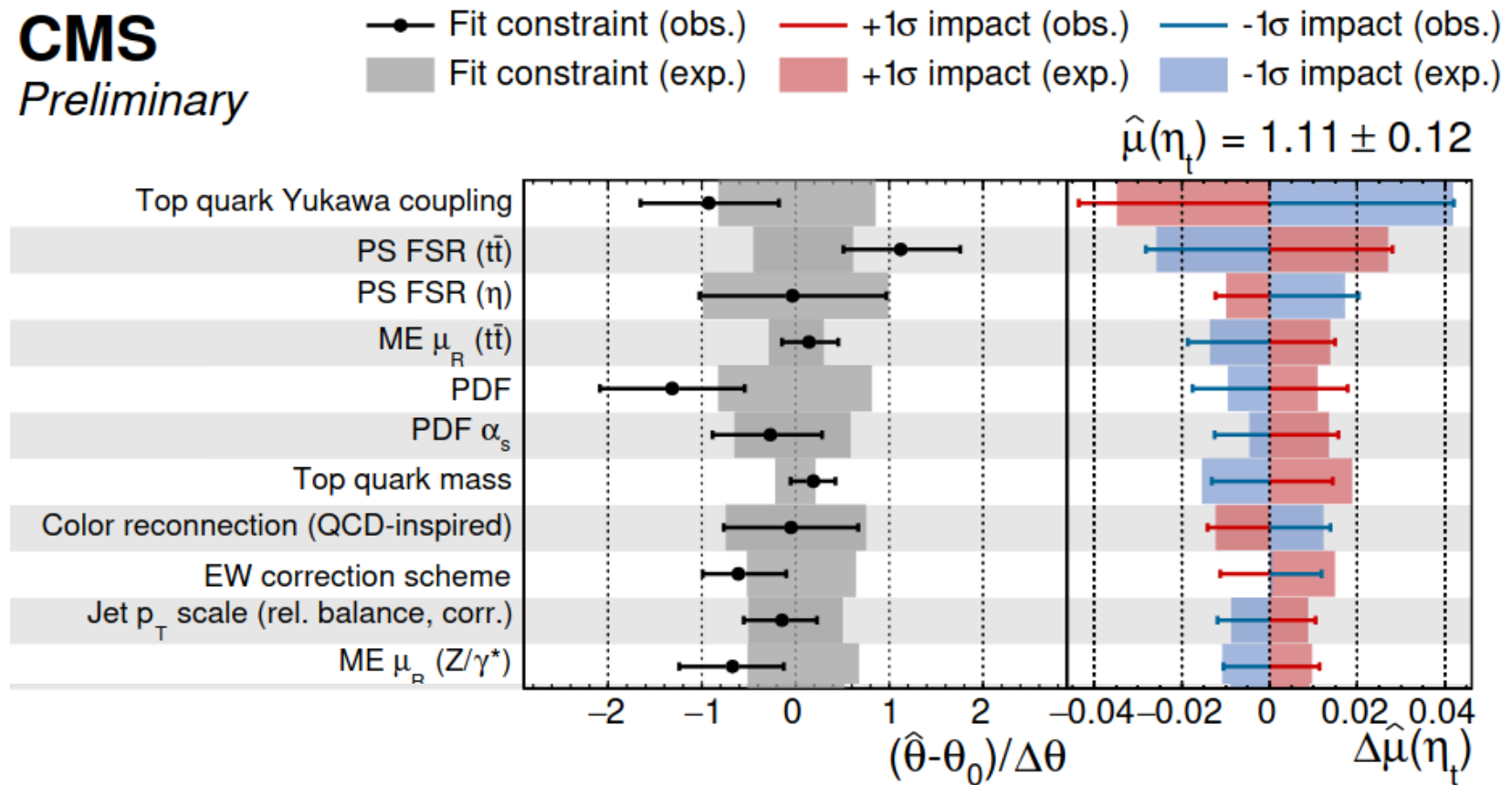
good agreement with available predictions

- ◆ impact on BSM limits, e.g. A



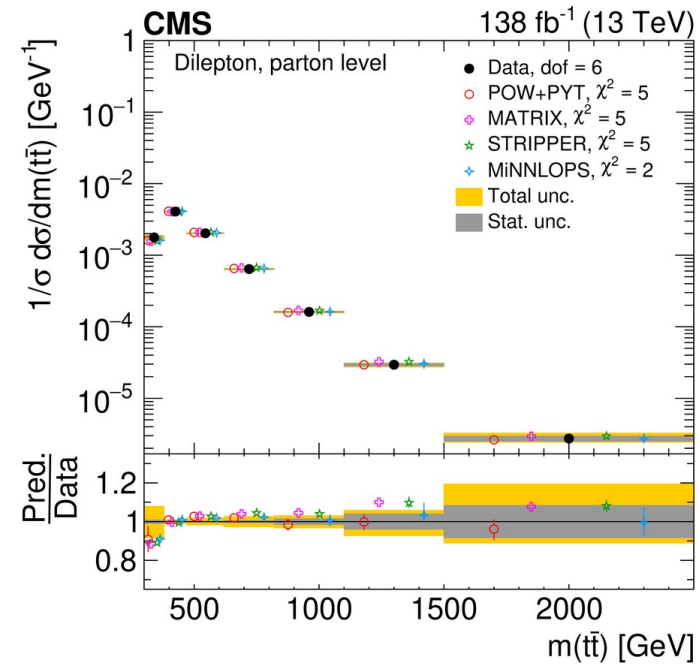
- ◆ uncertainties dominated by background modeling

CMS
Preliminary

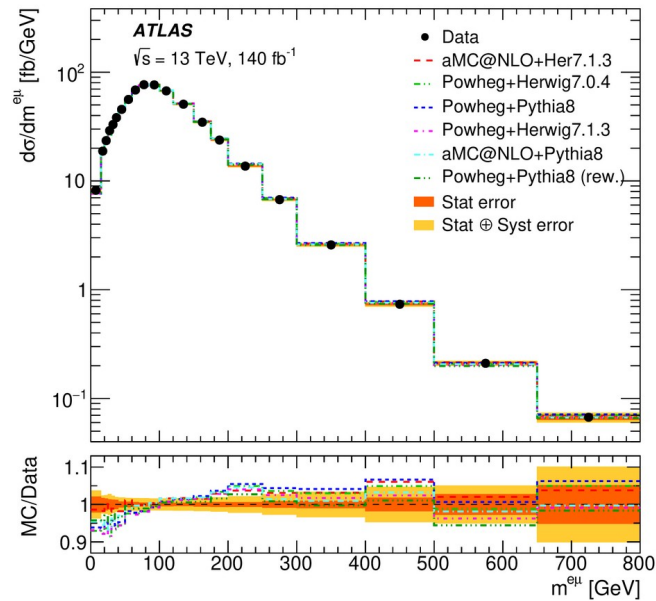


Consistency with Other Results: Invariant Masses

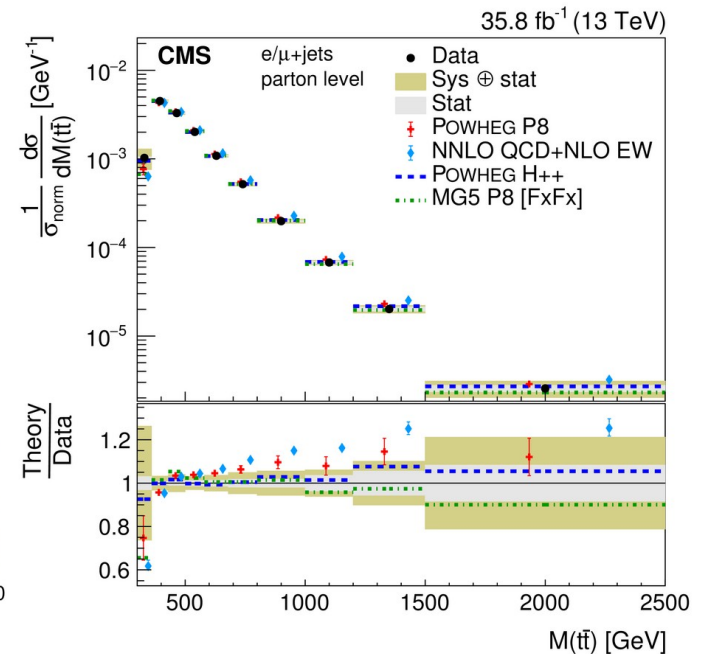
- ◆ tension in $m_{t\bar{t}}$ between data and pQCD at the threshold region in multiple measurements



CMS, arXiv:2402.08486



ATLAS, JHEP 07 (2023) 141

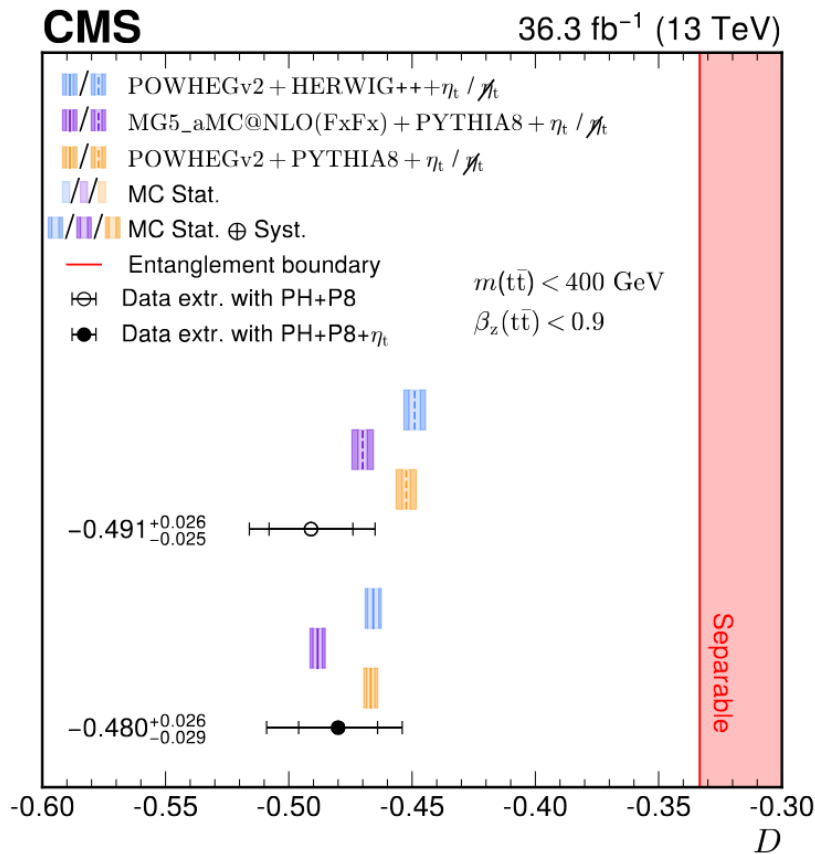


CMS, PRD 97 (2018) 112003

Consistency with Other Results: Spin Correlation



- ◆ tension in $m_{t\bar{t}}$ between data and pQCD at the threshold region in multiple measurements
- ◆ recent entanglement measurements at threshold point to stronger slopes D
 - pseudoscalar contributions

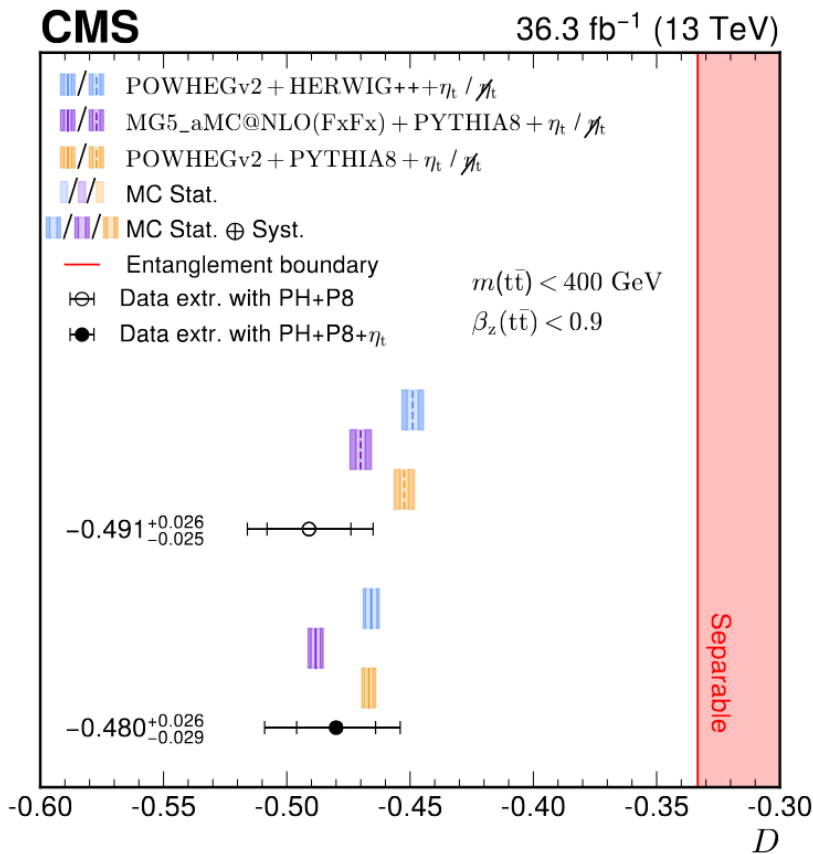


CMS, RPP 87 (2024) 117801

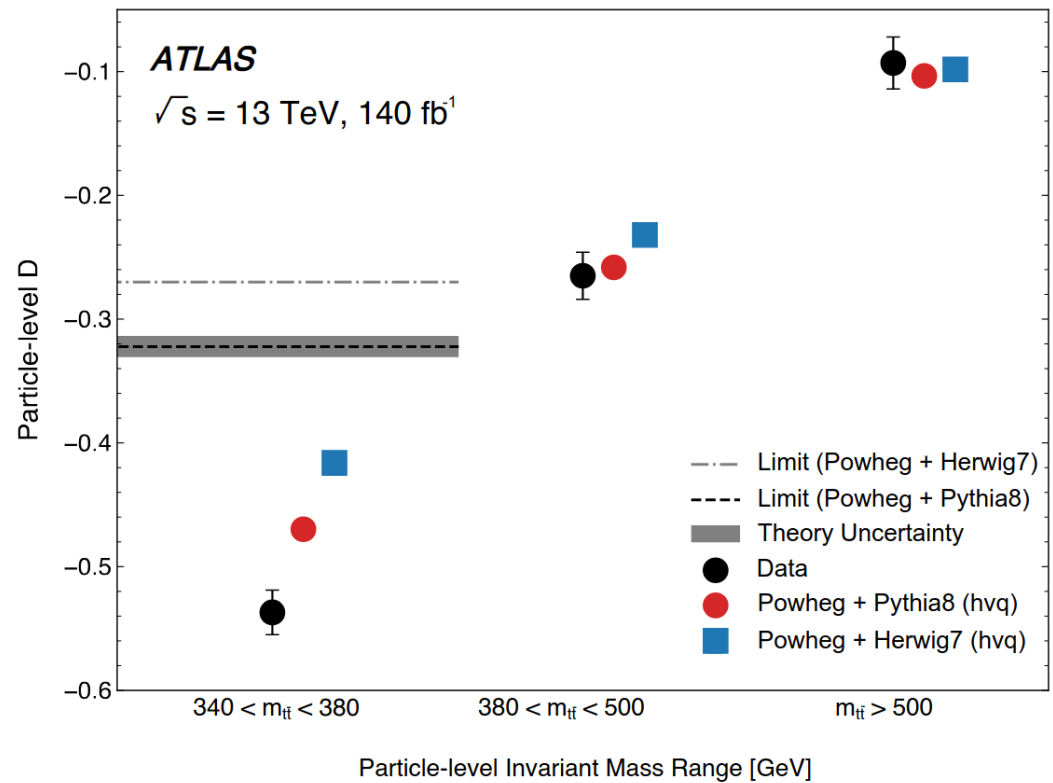
Consistency with Other Results: Spin Correlation



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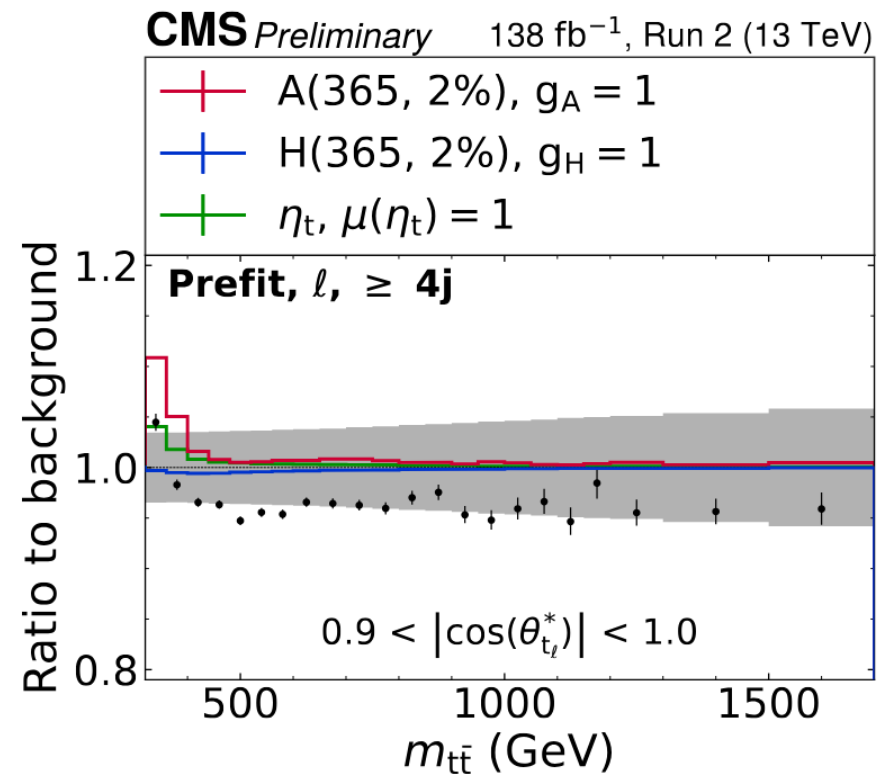
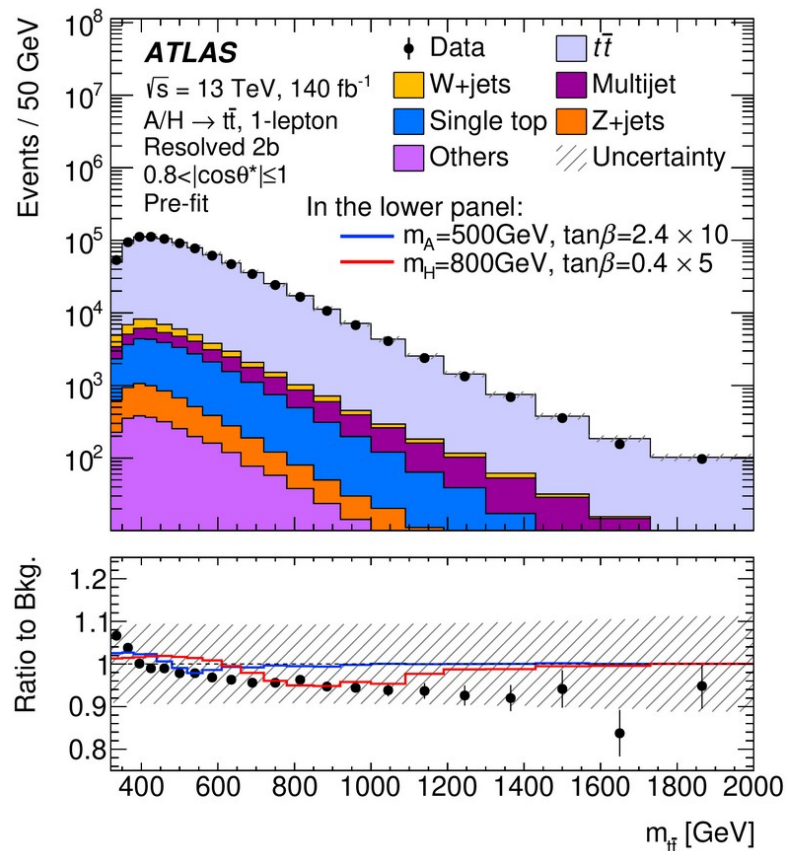


CMS, RPP 87 (2024) 117801



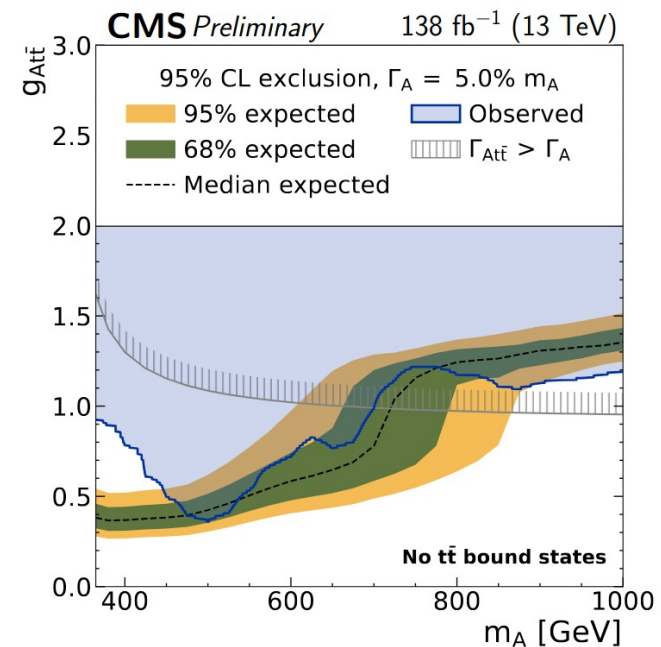
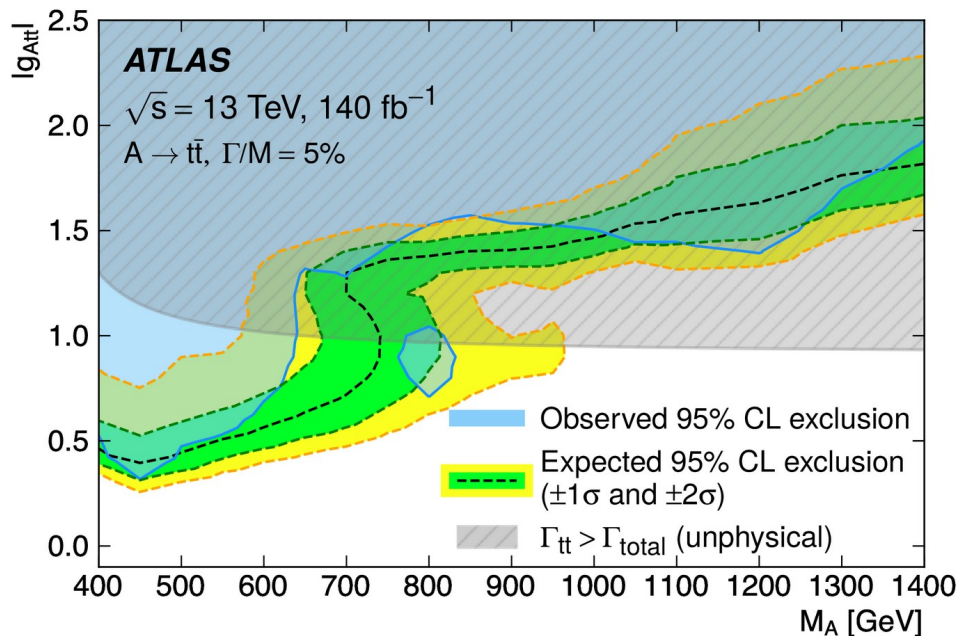
ATLAS, Nature 633 (2024) 542

- ◆ 1l, 2b, ≥ 4 jets category for both ATLAS and CMS
 - compare pre-fit distributions
 - e.g. at high $|\cos\theta^*|$: similar excess in data at low $m_{t\bar{t}}$



- ◆ 1l, 2b, ≥ 4 jets category for both ATLAS and CMS
 - compare pre-fit distributions
 - e.g. at high $|\cos\theta^*|$: similar excess in data at low $m_{\tilde{t}\tilde{t}}$

- ◆ for dilepton difficult to compare
 - no reconstruction of top quarks/spin in the ATLAS result
 - different variables: m_{llbb} vs. $\Delta\phi_{ll}$



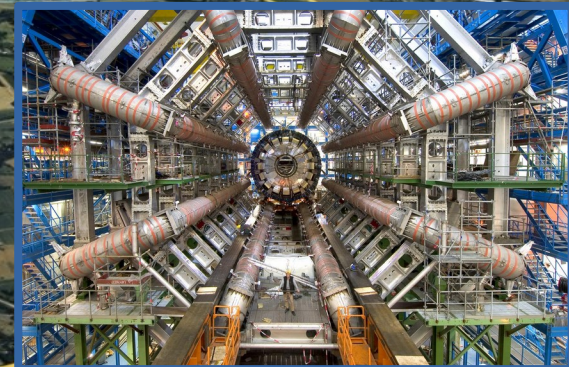
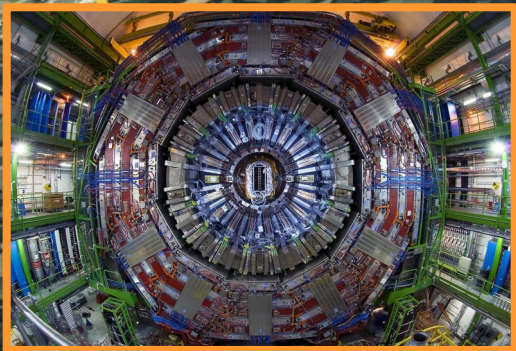
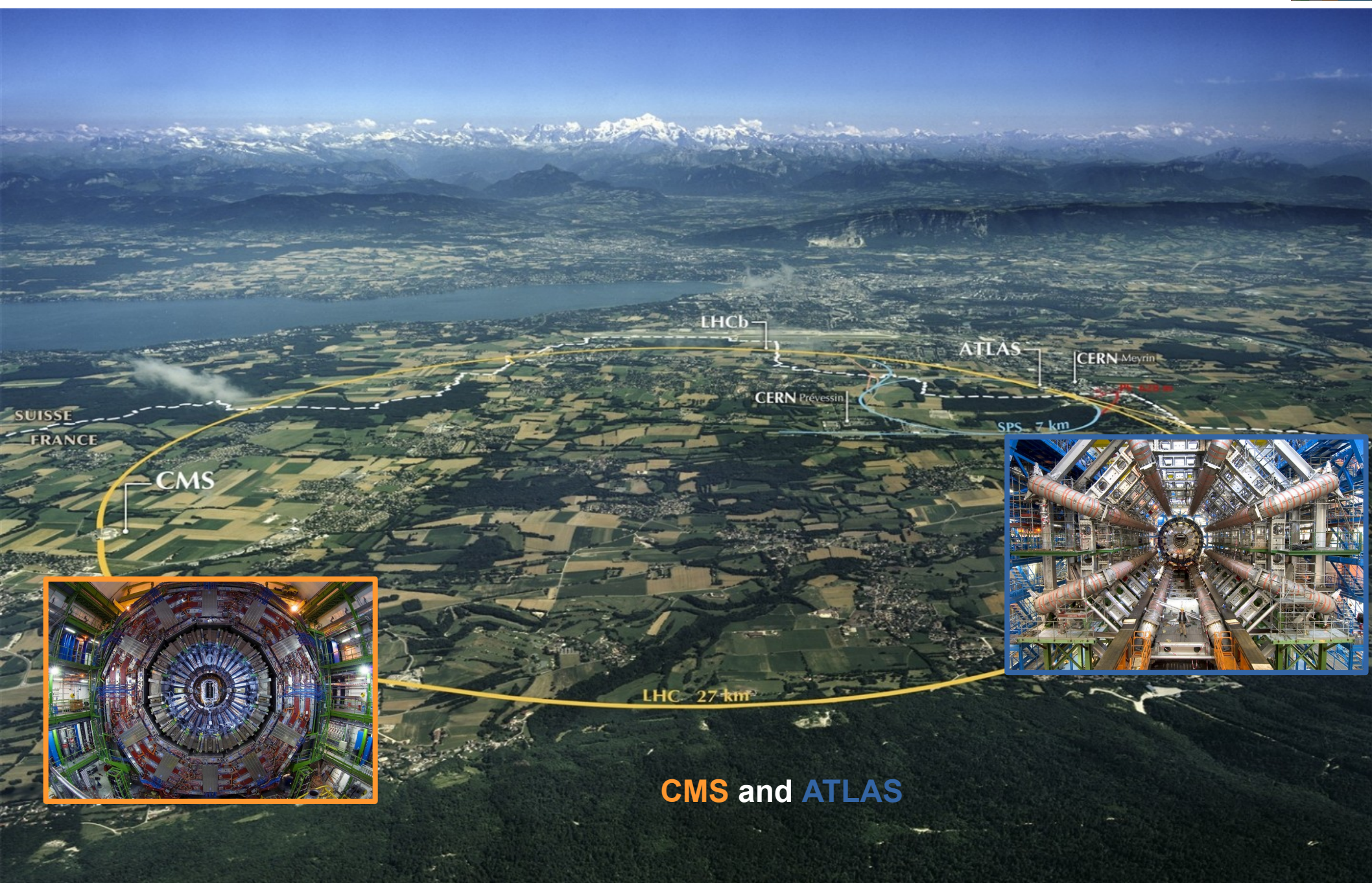
dedicated spin observables robust against systematics – key difference?

- ◆ search for new spin-0 (pseudo)scalars in $t\bar{t}$ final states with full Run 2 dataset
 - dilepton and lepton+jets channels, using $m_{t\bar{t}}$, angular and spin observables

- ◆ observed excess in data at low $m_{t\bar{t}}$ – consistent with pseudoscalar
 - interpretations in terms of a simplified model of a $t\bar{t}$ bound state η_t or a generic pseudoscalar A and scalar H
 - extracted cross section for a specific η_t (toy) model (PRD 104 (2021) 034023)
 - stringent limits on A/H with η_t included in the background

- ◆ for the future:
 - a complete non-relativistic QCD calculation of $t\bar{t}$ bound state effects is crucial!
 - theory input needed

Whatever the excess is – It is exciting !

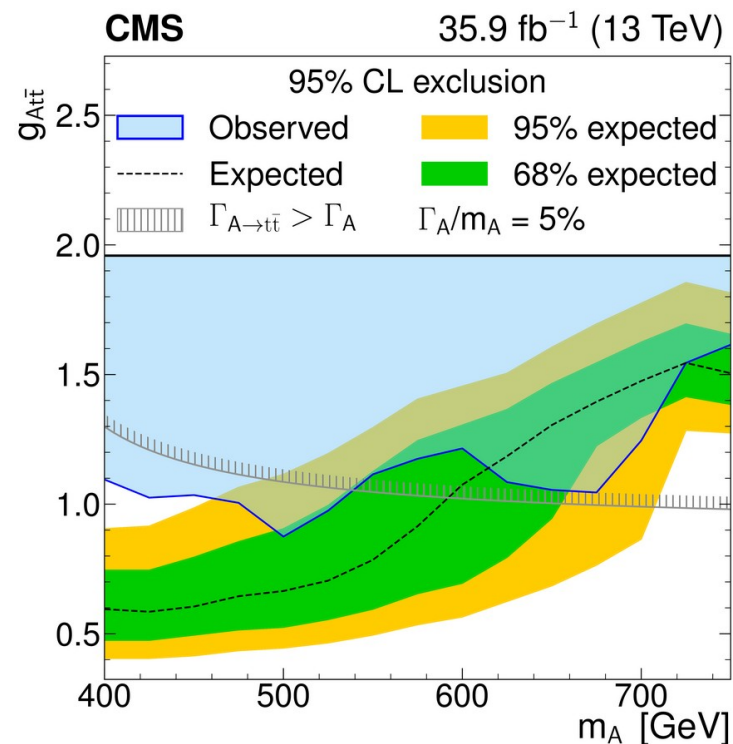
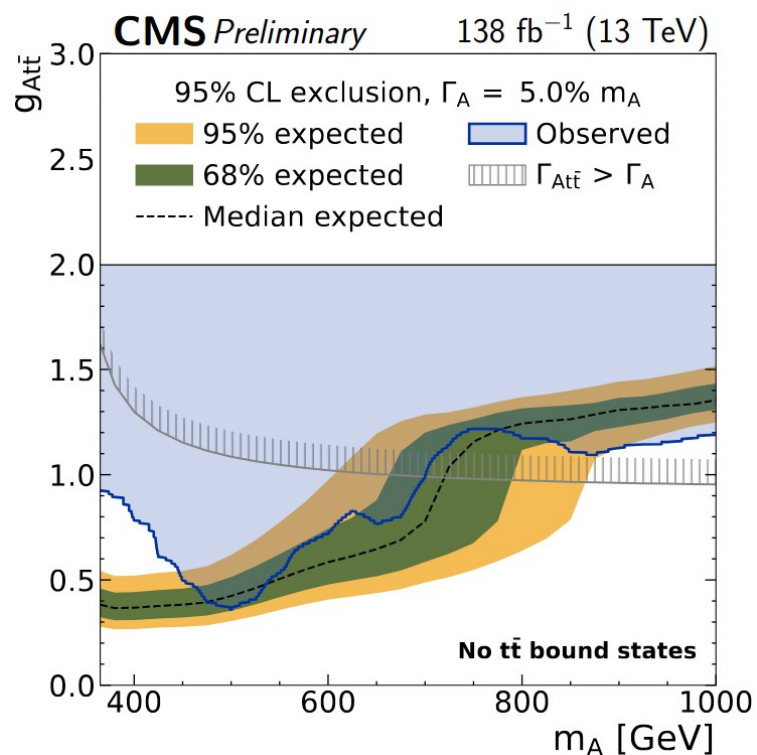


CMS and **ATLAS**

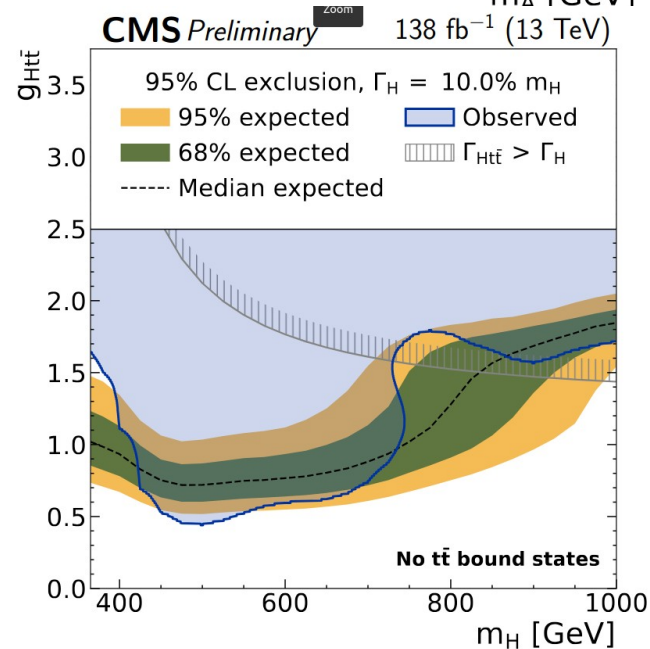
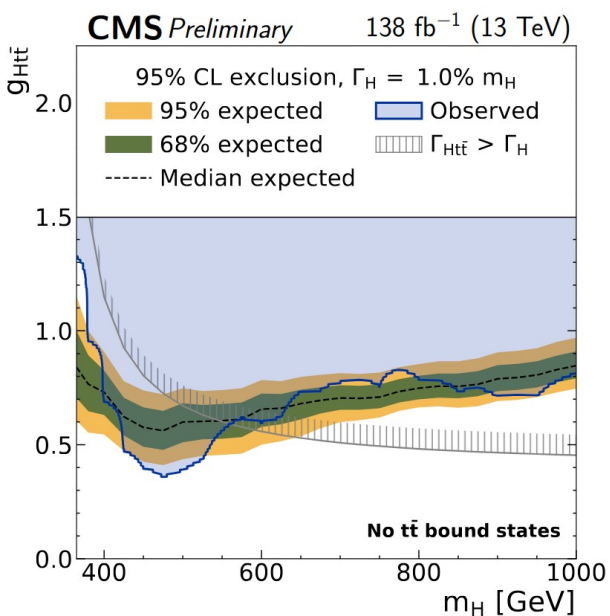
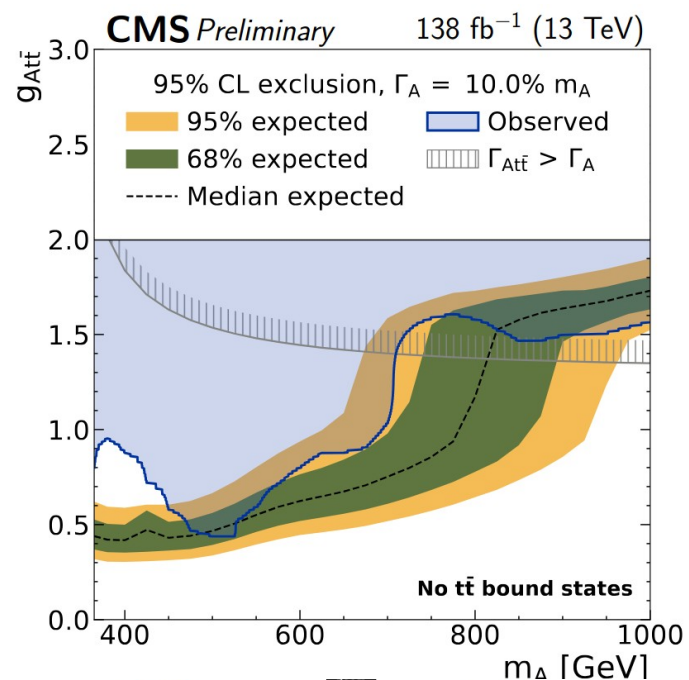
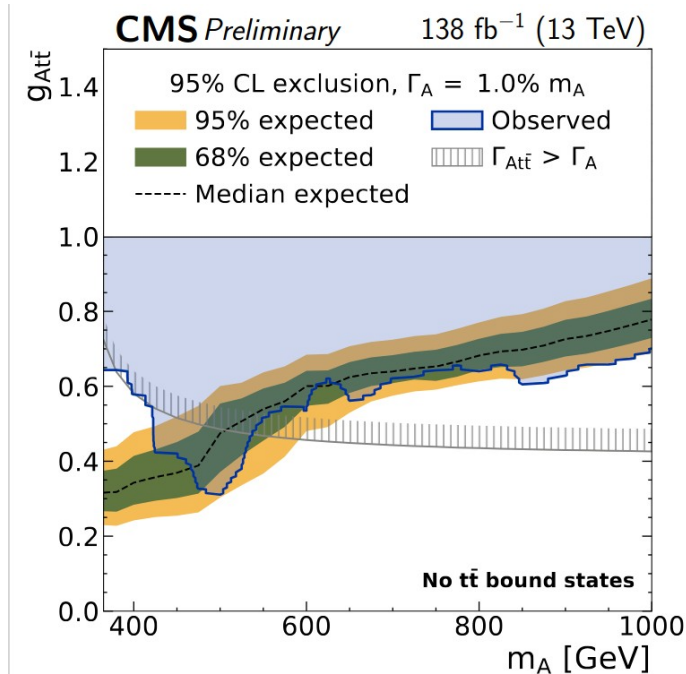
Consistency with Other Results: Previous A/H Search



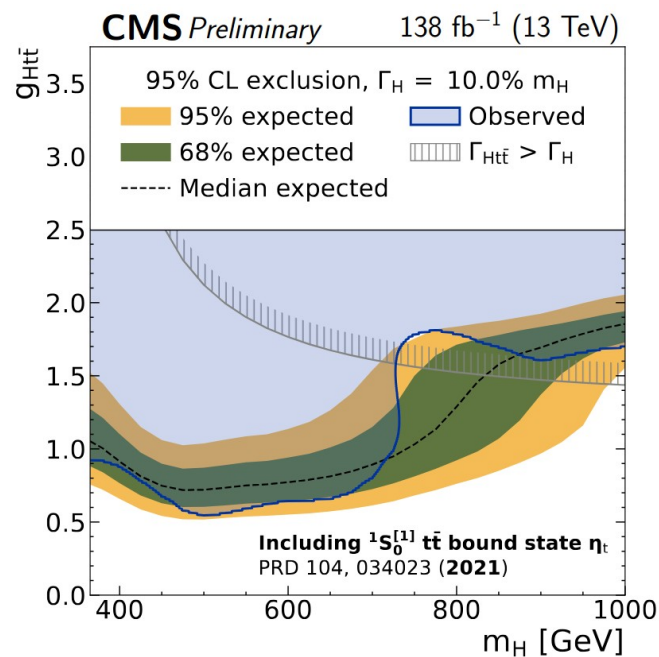
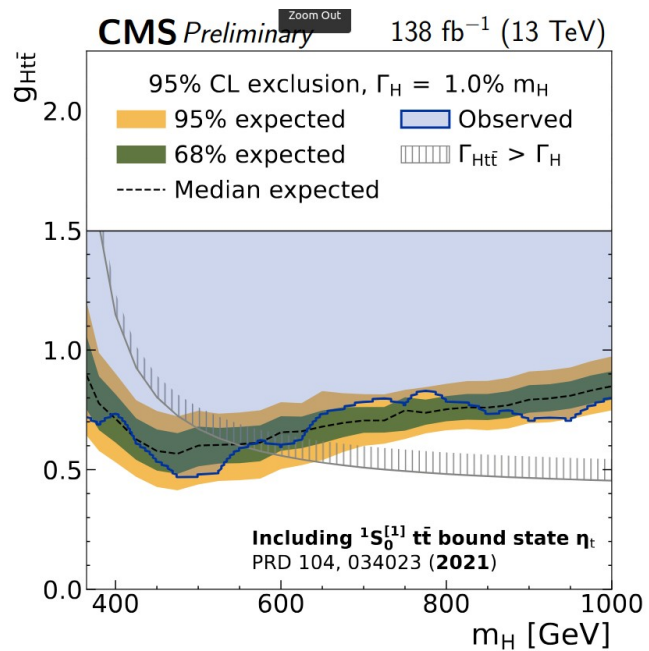
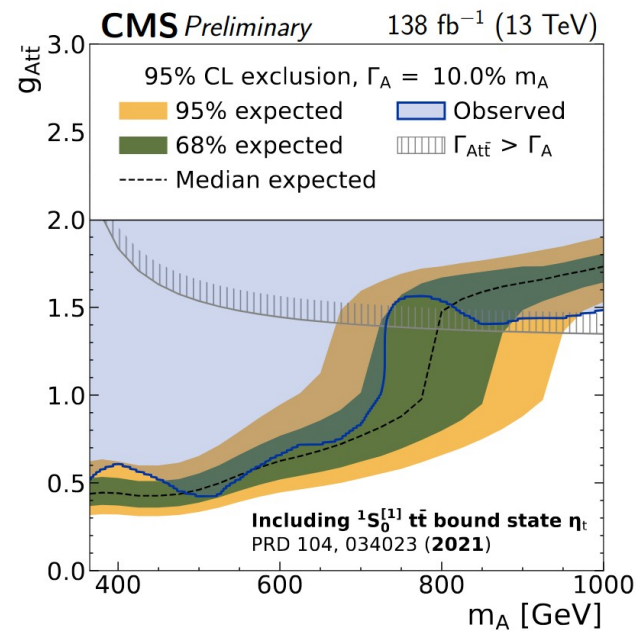
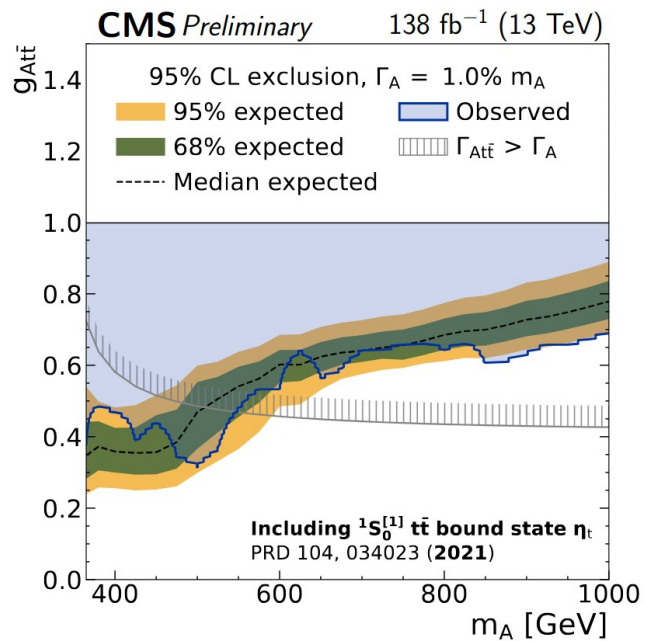
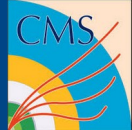
- ◆ first A/H search at CMS based on data recorded in 2016 (JHEP 04 (2020) 171)



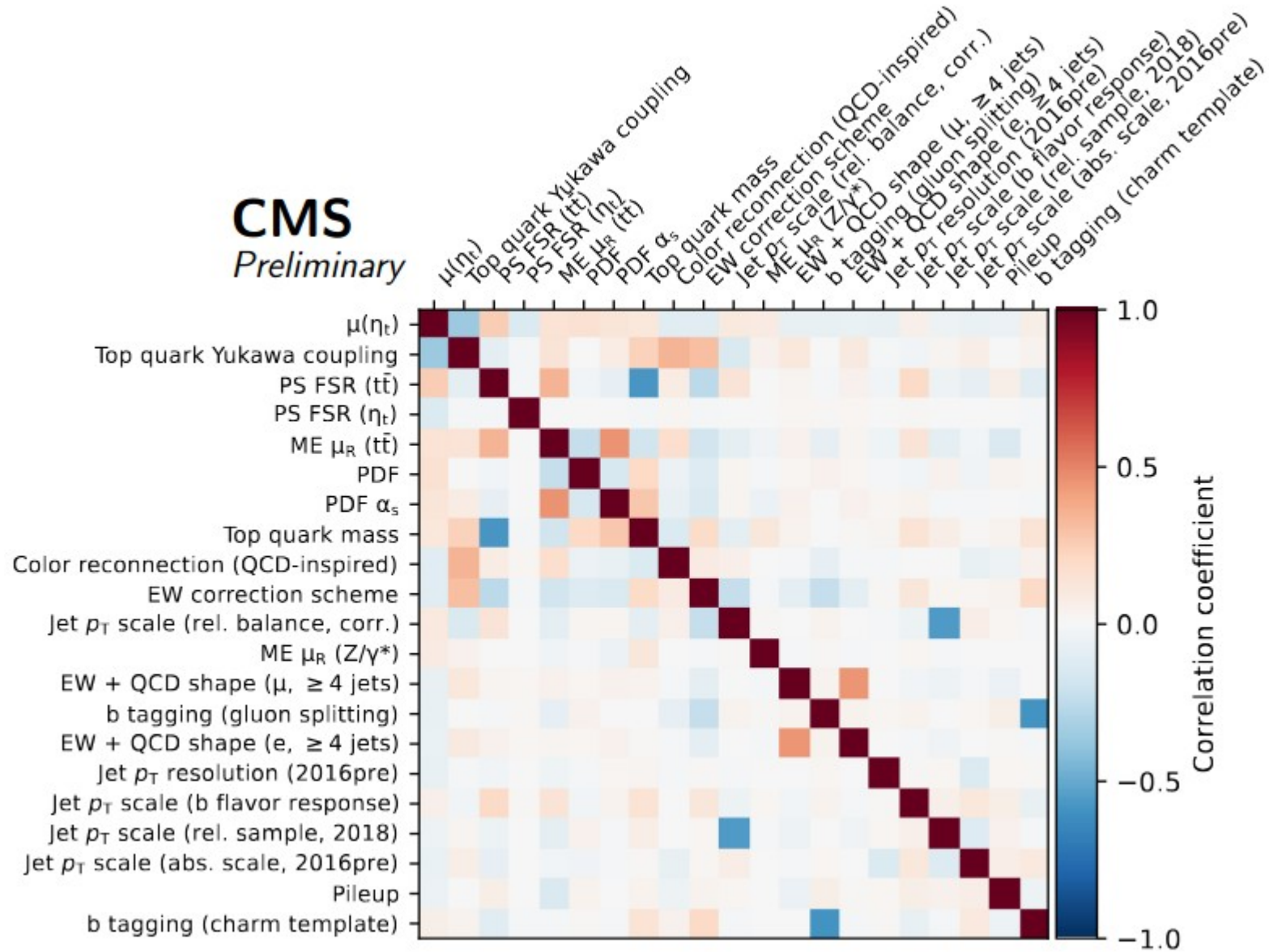
Limits without Toponium



Limits with Toponium



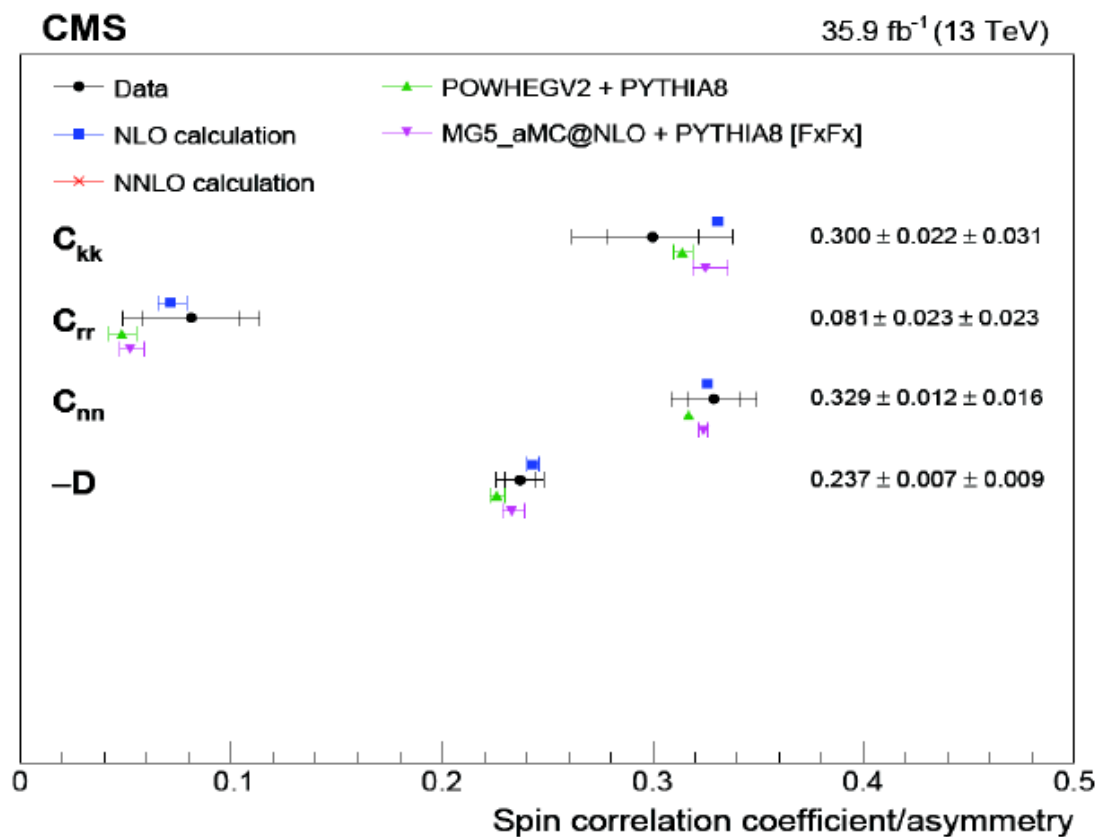
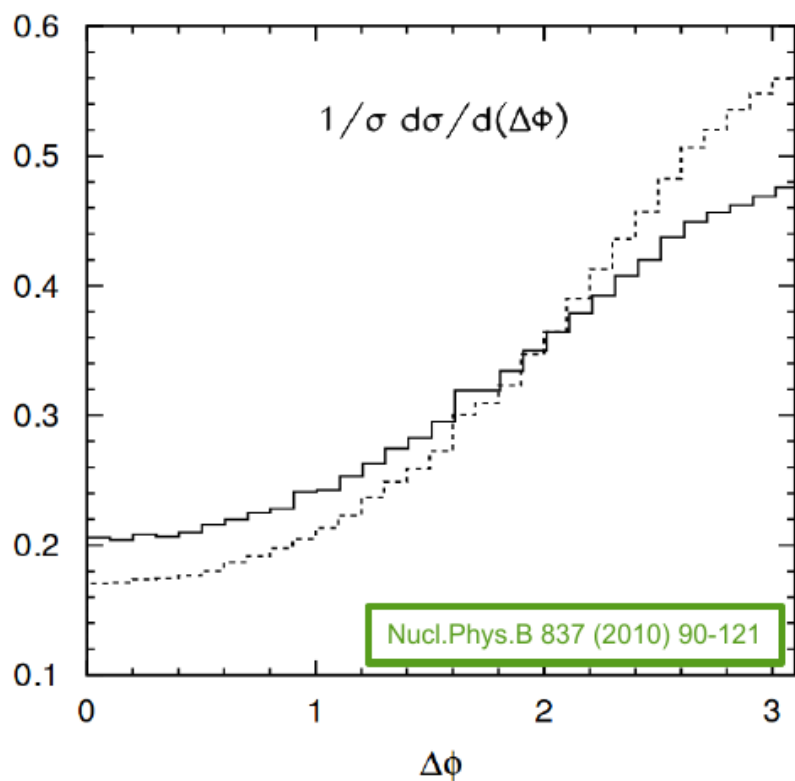
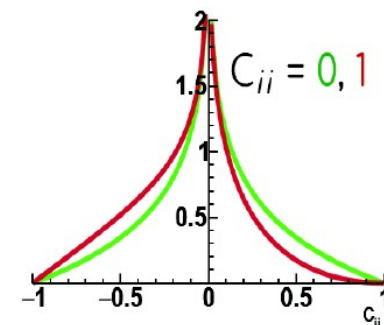
Systemtic Correlations



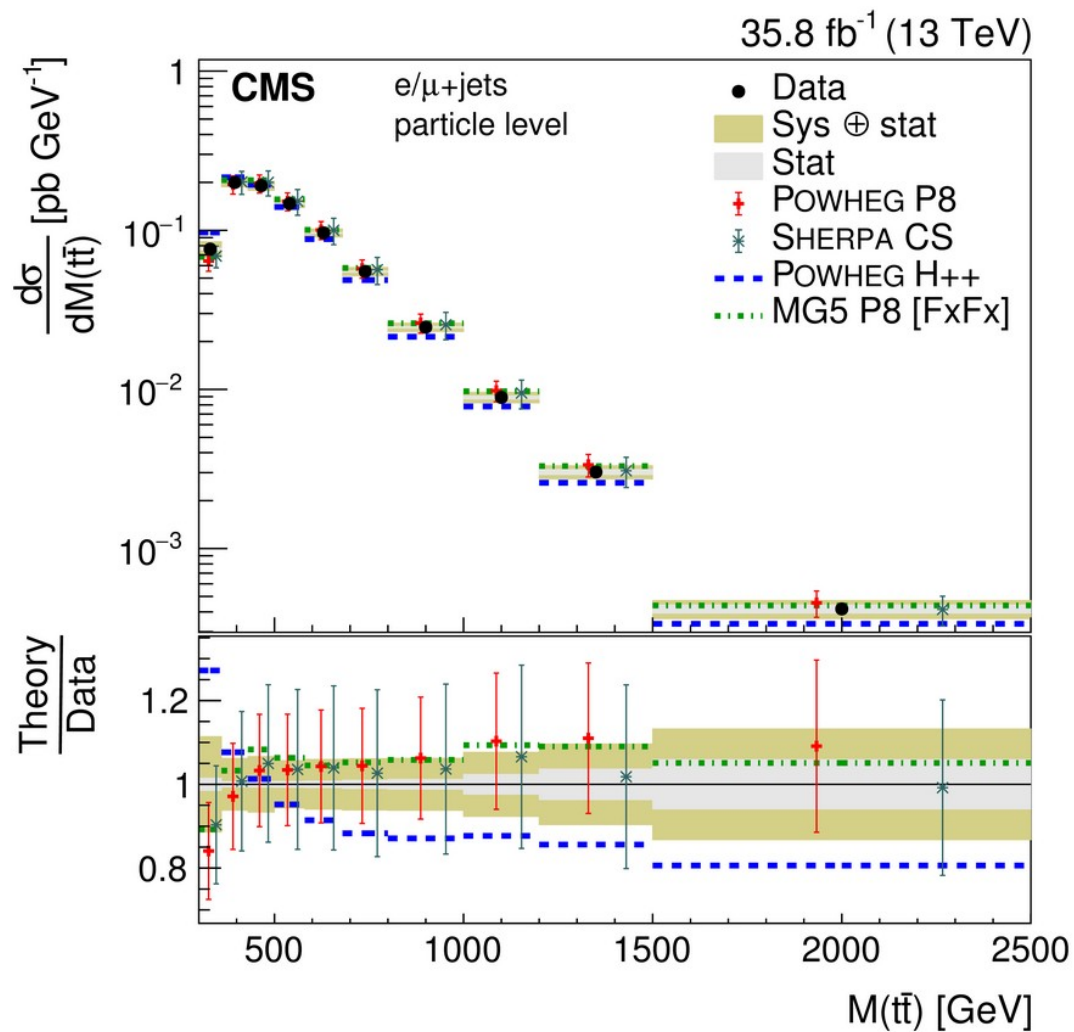
The Problem of delta phi and the Strength of D



- ◆ convolution of boost and spin
- ◆ large NNLO correction in extrapolation to full phase space



Unfolding Systematics at Threshold - Modeling



Experimental

- Jet energy corrections - split into 11 subsources
- Jet energy resolution
- Unclustered p_{miss}^T (uncorrelated between years)
- Luminosity – correlated and decorrelated parts between years
- Pileup
- Trigger efficiencies (separate for $\ell\ell$ / ℓj)
- Electron efficiencies (reco. & ID)
- Muon efficiencies – split into syst. and stat.
- B tagging and mistagging efficiencies
 - B tagging split into subsources
- L1 ECAL prefiring (where applicable)
- Data-driven EW+QCD BG (ℓ +jets) : shape & rate (50%) uncorrelated between channels
- Data-driven Z+jets normalization ($\ell\ell$)

Theory

- Factorization & renormalization scales:
 - $t\bar{t}$, tW, tq, Z+jets; η_t (BG or signal), A/H signal
 - Uncorrelated between processes
 - $t\bar{t}$: including cross section variation
- Same for initial & final state radiation PS scales
- MC top mass: $\pm 1\text{GeV}$ (interpolated from $\pm 3\text{GeV}$)
 - Also including cross section variations
- ME-PS matching (h_{damp})
- Underlying event tune
- Color reconnection: 3 different samples
- PDF: PCA performed on final templates from 100 replicas → only leading component considered
- PDF α_s
- Electroweak corrections:
 - SM Higgs-Top Yukawa coupling (1 +0.11 -0.12)
 - EW correction scheme (additive v. multiplicative)
- Minor BG cross sections: 15% for tW and tq; 30% for Diboson and $t\bar{t}+X$

Systematics Details



Uncertainty (# of parameters)	Type	Process	Channel
Jet p_T scale (17)	shape	all	all
Jet p_T resolution (4)	shape	all	all
Unclustered p_T^{miss} (4)	shape	all	all
b tagging heavy-flavor jets (20)	shape	all	all
b tagging light-flavor jets (5)	shape	all	all
Single-electron trigger	shape	all	ej
Single-muon trigger (5)	shape	all	μj
Dilepton triggers (12)	shape	all	ee, $e\mu$, $\mu\mu$
Electron identification (2)	shape	all	ej, ee, $e\mu$
Muon identification (10)	shape	all	μj , $e\mu$, $\mu\mu$
ECAL L1 trigger inefficiency (3)	shape	all	all
Pileup	shape	all	all
Integrated luminosity (7)	norm.	all	all
Top quark Yukawa coupling	shape	SM $t\bar{t}$	all
EW correction scheme	shape	SM $t\bar{t}$	all
m_t	shape	SM $t\bar{t}$, Φ , η_t	all
ME μ_R (5)	shape	SM $t\bar{t}$, Φ , single top, Z/γ^*	all
ME μ_F (6)	shape	SM $t\bar{t}$, Φ , η_t , single top, Z/γ^*	all
PS ISR (6)	shape	SM $t\bar{t}$, Φ , η_t , single top, Z/γ^*	all
PS FSR (6)	shape	SM $t\bar{t}$, Φ , η_t , single top, Z/γ^*	all
Color reconnection (2)	shape	SM $t\bar{t}$	all
h_{damp}	shape	SM $t\bar{t}$	all
PDF (2)	shape	SM $t\bar{t}$	all
Single top quark normalization	norm.	Single top	all
EW+QCD normalization	norm.	Data-driven EW+QCD	ℓj
EW+QCD shape (20)	shape	Data-driven EW+QCD	ℓj
$t\bar{t}V$ normalization	norm.	$t\bar{t}V$	$\ell\bar{\ell}$
Z/γ^* normalization	norm.	Z/γ^*	$\ell\bar{\ell}$
Diboson normalization	norm.	Diboson	$\ell\bar{\ell}$
MC statistical (3920)	shape	all	all

- Our EW correction (Hathor) is NLO in EW but LO in QCD
- Ambiguity on how to apply EW corrections to (N)NLO simulation
- Nominal choice: multiplicative

$$\sigma^{\text{rew.}} = \overset{\text{Powheg}}{\sigma_{\text{NLO QCD}}^{\text{LO EW}}} \times \overset{\text{Hathor}}{\frac{\sigma_{\text{LO QCD}}^{\text{NLO EW}}}{\sigma_{\text{LO QCD}}^{\text{LO EW}}}}$$

- Alternate choice: additive

$$\sigma^{\text{rew.}} = \overset{\text{MadGraph}}{\sigma_{\text{NLO QCD}}^{\text{LO EW}}} + \sigma_{\text{LO QCD}}^{\text{NLO EW}} - \sigma_{\text{LO QCD}}^{\text{LO EW}}$$

- Difference treated as systematic uncertainty